FIFTEEN ROPE FERRY ROAD
WATERFORD, CT 06385-2886

Town of Waterford

PHONE: 860-442-0553
www.waterfordct.org

BOARD OF FINANCE
AGENDA

Waterford Town Hall
Zoom Meeting,

December 8, 2021
7:00 p.m.

Topic: Board of Finance Meeting
Time: Dec 8, 2021 07:00 PM Eastern Time (US and Canada)

Dial by your location
+1 301 715 8592 US (Washington DC)
+1 312 626 6799 US (Chicago)
+1 929 205 6099 US (New York)
Meeting ID: 829 7511 4062
Passcode: 092946
Find your local number: https://us02web.zoom.us/u/kdeh0RQkvf

1. Establishment of a quorum and call to order.
2. Public Comment.
3. Election of Chair.
4. Election of Clerk.
5. Approval and acceptance of revised minutes from November 10, 2021.
6. Review and approval of the Board of Finance budget for FY23.
7. Review and approval of the Contingency budget for FY23.
8. Review and approval of the Debt Service budget for FY23.

9. Selection of Board of Finance liaisons to Town of Waterford Boards and Commissions' for a term of one year.

    a. Board of Education
    b. Board of Selectmen
    c. Utility Commission
    d. Recreation and Parks Commission
    e. Police Commission
    f. Senior Citizen Commission
    g. Youth Services Advisory Council
    h. Emergency Management Advisory Council
    i. Representative Town Meeting
    j. Retirement Commission
    k. Municipal Complex Improvement Building Committee

10. Appointment of Board of Finance member to serve on the Information Technology Committee for a term of one year December, 2021 to December, 2022.

11. Appointment of Board of Finance member to serve on the Ad Hoc Committee to Screen Social Service Grant budgets for a term of one year December, 2021 to December, 2022.

12. Appointment of Board of Finance member to the Fleet Management Ad Hoc Committee for a term of one year December, 2021 to December, 2022.

13. Appointment of <u>two</u> Board of Finance members to serve on the RTM Long Range Fiscal Planning Committee for a term of one year December, 2021 to December, 2022.

14. To consider and act on a request from Joseph Mancini, Board of Education, pending Board of Selectmen approval, for **an appropriation in the amount of $170,000** from Capital and Non-Recurring designated line #20560-57822 IT Learning Boards **and forward to the RTM.**

15. To consider and act on a request from Robert Brule, First Selectman, pending Board of Selectmen approval, **for an FY22 Additional Appropriation in the amount of $12,500** for a town match towards the purchase of a new pump out boat for the Save The River – Save The Hills organization **and forward onto the RTM.**

16. To consider and act on a request from Christine Johnson, Director Waterford Public Library, pending Board of Selectmen approval, **for an FY22 Out of Series Transfer Request in the amount of $2,000 from line #10136-52040 Service Contract and #10136-51220 Custodial.**

17. To consider and act on a request from Michael Howley, Director of Fire Services, pending Board of Selectmen approval, to merge lines #20523-57733 Oswegatchie Building Improvements and line #20523-57792 Oswegatchie Building Renovations into line #20523-57733 and forward onto the RTM.

18. To consider and act on a request from Gary Schneider, Director Public Works, pending Board of Selectmen approval, for an additional appropriation in the amount of $654,000.00 and forward onto the RTM.

19. Old Business:

20. New Business:

21. Liaison Reports

22. Correspondence

    a. Virginia Bielucki, Town Accountant, Periodic Financial Statements FY22 dated November 9, 2021
    b. Virginia Bielucki, Town Accountant, Status of General Fund Unassigned Balance dated November 10, 2021.
    c. Virginia Bielucki, Town Accountant, Status of Contingency FY22 dated November 18, 2021.

23. Adjournment

Ronald Fedor, Chairman

TOWN OF WATERFORD
GENERAL FUND
2022-2023PROPOSED BUDGET

DEPT/AGENCY: 10103 BOARD OF FINANCE

LINE ITEM	DESCRIPTION	2020/2021 ACTUAL EXPENDED	2021/2022 RTM APPROP.	2022/2023 ADDITIONAL/ TRANSFERS	ACTUAL EXPEND/ ENCUMB AS OF 1/1/22	2022/2023 DEPT/ AGENCY REQUEST	2022/2023 APPROVED BD/COMM.	Department INCREASE/ (DECREASE)	Department PERCENT INCREASE/ (DECREASE)
PERSONNEL COSTS									
51210	CLERICAL/TECHNICAL	1,460	3,611			2,298		(1,313)	-36.36%
51920	F.I.C.A	112	276			230		(46)	-16.67%
SUBTOTAL		**1,572**	**3,887**	**0**	**0**	**2,528**	**0**	**(1,359)**	**-34.96%**
SERVICES									
52010	ADVERTISING	1,694	2,300			2,300		0	0.00%
52030	PROFESSIONAL FEES	58,800	59,300			63,654		4,354	7.34%
SUBTOTAL		**60,494**	**61,600**	**0**	**0**	**65,954**	**0**	**4,354**	**7.07%**
MATERIALS & SUPPLIES									
53010	OFFICE SUPPLIES	16	60			45		(15)	-25.00%
SUBTOTAL		**16**	**60**	**0**	**0**	**45**	**0**	**(15)**	**-25.00%**
DEPARTMENT TOTAL		**62,082**	**65,547**	**0**	**0**	**68,527**	**0**	**2,980**	**4.55%**

6.

BUDGET FUNCTION

THE BOARD OF FINANCE HAS THE RESPONSIBILITIES AS ESTABLISHED BY WATERFORD ORDINANCE 3.3 (BOARD OF FINANCE).

"THE BOARD OF FINANCE SHALL PREPARE THE TOWN BUDGET AND LAY THE TAXES IN ACCORDANCE WITH SECTION 7-344 OF THE GENERAL STATUTES. THE BOARD MAY APPORTION TAXES BETWEEN YEARS IN ACCORDANCE WITH SECTION 7-346 OF THE GENERAL STATUTES. THE BOARD MAY TRANSFER UNEXPENDED BALANCES IN ACCORDANCE WITH SECTION 7-347 OF THE GENERAL STATUTES. THE BOARD MAY APPROVE SPECIAL APPROPRIATIONS IN ACCORDANCE WITH SECTION 7-348 OF THE GENERAL STATUTES. THE BOARD SHALL PRESCRIBE THE METHOD BY WHICH, AND THE PLACE WHERE, ALL RECORDS AND BOOKS OF ACCOUNTS OF THE TOWN OR OF ANY DEPARTMENT OR SUBDIVISION THEREOF SHALL BE KEPT. THE BOARD SHALL ARRANGE FOR THE ANNUAL AUDIT AS REQUIRED UNDER CHAPTER 111 OF THE GENERAL STATUTES. THE BOARD OF FINANCE SHALL PUBLISH AN ANNUAL REPORT AS SET FORTH IN SECTION 7-406 OF THE GENERAL STATUTES.
THE BOARD OF FINANCE SHALL HAVE ALL OTHER POWERS AND DUTIES AS BESTOWED BY THE GENERAL STATUTES."

BUDGET SUMMARY

PERSONNEL COSTS

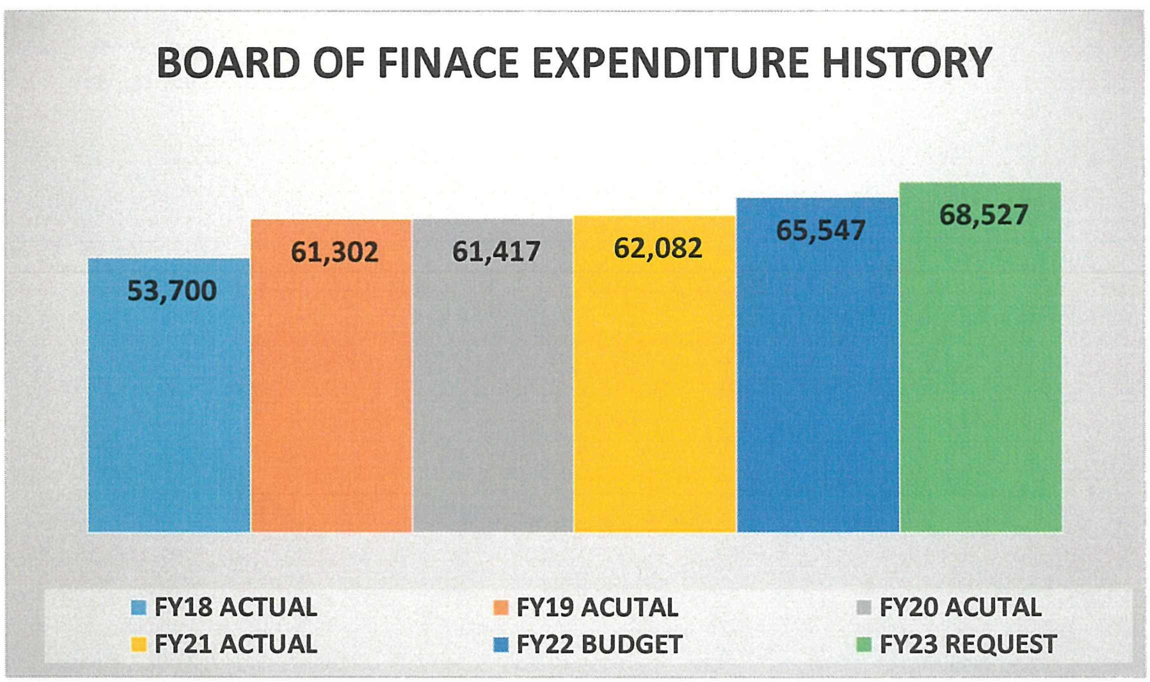
Personnel costs cover the board's clerical support. The FY23 budget request is decreased by 36.36% because of changes in the 5-year averages for number of meetings and total hours needed to record the board's minutes and actions. This clerical support to the Board is received through the Town's finance department fiscal assistant which has decreased the clerical line in the budget. The requested is amount is based on current staff salary.

SERVICES

The FY23 budget request reflects a 7.07% over the previous year due to an anticipated increase in our audit costs. The contract with our current audit firm (CLA) expires at the end of FY22. A new RFP for auditing services is expected to be distributed during the FYF22 fiscal year. A 3% increase over the current FY22 fee is being used as the request for FY23.

MATERIALS & SUPPLIES

The FY23 budget request includes funds for new member signs that may be needed after the November 2021 local elections.



TOWN OF WATERFORD
PERSONNEL WORKSHEET - BOARD OF FINANCE
2022/2023 FISCAL YEAR

EMPLOYEE	# OF MEETINGS [1]	HOURS/ MEETING [2]	TOTAL HOURS	HOURLY RATE OF PAY[3]	2015/2016 ACTUAL	2016/2017 ACTUAL	2017/2018 ACTUAL	2018/2019 ACTUAL	2019/2020 ACTUAL	2020/2021 ACTUAL	2021/2022 THROUGH 11/18/21	2022/2023 PROPOSED	PAYROLL TAXES
51210 - CLERICAL/TECHNICAL													
RECORDING SECRETARY	22	4.20	92.4	$ 32.44	4,131.68	3,110.23	2,975.36	2,266.79	1,261.65	1,459.61	330.08	2,997.46	229.31
TOTALS					**4,131.68**	**3,110.23**	**2,975.36**	**2,266.79**	**1,261.65**	**1,459.61**	**330.08**	**2,997.46**	**229.31**
		TOTAL HOURS			106.22	109.25	109.25	109.25	39.86	31.75			
		# OF MEETINGS			18.00	18.00	19.00	19.00	21.00	21.00			
		AVERAGE HOURS/MEETING			5.90	6.07	5.75	5.75	1.90	1.51			
		5 YEAR AVG HOURS/MEETING										4.20	

[1] Number of FY23 meetings scheduled is 21 - and 1 possible additional special meeting.
[2] Budget is calculated using a 5-year average. Actual hours vary depending on the meeting agenda.

TOWN OF WATERFORD
ADVERTISING - FINANCE DEPARTMENT
2022/2023 FISCAL YEAR

LINE ITEM	ACCOUNT NAME	2016/2017 ACTUAL	2017/2018 ACTUAL	2018/2019 ACTUAL	2019/2020 ACTUAL	2019/2021 ACUTAL	ACTUAL AS OF 11/18/21	5 YEAR AVERAGE	PROPOSED 2022/2023
52010	ADVERTISING	2,061	1,967	1,953	1,950	1,694	0	1,925	2,300

ACCOUNT JUSTIFICATION
Legal notices for Public Hearing and printing of Proposed Budget in newspaper as required by Charter.

TOWN OF WATERFORD
PROFESSIONAL SERVICES - BOARD OF FINANCE
2022/2023 FISCAL YEAR

LINE ITEM	ACCOUNT NAME	2014/2015 ACTUAL	2015/2016 ACTUAL	2016/2017 ACTUAL	2017/2018 ACTUAL	2018/2019 ACTUAL	2019/2020 ACTUAL	2020/2021 ACTUAL	ACTUAL AS OF 11/18/21	PROPOSED 2022/2023
52030	PROFESSIONAL SERVICES	48,500	46,500	47,000	48,500	56,900	58,100	58,800	29,500	63,654

ACCOUNT JUSTIFICATION

Fee to conduct annual audit as required by the Municipal Auditing Act - Chapter 111 of Connecticut State Statutes
The FY22 audit year is the final year of the contract with CLA. I have estimated a 3% increase over the contracted FY22 rate for the FY23 audit.

TOWN OF WATERFORD
REIMBURSABLE TOWN EXPENSES - FINANCE DEPARTMENT
2022/2023 FISCAL YEAR

LINE ITEM	ACCOUNT NAME	2015/2016 ACTUAL	2016/2017 ACTUAL	2017/2018 ACTUAL	2018/2019 ACTUAL	2019/2020 ACTUAL	2020/2021 ACTUAL	ACTUAL AS OF 11/18/21	PROPOSED 2022/2023
52070	REIMB TOWN EXPENSES	25	0	25	0	0		0	0

ACCOUNT JUSTIFICATION
Out of pocket expenses paid for by Board members or Town employees

TOWN OF WATERFORD
OFFICE SUPPLIES - BOARD OF FINANCE
2022/2023 FISCAL YEAR

LINE ITEM	ACCOUNT NAME	2015/2016 ACTUAL	2016/2017 ACTUAL	2017/2018 ACTUAL	2018/2019 ACTUAL	2019/2020 ACTUAL	2020/2021 ACTUAL	ACTUAL AS OF 11/18/21	PROPOSED 2022/2023
53010	OFFICE SUPPLIES	0	30	30	8	9	16	0	45

ACCOUNT JUSTIFICATION
Miscellaneous office supplies, such as name plates for new board members, not purchased through the consumable supplies line item in Finance.
Budget includes funds for 3 new signs @ $15/each.

09/17/2021 08:30
kallen

Town of Waterford, CT
YEAR-TO-DATE BUDGET REPORT

FOR 2021 13

ACCOUNTS FOR: 101 GENERAL FUND

	ORIGINAL APPROP	TRANS/ADJSMTS	REVISED BUDGET	YTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	% USED
10103 BD OF FINANCE							
10103 52010 ADVERTISING	2,300.00	0.00	2,300.00	1,693.50	0.00	606.50	73.6%
TOTAL BD OF FINANCE	2,300.00	0.00	2,300.00	1,693.50	0.00	606.50	73.6%
TOTAL GENERAL FUND	2,300.00	0.00	2,300.00	1,693.50	0.00	606.50	73.6%
TOTAL EXPENSES	2,300.00	0.00	2,300.00	1,693.50	0.00	606.50	

FY21

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NEW LONDON, CT 06320-1231

ACCOUNT NUMBER	CUSTOMER	BILLING PERIOD
D93036	WATERFORD - TOWN OF/FINANCE DEPARTMENT	03/01/21-03/31/21

Client		PAGE NUMBER	DUE DATE
		Page 1 of 1	4/30/21
CURRENT	**OVER 30 DAYS**	**60 AND OVER**	**TOTAL DUE**
$ 113.50	$ 0.00	$ 0.00	$ 113.50

WATERFORD - TOWN OF/FINANCE
DEPARTMENT
15 ROPE FERRY ROAD
WATERFORD, CT 06385

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CHECK NUMBER

AMOUNT PAID

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PLEASE RETURN UPPER PORTION WITH YOUR REMITTANCE

DATE	DESCRIPTION	PUBLICATIONS	REFERENCE # / PO #	SIZE/LINES or QTY	GROSS AMOUNT	OTHER	NET AMOUNT
	Previous Balance						$0.00
03/18/21	889310 Town of Waterford Public Notic	DAY,DL,DWB	D00889310	3 Col X 10 Lines			$113.50

REC'D FINANCE

Inv# D93036 033121 $ 113.50
THE DAY PUBLISHING CO., INC.
03/31/2021 # Pages 3 FP3 DOC52S942

#157
APPROVED FOR PAYMENT
ACCT# 10103-52010
AMOUNT 113.50
FISCAL YEAR FY21
SIGNATURE [signature]
DATE 4/6/21

Day Credit Department	**860-701-4204**	**Accounting Fax**	**860-437-7504**
Community Classifieds - Toll Free	**800-582-8296**	**Advertising Fax**	**860-437-8780**
Day Publishing Company - Toll Free	**800-542-3354**	**Classified Fax**	**860-442-5443**

BILLING PERIOD	ACCOUNT NUMBER	CUSTOMER/CLIENT
03/01/21-03/31/21	D93036	WATERFORD - TOWN OF/FINANCE DEPARTMENT

CURRENT DUE	OVER 30 DAYS	60 AND OVER	TOTAL DUE
$ 113.50	$ 0.00	$ 0.00	$ 113.50

Payment Terms - Net End of Month **A FINANCE CHARGE OF 1.5% PER MONTH** ON ACCOUNTS NOT PAID WITHIN THE MONTH FOLLOWING PUBLICATION

CREDIT CARD AUTHORIZATION

Complete the information below and return to The Day

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(MasterCard / Visa / Discover)

Signature: ______________________________ Date _________ Amount Due $ _________
(This is a single use authorization.)

PUBLICATION

Abbreviation	Publication Title	Abbreviation	Publication Title
ASP	Aspire	MSR	Managed Services
CCE	Community Classified East	MVT	The Montville Times
CCW	Community Classified West	MYT	The Mystic Times
CTF	Connecticut Family	NHC	North Haven Courier
DAY	The Day	NLT	The New London Times
DWB	Digital Banners	OSG	Old Saybrook Guide
EHC	East Haven Courier	PRO	Digital Contest
EML	Email Advertising	SCM	Sound and Country Magazine
GOW	Go Westerly Magazine	SGC	Guilford Courier
GRT	The Groton Times	SHN	Harbor News
HTJ	Monster	SND	The Sound
IMAG	Digital Magazine	SRC	The Source
IMS	~~Digital Agency~~	~~STT~~	~~The Stonington Times~~
LGY	Legacy	SVC	Valley Courier
LYT	The Lyme Times	TRT	The Thames River Times
MCM	Mystic Country Magazine	WFT	The Waterford Times

Times Group
The Groton Times, The Lyme Times,
The Montville Times, The Mystic Times,
The New London Times, The Stonington Times,
The Thames River Times, The Waterford Times

Shore Publishing Weeklies
East Haven Courier, North Haven
Courier, Guilford Courier, Harbor News,
The Sound, The Source, Valley Courier

Tearsheets are available online at ts.theday.com
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Paper tearsheets are available at the cost of $5.00 for each requested copy.

PUBLISHER'S CERTIFICATE

State of Connecticut
County of New London, ss. New London

Personally appeared before the undersigned, a Notary Public within and for said County and State, Matt Suraci, Legal Advertising Clerk, of The Day Publishing Company Classifieds dept, a newspaper published at New London, County of New London, state of Connecticut who being duly sworn, states on oath, that the Order of Notice in the case of

889310 Town of Waterford Public Notice
Board of Finance P

A true copy of which is hereunto annexed, was published in said newspaper in its issue(s) of

03/18/2021

Cust: WATERFORD - TOWN OF/FINANC
Ad #: d00889310

889310

Town of Waterford
Public Notice

Board of Finance Public Hearing to be held on Wednesday, March 24, 2021 at 7:00pm via Zoom Conference Call to discuss annual town budgets for fiscal year 2021-2022. Special meeting immediately following to take action on annual town budgets for fiscal year 2021-2022. To access the meeting dial 1-(929)-205-6099 (New York), 1-(301)-715-8595 (Washington DC) and enter the Meeting ID# 884 5168 9239 and Passcode #685231.

Matt Suraci
____

Subscribed and sworn to before me

This Thursday, March 18, 2021

Maryelle Solinsky
____
Notary Public

My commission expires 5/31/24

FY21

The Day theday.com

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PO BOX 1231
47 EUGENE O'NEILL DRIVE
NEW LONDON, CT 06320-1231

ACCOUNT NUMBER	CUSTOMER	BILLING PERIOD
D93177	WATERFORD TOWN - TOWN CLERK	04/01/21-04/30/21

Client	PAGE NUMBER	DUE DATE
	Page 1 of 1	5/31/21

CURRENT	OVER 30 DAYS	60 AND OVER	TOTAL DUE
$ 2,622.60	$ 0.00	$ 0.00	$ 2,622.60

WATERFORD TOWN - TOWN CLERK
15 ROPE FERRY RD
WATERFORD, CT 06385

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CHECK NUMBER

AMOUNT PAID

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Inv# d93177 043021 $ 2,622.60
THE DAY PUBLISHING CO., INC.
04/30/2021 # Pages 7 FP7 DOC54S183

	DESCRIPTION	PUBLICATIONS	REFERENCE # / PO#	SIZE/LINES or QTY	GROSS AMOUNT	OTHER	NET AMOUNT
							$663.60
			303702				($663.60)
04/07/21	891538 ADVERTISEMENT FOR BIDS	DAY,DWB	D00891538	3 Col X 58 Lines			$530.10
04/08/21	891538 ADVERTISEMENT FOR BIDS	DL	D00891538	3 Col X 58 Lines			$20.00
04/15/21	892107 TOWN OF WATERFORD Noti	DAY,DL,DWB	D00892107 TC	3 Col X 20 Lines			$199.05
04/23/21	Town of Waterford Legal display	DAY,DL	D00892750 BOF	5x14.25 71.25			$1,580.00
04/26/21	892892 TOWN OF WATERFORD REF	DAY,DL,DWB	D00892892 RTM	3 Col X 30 Lines			$293.45

550

RECEIVED FOR RECORD 2021 MAY -

#157
APPROVED FOR PAYMENT 2,622.60
ACCT# See list
AMOUNT ~~$2,662.60~~
FISCAL YEAR FY 21
SIGNATURE
DATE 5/19/21

G/L Account Number		Total
10103-52010		1,580.00
33020-55850		550.10
10117-52010		492.50
	Total	$2,622.60

Day Credit Department	860-701-4204	Accounting Fax	860-437-7504
Community Classifieds - Toll Free	800-582-8296	Advertising Fax	860-437-8780
Day Publishing Company - Toll Free	800-542-3354	Classified Fax	860-442-5443

BILLING PERIOD	ACCOUNT NUMBER	CUSTOMER/CLIENT
04/01/21-04/30/21	D93177	WATERFORD TOWN - TOWN CLERK

CURRENT DUE	OVER 30 DAYS	60 AND OVER	TOTAL DUE
$ 2,622.60	$ 0.00	$ 0.00	$ 2,622.60

Payment Terms - Net End of Month **A FINANCE CHARGE OF 1.5% PER MONTH** ON ACCOUNTS NOT PAID WITHIN THE MONTH FOLLOWING PUBLICATION

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Public Notices

Tax Collector's Notice

Dated at Ledyard, CT this 29th day of March 2021.

Kathleen B. Surenda
Tax Collector

TOWN OF WATERFORD PROPOSED GENERAL FUND BUDGET - REVENUE & EXPENSES

FISCAL YEAR 2022

The RTM Meeting of the Town of Waterford will hold its Annual Budget Meeting via Zoom Conference call on Monday, May 3, 2021, at 6:30 pm to consider and act upon the Town Budget for Fiscal Year 2022. All interested citizens of the Town of Waterford has the right to provide written comments concerning the budget. To submit public comment, see the agenda or email the Town Clerk at dcampo@waterfordct.org. You must also send them to Dave Campo, Town Clerk, Waterford Town Hall, 15 Rope Ferry Road, Waterford, CT 06385. A copy of this notice and the entire proposed budget for the Town of Waterford will be available for public inspection weekdays at the Town Clerk's Office, Town Hall, 15 Rope Ferry Road, Waterford, CT between the hours of 8:00 am and 4:00pm beginning Monday, April 12, 2021. The budget as recommended by the Board of Finance for Fiscal Year 2022 for the Town of Waterford as follows:

GENERAL FUND REVENUES:	FY 2019-2020 ACTUAL	FY 2020-2021 ESTIMATED	FY 2021-2022 ANTICIPATED
STATE OF CONNECTICUT			
EDUCATION	350,244	332,091	332,444
GENERAL GOVERNMENT	716,907	716,553	710,622
TOTAL STATE OF CT	1,067,151	1,048,644	1,043,066
OTHER SOURCES			
FEDERAL SOURCES	0	0	0
EDUCATION	65,280	189,360	201,590
GENERAL GOVERNMENT	3,826,659	2,382,155	2,383,383
TOTAL OTHER SOURCES	3,891,939	2,571,515	2,584,973
TOTAL REVENUES BEFORE PROPERTY TAXES	4,959,090	3,620,159	3,628,039
AMOUNT TO BE RAISED BY TAXATION	91,758,276	92,262,639	94,084,410
TOTAL GENERAL FUND REVENUE	96,717,366	95,882,798	97,712,449
ESTIMATED FUND BALANCE APPLIED	0	0	0

GENERAL FUND EXPENSES:	FY 2019-2020 ACTUAL EXPENDITURES	FY 2020-2021 PROJECTED EXPENDITURES	FY 2021-2022 BD OF FINANCE RECOMMENDED
GENERAL GOVERNMENT:			
BOARD OF SELECTMEN	192,891	201,320	205,313
REGISTRARS OF VOTERS	69,802	74,279	74,508
BOARD OF FINANCE	61,428	66,000	65,547
ASSESSOR	290,208	283,613	291,447
BD. ASSESSMENT OF APPEALS	2,446	1,580	1,620
TAX COLLECTOR	194,908	204,154	211,907
FINANCE DEPARTMENT	655,167	701,270	653,894
LEGAL DEPARTMENT	307,354	298,000	298,000
TOWN CLERK	265,192	267,309	281,750
PLANNING & ZONING	550,528	620,267	634,814
BUILDING MAINTENANCE	225,990	253,045	278,470
INSURANCE	4,649,884	4,658,000	4,717,903
ECONOMIC DEVELOPMENT COMM	6,831	8,578	10,076
CONSERVATION COMMISSION	16,969	18,250	18,250
ZONING BOARD OF APPEALS	2,459	4,310	4,310
RETIREMENT COMMISSION	5,898,102	5,982,971	5,682,906
REPRESENTATIVE TOWN MTG.	18,018	18,953	18,983
BUILDING DEPARTMENT	244,453	289,423	293,801
SOC. SVC GRANTS/MISC.	83,175	81,780	84,366
CONTINGENCY	118,934	265,000	265,000
FLOOD & EROSION CONTROL BD	335	2,138	2,138
ETHICS COMMISSION	1,011	650	650
HUMAN RESOURCES DEPARTMENT	244,800	266,233	295,664
COMMUNITY USE OF SCHOOLS	172,252	86,126	0
EMERGENCY MANAGEMENT	1,122,592	1,087,258	1,062,165
FIRE SERVICES	3,049,463	3,101,562	3,316,834
POLICE DEPARTMENT	6,271,172	6,450,741	6,421,688
INFORMATION TECHNOLOGY	751,324	824,968	846,642
PUBLIC WORKS DEPARTMENT	4,357,036	4,489,207	4,709,654
YOUTH & FAMILY SERVICES	242,810	244,743	232,634
CONSERVATION OF HEALTH	148,402	139,107	142,282
PUBLIC HEALTH NURSING SERVICES	25,830	27,820	27,820
SENIOR CITIZENS COMMISSION	477,293	548,127	491,481
WATERFORD PUBLIC LIBRARY	1,017,094	1,089,063	999,475
RECREATION & PARKS COMMISSION	1,438,643	1,511,615	1,450,159
TOTAL GENERAL GOVERNMENT	32,587,300	34,359,345	34,540,084
BOARD OF EDUCATION:			
OPERATING BUDGET	48,672,211	50,372,315	51,043,047
TOTAL BOARD OF EDUCATION	48,672,211	50,372,315	51,043,047
CAPITAL AND DEBT SERVICE			
CURRENT YEAR CAPITAL IMPRL	1,443,850	2,216,080	2,964,754
TRANS TO CAP & NON-REC.	0	1,401,280	900,508
DEBT SERVICE	7,389,902	7,628,790	7,934,635
TOTAL CAPITAL AND DEBT SERVICE	8,833,752	11,246,150	11,799,897
TOTAL GENERAL FUND	90,093,263	95,978,210	97,403,129

Ronald R. Fisher
Chairman,
Board of Finance

$1580.00

Landscaping Services

Building & Contracting

Flooring - Resurfacing

CERAMIC TILE, VINYL, CARPET Hardwood/Sanding Refinishing
Tim Bartlett 860-319-7426

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Assessor
CDBG Community Care Caseworker
IT Specialist - Public Utilities
Temporary Building Inspector
Temporary Human Services Assistant
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AA/EEO

HELP WANTED
TOWN OF WINDHAM
MAINTAINER I
WINDHAM PUBLIC WORKS DEPARTMENT

HELP WANTED
TOWN OF WINDHAM
TRANSFER STATION ATTENDANT
WINDHAM PUBLIC WORKS DEPARTMENT

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Public Works Superintendent
Visit www.norwichct.org/hr, to apply and for more information.
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HR COORDINATOR

ASSISTANT FINANCE DIRECTOR

Parks & Recreation Assistant Director

Employment

DRIVERS

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The Day
Publication Date: 04/23/2021

Ad Number:	d00892750
Insertion Number:	
Size:	5 x 14.2500
Color Type:	B&W
Client Name:	WATERFORD TOWN - TOWN CLERK
Advertiser:	
Section/Page/Zone:	Sports/C004/
Description:	Town of Waterford Legal display-

FOR 2020 13

ACCOUNTS FOR: 101 GENERAL FUND

	ORIGINAL APPROP	TRANS/ADJSMTS	REVISED BUDGET	YTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	% USED
10103 BD OF FINANCE							
10103 52010 ADVERTISING	2,350.00	0.00	2,350.00	1,950.15	0.00	399.85	83.0%*
TOTAL BD OF FINANCE	2,350.00	0.00	2,350.00	1,950.15	0.00	399.85	83.0%
TOTAL GENERAL FUND	2,350.00	0.00	2,350.00	1,950.15	0.00	399.85	83.0%
TOTAL EXPENSES	2,350.00	0.00	2,350.00	1,950.15	0.00	399.85	

DATE	DESCRIPTION	PUBLICATIONS	REFERENCE # / PO #	SIZE/LINES or QTY	GROSS AMOUNT	OTHER	NET AMOUNT
	Previous Balance						$0.00
05/10/20	Waterford Finance- Budget	DAY,DWB	D00863865	5x10 50.00			$1,895.00

Inv# D93036 053120 $ 1,895.00
THE DAY PUBLISHING CO., INC.
05/31/2020 # Pages 3 FP3 DOC36S513

MENT

ACCT# 10163-52010
AMOUNT $1,895.00
FISCAL YEAR FY 20
SIGNATURE Kimeelle
6/4/20

REC'D FINANCE
JN 4 '20 AM10:54

Day Publishing Company	860-442-2200	Accounting Fax	860-437-7504
Community Classifieds - Toll Free	800-582-8296	Advertising Fax	860-437-8780
Day Publishing Company - Toll Free	800-542-3354	Classified Fax	860-442-5443

BILLING PERIOD	ACCOUNT NUMBER	CUSTOMER/CLIENT
05/01/20-05/31/20	D93036	WATERFORD - TOWN OF/FINANCE DEPARTMENT

CURRENT DUE	OVER 30 DAYS	60 AND OVER	TOTAL DUE
$ 1,895.00	$ 0.00	$ 0.00	**$ 1,895.00**

Payment Terms - Net End of Month **A FINANCE CHARGE OF 1.5% PER MONTH** ON ACCOUNTS NOT PAID WITHIN THE MONTH FOLLOWING PUBLICATION

TOWN OF WATERFORD PROPOSED GENERAL FUND BUDGET - REVENUE & EXPENSES

FISCAL YEAR 2022

The RTM Meeting of the Town of Waterford will hold its Annual Budget Meeting via Zoom Conference call on Monday, May 3, 2021, at 6:00 pm to consider and act upon the Town Budget for Fiscal Year 2022. All interested citizens of the Town of Waterford has the right to provide written comments concerning the budget. To submit public comment, see the agenda or email the Town Clerk at dcampo@waterfordct.org. You may also send them to Dave Campo, Town Clerk, Waterford Town Hall, 15 Rope Ferry Road, Waterford, CT 06385. A copy of this notice and the entire proposed budget for the Town of Waterford will be available for public inspection weekdays at the Town Clerk's Office, Town Hall, 15 Rope Ferry Road, Waterford, CT between the hours of 8:00 am and 4:00pm beginning Monday, April 12, 2021. The budget as recommended by the Board of Finance for Fiscal Year 2022 for the Town of Waterford as follows:

GENERAL FUND REVENUES:	FY 2019-2020 ACTUAL	FY 2020-2021 ESTIMATED	FY 2021-2022 ANTICIPATED
STATE OF CONNECTICUT			
EDUCATION	350,244	332,091	332,444
GENERAL GOVERNMENT	716,907	716,553	710,622
TOTAL STATE OF CT	1,067,151	1,048,644	1,043,066
OTHER SOURCES			
FEDERAL SOURCES	0	0	0
EDUCATION	65,880	189,360	201,590
GENERAL GOVERNMENT	3,826,059	2,382,155	2,383,383
TOTAL OTHER SOURCES	3,891,939	2,571,515	2,584,973
TOTAL REVENUES BEFORE PROPERTY TAXES	4,959,090	3,620,159	3,628,039
AMOUNT TO BE RAISED BY TAXATION	91,758,276	92,262,639	94,084,450
TOTAL GENERAL FUND REVENUE	96,717,366	95,882,798	97,712,489
ESTIMATED FUND BALANCE APPLIED	0	0	0

GENERAL FUND EXPENSES:	FY 2019-2020 ACTUAL EXPENDITURES	FY 2020-2021 PROJECTED EXPENDITURES	FY 2021-2022 BD OF FINANCE RECOMMENDED
GENERAL GOVERNMENT:			
BOARD OF SELECTMEN	392,891	201,320	205,313
REGISTRARS OF VOTERS	69,802	74,279	74,508
BOARD OF FINANCE	61,418	66,000	65,547
ASSESSOR	290,208	283,613	291,847
BD. ASSESSMENT OF APPEALS	1,446	1,588	1,620
TAX COLLECTOR	198,508	206,156	211,907
FINANCE DEPARTMENT	655,167	701,270	653,894
LEGAL DEPARTMENT	307,356	298,000	298,000
TOWN CLERK	265,192	267,309	269,750
PLANNING & ZONING	550,528	629,267	634,914
BUILDING MAINTENANCE	225,090	253,045	778,870
INSURANCE	4,649,884	4,658,000	4,717,903
ECONOMIC DEVELOPMENT COMM	6,831	8,576	10,076
CONSERVATION COMMISSION	16,969	18,250	18,250
ZONING BOARD OF APPEALS	2,459	4,310	4,310
RETIREMENT COMMISSION	5,098,103	5,982,978	5,682,906
REPRESENTATIVE TOWN MTG.	18,019	18,953	18,903
BUILDING DEPARTMENT	244,453	289,423	293,008
SOC. SVC GRANTS/MISC.	83,175	81,780	84,366
CONTINGENCY	114,934	265,000	265,000
FLOOD & EROSION CONTROL BD	335	2,138	2,138
ETHICS COMMISSION	1,011	650	850
HUMAN RESOURCES DEPARTMENT	244,000	266,233	265,664
COMMUNITY USE OF SCHOOLS	172,252	86,126	0
EMERGENCY MANAGEMENT	1,122,592	1,087,258	1,062,665
FIRE SERVICES	3,069,463	3,101,562	3,326,034
POLICE DEPARTMENT	6,272,172	6,450,741	6,421,688
INFORMATION TECHNOLOGY	753,374	824,968	846,642
PUBLIC WORKS DEPARTMENT	4,357,816	4,689,207	4,709,654
YOUTH & FAMILY SERVICES	242,910	244,743	232,634
CONSERVATION OF HEALTH	140,082	139,197	142,282
PUBLIC HEALTH NURSING SERVICES	25,830	27,820	27,820
SENIOR CITIZENS COMMISSION	477,293	548,127	491,489
WATERFORD PUBLIC LIBRARY	1,017,094	1,069,663	999,475
RECREATION & PARKS COMMISSION	1,438,643	1,511,615	1,450,159
TOTAL GENERAL GOVERNMENT	32,587,300	34,359,165	34,560,086
BOARD OF EDUCATION:			
OPERATING BUDGET	48,672,211	50,372,315	51,043,047
TOTAL BOARD OF EDUCATION	48,672,211	50,372,315	51,043,047
CAPITAL AND DEBT SERVICE			
CURRENT YEAR CAPITAL IMPR.	1,443,850	2,216,680	2,964,754
TRANS TO CAP & NON-REC.	0	1,401,280	900,600
DEBT SERVICE	7,389,902	7,628,790	7,934,633
TOTAL CAPITAL AND DEBT SERVICE	8,833,752	11,246,750	11,799,987
TOTAL GENERAL FUND	90,093,263	95,978,230	97,403,120
			Ronald R. Fedor Chairman, Board of Finance

The Day
47 Eugene O'Neill Drive
New London, CT 06320
860-442-2200
www.theday.com

Classified Advertising Proof

Order Number: d00889287

WATERFORD TOWN - TOWN CLERK
15 Rope Ferry Road Town's Clerk
WATERFORD, CT 06385
860-444-5831

Title: The Day | **Class:** Public Notices 010
Start date: 3/18/2021 | **Stop date:** 3/18/2021 |
Insertions: 1 | **Lines:** 0 ag

Title: Day Website | **Class:** Public Notices 010
Start date: 3/18/2021 | **Stop date:** 3/18/2021 |
Insertions: 1 | **Lines:** 0 ag

Title: Digital-Legal | **Class:** Public Notices 010
Start date: 3/18/2021 | **Stop date:** 3/18/2021 |
Insertions: 1 | **Lines:** 0 ag

A preview of your ad will appear between the two solid lines.

889287

Town of Waterford
Public Notice

Board of Finance Public Hearing to be held on Wednesday, March 24, 2021, at 7:00pm via Zoom Conference Call to discuss annual town budgets for fiscal year 2021-2022. Special meeting immediately following to take action on annual town budgets for fiscal year 2021-2022. To access the meeting dial 1-(929)-205-6099 (New York), 1-(301)-715-8595 (Washington DC) and enter the Meeting ID# 884 5168 9239 and Passcode #685231.

Total Order Price: $113.50

Please call your ad representative by 3PM today with any ad changes.

Salesperson: Matt Suraci | **Printed on:** 3/16/2021
Telephone: 860-701-4276 | **Fax:** 860-442-5443
Email: Legal@theday.com

Running on 3/18/21

The Day theday.com

THE DAY PUBLISHING COMPANY
PO BOX 1231
47 EUGENE O'NEILL DRIVE
NEW LONDON, CT 06320-1231

ACCOUNT NUMBER	CUSTOMER	BILLING PERIOD
D93036	WATERFORD - TOWN OF/FINANCE DEPARTMENT	03/01/20-03/31/20

Client	PAGE NUMBER	DUE DATE
	Page 1 of 1	4/30/20

CURRENT	OVER 30 DAYS	60 AND OVER	TOTAL DUE
$ 55.15	$ 0.00	$ 0.00	$ 55.15

WATERFORD - TOWN OF/FINANCE
DEPARTMENT
15 ROPE FERRY ROAD
WATERFORD, CT 06385

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CHECK NUMBER

AMOUNT PAID
55.15

Inv# D93036 033120 $ 55.15
THE DAY PUBLISHING CO., INC.
03/31/2020 # Pages 6 FP6 DOC33S1013

THANK YOU FOR YOUR BUSINESS!
PLEASE RETURN UPPER PORTION WITH YOUR REMITTANCE

		PUBLICATIONS	REFERENCE # / PO #	SIZE/LINES or QTY	GROSS AMOUNT	OTHER	NET AMOUNT
	Previous Balance						$0.00
03/14/20	859764 Town of Waterford Public Notic	DAY,DWB	D00859764	1 Col X 17 Lines			$55.15

157
APPROVED FOR PAYMENT
ACCT# 10103 - 52010
AMOUNT $55.15
FISCAL YEAR FY 20
SIGNATURE [signature]
DATE 4/7/20

Day Publishing Company	**860-442-2200**	**Accounting Fax**	**860-437-7504**
Community Classifieds - Toll Free	**800-582-8296**	**Advertising Fax**	**860-437-8780**
Day Publishing Company - Toll Free	**800-542-3354**	**Classified Fax**	**860-442-5443**

REC'D FINANCE
APR 7 '20 AM9:02

BILLING PERIOD	ACCOUNT NUMBER	CUSTOMER/CLIENT
03/01/20-03/31/20	D93036	WATERFORD - TOWN OF/FINANCE DEPARTMENT

CURRENT DUE	OVER 30 DAYS	60 AND OVER	TOTAL DUE
$ 55.15	$ 0.00	$ 0.00	$ 55.15

Payment Terms - Net End of Month **A FINANCE CHARGE OF 1.5% PER MONTH** ON ACCOUNTS NOT PAID WITHIN THE MONTH FOLLOWING PUBLICATION

PUBLISHER'S CERTIFICATE

State of Connecticut
County of New London, ss. New London

Personally appeared before the undersigned, a Notary Public within and for said County and State, Michelle Savona, Legal Advertising Clerk, of The Day Publishing Company Classifieds dept, a newspaper published at New London, County of New London, state of Connecticut who being duly sworn, states on oath, that the Order of Notice in the case of

859764 Town of Waterford Public Notice
Board of Finance Pu

A true copy of which is hereunto annexed, was published in said newspaper in its issue(s) of

03/14/2020

Cust: WATERFORD - TOWN OF/FINANC
Ad #: d00859764

Michelle Savona

Subscribed and sworn to before me

This Friday, March 20, 2020

Maryellen Polmsky

Notary Public

My commission expires 5/31/24

859764

Town of Waterford
Public Notice
Board of Finance Public Hearing to be held on Monday, March 23, 2020 at 7:00 p.m. in Waterford Town Hall Auditorium to discuss annual town budgets for fiscal year 2020-2021. Special meeting immediately following to take action on annual town budgets for fiscal year 2020-2021.

The Day
47 Eugene O'Neill Drive
New London, CT 06320
860-442-2200
www.theday.com

Classified Advertising Proof

Order Number: d00859764

Gail Miller
WATERFORD - TOWN OF/FINANCE DEPARTMENT
N/A
WATERFORD, CT 06385
860-440-0562

Title: The Day | **Class:** Public Notices 010
Start date: 3/14/2020 | **Stop date:** 3/14/2020 |
Insertions: 1 | **Lines:** 0 ag

Title: Day Website | **Class:** Public Notices 010
Start date: 3/14/2020 | **Stop date:** 3/14/2020 |
Insertions: 1 | **Lines:** 0 ag

A preview of your ad will appear between the two solid lines.

859764

Town of Waterford
Public Notice
Board of Finance Public Hearing to be held on Monday, March 23, 2020 at 7:00 p.m. in Waterford Town Hall Auditorium to discuss annual town budgets for fiscal year 2020-2021. Special meeting immediately following to take action on annual town budgets for fiscal year 2020-2021.

Total Order Price: $55.15

Please call your ad representative by 3PM today with any ad changes.

Salesperson: Michelle Savona | **Printed on:** 3/13/2020
Telephone: 860-701-4276 | **Fax:** 860-442-5443
Email: Legal@theday.com

CLA (CliftonLarsonAllen LLP)
29 South Main Street
4th Floor
West Hartford, Connecticut 06107
860-561-4000 | fax 860-521-9241
CLAconnect.com

January 15, 2021

Ronald R. Fedor, Chair
Board of Finance
Town of Waterford, Connecticut
15 Rope Ferry Road
Waterford, CT 06385

Dear Mr. Fedor

The purpose of this letter is to provide a quote to continue providing the following services to the Town of Waterford, Connecticut for the fiscal years ending June 30, 2021, and 2022:

1. Audit of the financial statements (CAFR).
2. Federal Single Audit
3. State Single Audit
4. Agreed-upon procedures related to the Board of Education EFS.

Our proposed all-inclusive fixed fees for these services are included in the table below. We have included our fiscal year 2020 fees for comparison purposes as well as each fiscal year's percentage increase for the Town:

Service	Current Price 2020	Proposed Fees 2021	Proposed Fees 2022
Town			
Financial Statement Audit	$ 18,720	$ 19,100	$ 19,500
Federal Single Audit	5,200	5,300	5,400
State Single Audit	5,200	5,300	5,400
Preparation of Draft Financial Statements	5,200	5,300	5,400
Sub-total (Town)	34,320	35,000	35,700
Board of Education			
Financial Statement Audit	12,380	12,600	12,900
Federal Single Audit	5,200	5,300	5,400
State Single Audit	5,200	5,300	5,400
EFS	1,100	1,150	1,200
Cafeteria	1,100	1,150	1,200
Sub-total (Board of Education)	24,980	25,500	26,100
Total Fees	$ 59,300	$ 60,500	$ 61,800
Town audit percentage increase		2.02%	2.15%

(1) Changes to the audit fee for each year are described below:
   a. 2021
      i. Modest inflationary increase of $1,200
   b. 2022
      i. Modest inflationary increase of $1,300

(2) Fees have been flat for the past 3 years; increase represents modest inflationary increase to cover the two-year period

In addition, reflected in our proposed fees is a technology and client support fee (equal to 5% of the total professional fees). The technology and client support fee related to the increasing costs of responding to the rapid advance of technology. We believe that through the technology fees, we will be able to continue to utilize technology to migrate risks and develop innovative service techniques that will drive down the cost of providing such services to our clients.

Our last word on fees – we are committed to service you. Therefore, if fees are a deciding factor in your selection of an accounting firm, we will appreciate the opportunity to discuss with you the scope of our services.

At CLA, it's more than just getting the job done.

If you are in agreement with the contents of this letter, please sign in the space provided on the following page and forward to me (vanessa.rossitto@claconnect.com) at your earliest convenience.

Thank you.

Sincerely,

CliftonLarsonAllen LLP

Vanessa E. Rossitto

Vanessa E. Rossitto, CPA
Principal
860-561-6824
Vanessa.rossitto@claconnect.com

FOR 2021 13

ACCOUNTS FOR: 101 GENERAL FUND

	ORIGINAL APPROP	TRANS/ADJSMTS	REVISED BUDGET	YTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	% USED
10103 BD OF FINANCE							
10103 52030 PROFESSIONAL FEES	59,300.00	0.00	59,300.00	58,800.00	0.00	500.00	99.2%
TOTAL BD OF FINANCE	59,300.00	0.00	59,300.00	58,800.00	0.00	500.00	99.2%
TOTAL GENERAL FUND	59,300.00	0.00	59,300.00	58,800.00	0.00	500.00	99.2%
TOTAL EXPENSES	59,300.00	0.00	59,300.00	58,800.00	0.00	500.00	

29 South Main Street
P.O. Box 272000
West Hartford, CT 06127-2000

Tel: 860.561.4000
Fax: 860.521.9241
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July 7, 2020

Kim Allen
Town of Waterford
15 Rope Ferry Road
Waterford, CT 06385

Terms: Due upon receipt
730195
Invoice: 45136082

For professional services rendered in connection with:	
Progress Billing #1 - June 30, 2020 Audit	20,000.00
Total Services	20,000.00
Total Current Charges	$20,000.00

Inv# 7630195 45136082 $ 20,000.00
BLUM, SHAPIRO & COMPANY, PC
07/07/2020 # Pages 1 FP1 DOC37S282

APPROVED FOR PAYMENT
ACCT# 10103-52030
AMOUNT $20,000.00
FISCAL YEAR FY20
SIGNATURE Kim Allen
DATE 7/9/20

Remittance Advice - Please return with your payment.

Client 730195 - Town of Waterford

Invoice No. - 45136082

Amount Due: $20,000.00

Remittance Address
Blum Shapiro & Company P.C.
Dept 106067
P.O. Box 150489
Hartford, CT 06115-0489

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www.billpay.blumshapiro.com

All accounts not paid within 30 days are subject to a 1% monthly finance charge

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West Hartford, CT 06127-2000

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Fax: 860.521.9241
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October 8, 2020

Kim Allen
Town of Waterford
15 Rope Ferry Road
Waterford, CT 06385

Terms: Due upon receipt
730195
Invoice: 45140596

For professional services rendered in connection with:	
Progress Billing #2 - June 30, 2020 Audit	25,000.00
Total Services	25,000.00
Total Current Charges	$25,000.00

Inv# PROGRESS #2 JUNE 202 $ 25,000.00
BLUM, SHAPIRO & COMPANY, PC
10/08/2020 # Pages 1 FP1 DOC40S174

APPROVED FOR PAYMENT
ACCT# 10103-52030
AMOUNT $25,000
FISCAL YEAR FY21
SIGNATURE Kim Allen
10/20/20

Remittance Advice - Please return with your payment.

Client 730195 - Town of Waterford

Invoice No. - 45140596

Amount Due: $25,000.00

Remittance Address
Blum Shapiro & Company P.C.
Dept 106067
P.O. Box 150489
Hartford, CT 06115-0489

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November 5, 2020

Kim Allen
Town of Waterford
15 Rope Ferry Road
Waterford, CT 06385

Terms: Due upon receipt
730195
Invoice: 45142783

For professional services rendered in connection with:	
Progress Billing #3 - June 30, 2020 Audit	10,000.00
Total Services	10,000.00
Total Current Charges	$10,000.00

APPROVED FOR PAYMENT
ACCT# 10103-52030
AMOUNT $10,000.00
FISCAL YEAR 21
SIGNATURE Kim Allen
DATE 11/5/2020

Inv# 45142783 $ 10,000.00
BLUM, SHAPIRO & COMPANY, PC
11/05/2020 # Pages 1 FP1 DOC40S1035

Remittance Advice - Please return with your payment.

Client 730195 - Town of Waterford

Invoice No. - 45142783

Amount Due: $10,000.00

Remittance Address
Blum Shapiro & Company P.C.
Dept 106067
P.O. Box 150489
Hartford, CT 06115-0489

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P.O. Box 272000
West Hartford, CT 06127-2000

Tel: 860.561.4000
Fax: 860.521.9241
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December 7, 2020

Kim Allen
Town of Waterford
15 Rope Ferry Road
Waterford, CT 06385

Terms: Due upon receipt
730195
Invoice: 45144058

For professional services rendered in connection with:

Final Billing - June 30, 2020 Audit	4,300.00
Less: Electronic Copy Only Discount	-500.00
Total Services	3,800.00
Total Current Charges	$3,800.00

Inv# 45144058 $ 3,800.00
BLUM, SHAPIRO & COMPANY, PC
12/07/2020 # Pages 1 FP1 D0C40S2365

APPROVED FOR PAYMENT
ACCT# 10103-52030
AMOUNT $3800.00
FISCAL YEAR FY21
SIGNATURE Kim Allen
DATE 12/8/20

Remittance Advice - Please return with your payment.

Client 730195 - Town of Waterford

Invoice No. - 45144058

Amount Due: $3,800.00

Remittance Address
Blum Shapiro & Company P.C.
Dept 106067
P.O. Box 150489
Hartford, CT 06115-0489

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www.billpay.blumshapiro.com

All accounts not paid within 30 days are subject to a 1% monthly finance charge

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09/17/2021 08:39
kallen

Town of Waterford, CT
YEAR-TO-DATE BUDGET REPORT

FOR 2020 13

ACCOUNTS FOR: 101 GENERAL FUND

	ORIGINAL APPROP	TRANS/ADJSMTS	REVISED BUDGET	YTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	% USED
10103 BD OF FINANCE							
10103 52030 PROFESSIONAL FEES	58,100.00	0.00	58,100.00	58,100.00	0.00	0.00	100.0%*
TOTAL BD OF FINANCE	58,100.00	0.00	58,100.00	58,100.00	0.00	0.00	100.0%
TOTAL GENERAL FUND	58,100.00	0.00	58,100.00	58,100.00	0.00	0.00	100.0%
TOTAL EXPENSES	58,100.00	0.00	58,100.00	58,100.00	0.00	0.00	

29 South Main Street
P.O. Box 272000
West Hartford, CT 06127-2000

Tel: 860.561.4000
Fax: 860.521.9241
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July 8, 2019

Kevin McNabola
Town of Waterford
15 Rope Ferry Road
Waterford, CT 06385

730195
Invoice: 45119972

For professional services rendered in connection with:	
Progress Billing #1 - June 30, 2019 Audit	15,000.00
Total Services	15,000.00
Total Current Charges	$15,000.00

APPROVED FOR PAYMENT
ACCT# 10103-52030
AMOUNT $15,000
FISCAL YEAR FY20
SIGNATURE KM
DATE 7/16/2019

Inv# 45119972 730195 $ 15,000.00
BLUM, SHAPIRO & COMPANY, PC
07/08/2019 # Pages 1 FP1 DOC20S95

Remittance Advice - Please return with your payment.

Client 730195 - Town of Waterford

Invoice No. - 45119972

Amount Due: $15,000.00

Remittance Address
Blum Shapiro & Company P.C.
Dept 106067
P.O. Box 150489
Hartford, CT 06115-0489

You can also pay your bill online.
Please visit our bill pay website at
www.billpay.blumshapiro.com

All accounts not paid within 30 days are subject to a 1% monthly finance charge

29 South Main Street
P.O. Box 272000
West Hartford, CT 06127-2000

Tel: 860.561.4000
Fax: 860.521.9241
blumshapiro.com

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accounting • tax • advisory

October 9, 2019

Kevin McNabola
Town of Waterford
15 Rope Ferry Road
Waterford, CT 06385

730195
Invoice: 45123026

For professional services rendered in connection with:	
Progress Billing #2 - June 30, 2019 Audit	35,000.00
Total Services	35,000.00
Total Current Charges	$35,000.00

Inv# 45123026 $ 35,000.00
BLUM, SHAPIRO & COMPANY, PC
10/09/2019 # Pages 1 FP1 DOC25S647

APPROVED FOR PAYMENT
ACCT# 10103 52030
AMOUNT $35,000.00
FISCAL YEAR 20
SIGNATURE Km
11/25/19

Remittance Advice - Please return with your payment.

Client 730195 - Town of Waterford

Invoice No. - 45123026

Amount Due: $35,000.00

Remittance Address
Blum Shapiro & Company P.C.
Dept 106067
P.O. Box 150489
Hartford, CT 06115-0489

You can also pay your bill online.
Please visit our bill pay website at
www.billpay.blumshapiro.com

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29 South Main Street
P.O. Box 272000
West Hartford, CT 06127-2000

Tel: 860.561.4000
Fax: 860.521.9241
blumshapiro.com

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December 31, 2019

Kevin McNabola
Town of Waterford
15 Rope Ferry Road
Waterford, CT 06385

730195
Invoice: 45126921

For professional services rendered in connection with:	
Final Billing - June 30, 2019 Audit	8,100.00
Total Services	8,100.00
Total Current Charges	$8,100.00

APPROVED FOR PAYMENT
ACCT# 10103-58030
AMOUNT 8,100.00
FISCAL YEAR 2020
SIGNATURE
DATE

Inv# 45123921 $ 8,100.00
BLUM, SHAPIRO & COMPANY, PC
12/31/2019 # Pages 1 FP1 DOC32S107

Remittance Advice - Please return with your payment.

Client 730195 - Town of Waterford

Invoice No. - 45126921

Amount Due: $8,100.00

Remittance Address
Blum Shapiro & Company P.C.
Dept 106067
P.O. Box 150489
Hartford, CT 06115-0489

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All accounts not paid within 30 days are subject to a 1% monthly finance charge

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TOWN OF WATERFORD
GENERAL FUND
2022-2023 PROPOSED BUDGET

DEPT/AGENCY: 10121 CONTINGENCY

LINE ITEM	DESCRIPTION	2020/2021 ACTUAL EXPENDED	2021/2022 RTM APPROP.	2021/2022 ADDITIONAL/ TRANSFERS	ACTUAL EXPEND & ENCUMB AS OF 11/18/21	2022/2023 DEPT/ AGENCY REQUEST	2022/2023 APPROVED BD/COMM.	Department Request $ Increase	Department Request % Increaxe
MISCELLANEOUS									
59010	CONTINGENCY	204,072	265,000		178,687	265,000		0	0.00%
	SUBTOTAL	204,072	265,000	0	178,687	265,000	0	0	0.00%
DEPARTMENT TOTAL		204,072	265,000	0	178,687	265,000	0	0	0.00%

7.

BUDGET FUNCTION

Section 7-348 of the Connecticut General Statues provides that "the estimate of expenditures submitted by the Board of Finance to the annual town meeting or annual budget meeting may include a recommended appropriation for a contingent fund in an amount not to exceed three percent (3%) of the total estimated expenditures for the current fiscal year."

Specific use of the 2022-2023 contingency is unknown at this time; the amount is appropriated for the use of unanticipated expenses. Examples of unanticipated expenses include:

- Payment of accrued time for employee retirement
- Pension contribution rate increases (the Town is not notified of its contribution rate until February)
- Accrued vacation/sick time buy-outs at termination
- Union negotiated contracts finalized during the year
  Expected contract settlements during the FY23:
  - Police
  - 1303 (Clerical & Technical)
  - Dispatchers
- Other unanticipated expenditures that may arise during the course of the fiscal year

BUDGET SUMMARY

The FY2203 budget request remains flat since FY2021.

TOWN OF WATERFORD
PROPOSED CONTINGENCY BUDGET
2022/2023 FISCAL YEAR

CONTINGENCY FUND CALCULATION AT 3% of 2021 ADOPTED EXPENDITURES

GENERAL FUND	34,560,086
BOARD OF EDUCATION	50,645,471
CAPITAL	3,865,354
DEBT SERVICE	7,934,633
PROJECTED EXPENDITURES 2022	**97,005,544**
3% OF EXPENDITURES	**2,910,166**
PROPOSED CONTINGENCY BUDGET	**265,000**

FISCAL YEAR	ADOPTED BUDGET	ADDITIONAL APPROPRIATION	ACTUAL EXPENDITURES [1]	CONTINGENCY APPROPRIATION [1]	% OF PRIOR YEAR BUDGET	CONTINGENCY USED [1]	AMOUNT USED AS A % OF ACTUAL EXPENDITURES
2021-2022	97,005,544	0	33,045,412	265,000	0.28%	178,687	0.54%
2020-2021	95,978,230	0	93,822,142	265,000	0.28%	204,072	0.22%
2019-2020	95,267,503	0	94,482,714	250,000	0.27%	201,682	0.21%
2018-2019	93,146,501	800,000	92,983,504	265,000	0.29%	114,934	0.12%
2017-2018	90,791,493	693,075	90,692,176	245,000	0.28%	241,001	0.27%
2016-2017	89,048,631	0	88,457,466	265,000	0.31%	233,498	0.26%
2015-2016	86,328,469	0	85,729,177	245,000	0.28%	245,000	0.29%
2014-2015	86,972,410	0	86,720,266	245,000	0.30%	245,000	0.28%
2013-2014	81,732,977	0	80,914,330	250,000	0.32%	102,556	0.13%
2012-2013	78,790,589	0	78,339,868	250,000	0.34%	249,696	0.32%
2011-2012	74,335,161	0	73,950,456	250,000	0.35%	239,326	0.32%
2010-2011	72,010,209	180,173	71,986,018	250,000	0.36%	25,000	0.03%
2009-2010	69,945,242	0	69,945,242	250,000	0.36%	250,000	0.36%
			AVERAGE	**252,500**	**0.31%**		**0.23%**

[1] AS OF 11/18/21

TOWN OF WATERFORD
2021-2022 CONTINGENCY TRANSFERS

DEPARTMENT	ACCOUNT	PURPOSE	BOARD OF FINANCE APPROVAL	AMOUNT TRANSFERRED	BALANCE
2020-2021 APPROPRIATION					**$265,000.00**
Youth & Family Services	10119-51210 (clerical & technial)	Receptionist Position	11/10/2021	(62,992.00)	$202,008.00
	10119-51910 (FICA)	Taxes for Position	11/10/2021	(3,733.00)	$198,275.00
Insurance	10112-52201 (LAP)	Cyber Security Insurance Premium	8/11/21	(77,273.00)	$121,002.00
IT	10147-54130 (computer equipment)	New firewall	8/11/21	(5,463.00)	$115,539.00
IT	10147-52043 (service contracts)	New MFA contract	8/11/21	(5,226.00)	$110,313.00
First Selectman	10101-52030 (professional fees)	Jay Levin contract	7/21/21	(24,000.00)	$86,313.00
					$86,313.00
					$86,313.00
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					$86,313.00
					$86,313.00
					$86,313.00
					$86,313.00
			TOTAL TRANSFERS FROM CONTINGENCY	**$178,687.00**	

TOWN OF WATERFORD
2020-2021 CONTINGENCY TRANSFERS

DEPARTMENT	ACCOUNT	PURPOSE	BOARD OF FINANCE APPROVAL	AMOUNT TRANSFERRED	BALANCE
2020-2021 APPROPRIATION					**$265,000.00**
Police Capital	32921-55864	Body Cameras	8/8/2020	(11,850.00)	$60,928.00
Fire Services	10123-51410, firefighting & 51920 (FICA)	FLSA Claim Settlement	10/23/20	(77,044.00)	$187,956.00
Police Department	10129-53220 (marine patrol supplies)	Replacement Generator for Patrol Boat	2/10/21	(16,566.00)	$171,390.00
Information Technolgoy	10147-52043 (contracts)	PD Records Management Software	2/10/21	(33,639.00)	$137,751.00
Library	10136-5110 (adminsitration)	Payout to Administrator	2/10/21	(44,471.00)	$93,280.00
Town Clerk	various	Election needs	6/30/21	(4,024.00)	$89,256.00
Youth & Family	10119-51110 (administration)	Additional Staff Salary	6/30/21	(3,758.00)	$85,498.00
Youth & Family	10119-51210 (clerical)	Additional Staff Salary	6/30/21	(12,720.00)	$72,778.00
					$60,928.00
					$60,928.00
					$60,928.00
					$60,928.00
					$60,928.00
					$60,928.00
					$60,928.00
					$60,928.00
					$60,928.00
					$60,928.00
					$60,928.00
			TOTAL TRANSFERS FROM CONTINGENCY	**$204,072.00**	

TOWN OF WATERFORD
2019-2020 CONTINGENCY TRANSFERS

DEPARTMENT	ACCOUNT	PURPOSE	BOARD OF FINANCE APPROVAL	AMOUNT TRANSFERRED	BALANCE
2019-2020 APPROPRIATION					**$250,000.00**
First Selectman	10101-52020 Postage	State of CT mailing for Vietname era award ceremony	12/11/19	(200.00)	$249,800.00
Building Maintenance	10111-55030 Public Improvements	to complete necessary town facility maintenance (window films, roof repairs, sump pump)	3/11/20	(10,000.00)	$239,800.00
First Selectman	10101-53119 Emergency Expenditures	to cover COVID-19 expenditures	9/9/20	(191,482.31)	$48,317.69
					$48,317.69
					$48,317.69
					$48,317.69
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					$48,317.69
					$48,317.69
					$48,317.69
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					$48,317.69
					$48,317.69
					$48,317.69
					$48,317.69
					$48,317.69
			TOTAL TRANSFERS FROM CONTINGENCY	**$201,682.31**	

TOWN OF WATERFORD
2018-2019 CONTINGENCY TRANSFERS

DEPARTMENT	ACCOUNT	PURPOSE	BOARD OF FINANCE APPROVAL	AMOUNT TRANSFERRED	BALANCE
2018-2019 APPROPRIATION					**$265,000.00**
CIP	10138-55832	transfer to CIP	9/12/18	(35,493.00)	$229,507.00
Registrar	10102-51320 Election Activities		10/10/18	(8,677.00)	$220,830.00
Registrar	10102-52070 Reimbursable Expenses		10/10/18	(160.00)	$220,670.00
Registrar	10102-52080 Telephone		10/10/18	(54.00)	$220,616.00
Registrar	10102-53020 Other Supplies		10/10/18	(2,679.00)	$217,937.00
BOF	10103-52030 Professional Fees		1/9/19	(6,900.00)	$211,037.00
Assessor	10104-51110 Administration		7/17/19	(5,662.02)	$205,374.98
Assessor	10104-51210 Professional Fees		7/17/19	(1,367.19)	$204,007.79
Assessor	10104-51920 FICA		7/17/19	(151.00)	$203,856.79
Assessor	10104-52030 Professional Fees		7/17/19	(10,662.60)	$193,194.19
Assessor	10104-53200 Pricing		7/17/19	(641.00)	$192,553.19
Tax Collector	10106-52120 Professional Fees		7/17/19	(1,272.53)	$191,280.66
Legal	10108-52030 Professional Fees		7/17/19	(4,413.00)	$186,867.66
Police	10129-53090 Fuel & Lubricants		7/17/19	(14,435.00)	$172,432.66
Human Resources	10145-51210 Professional Fees		7/17/19	(2,367.00)	$170,065.66
First Selectman	10101-52030 Professional Fees		7/17/19	(20,000.00)	$150,065.66
			TOTAL TRANSFERS FROM CONTINGENCY	**$114,934.34**	

TOWN OF WATERFORD
2017-2018 CONTINGENCY TRANSFERS

DEPARTMENT	ACCOUNT	PURPOSE	BOARD OF FINANCE APPROVAL	AMOUNT TRANSFERRED	BALANCE
2017-2018 APPROPRIATION					**$245,000.00**
IT	10147-54130 Computer System		8/9/17	(5,200.00)	$239,800.00
Building Maintenance	10111-55030 Building Improvements		1/10/18	(5,000.00)	$234,800.00
First Selectman	10101-52030 Professional Fees		3/14/18	(60,000.00)	$174,800.00
Building Maintenance	10111-55030 Building Improvements		5/16/18	(13,178.00)	$161,622.00
Zoning BOA	10115-52010 Advertising		6/13/18	(1,000.00)	$160,622.00
Retirement	10116-51930 Hypertension/Heart Disease		7/18/18	(106,789.00)	$53,833.00
Retirement	10116-51940 Pension Contribution		7/18/18	(20,413.00)	$33,420.00
Building Department	10118-51110 Administration		7/18/18	(24,842.00)	$8,578.00
Human Resources	10145-52030 Professional Fees		7/18/18	(129.00)	$8,449.00
Human Resources	10145-51210 Clerical/Technical		7/18/18	(474.00)	$7,975.00
Human Resources	10145-51110 Administration		7/18/18	(3,976.00)	$3,999.00
					$3,999.00
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					$3,999.00
					$3,999.00
					$3,999.00
					$3,999.00
					$3,999.00
					$3,999.00
			TOTAL TRANSFERS FROM CONTINGENCY	**$241,001.00**	

TOWN OF WATERFORD
2016-2017 CONTINGENCY TRANSFERS

DEPARTMENT	ACCOUNT	PURPOSE	BOARD OF FINANCE APPROVAL	AMOUNT TRANSFERRED	BALANCE
2016-2017 APPROPRIATION					**$265,000.00**
Registrar	10102-51010 Elected Officials		7/20/16	(8,302.00)	$256,698.00
Registrar	10102-51920 FICA		7/20/16	(635.00)	$256,063.00
	10638-55804		12/14/16	(4,664.00)	$251,399.00
Rec & Park	10137-51620 Programs		2/15/17	(20,000.00)	$231,399.00
Building Maintenance	10111-55030		3/5/17	(5,000.00)	$226,399.00
Finance	10107-54130 Computer Support		4/12/17	(4,770.00)	$221,629.00
	10110-52030 Professional Fees		5/17/17	(9,650.00)	$211,979.00
Assessor	10104-51110 Administration		7/19/2017	(88,885.00)	$123,094.00
Assessor	10104-51210 Clerical/Technical		7/19/2017	(2,215.00)	$120,879.00
Town Clerk	10109-51110 Administration		7/19/2017	(1,337.00)	$119,542.00
Town Clerk	10109-51210 Clerical/Technical		7/19/2017	(882.00)	$118,660.00
Planning & Zoning	10110-51120 Inspection		7/19/2017	(3,006.00)	$115,654.00
Planning & Zoning	10110-51920 FICA		7/19/2017	(160.00)	$115,494.00
Building Department	10118-51120 Inspection		7/19/2017	(2,067.00)	$113,427.00
Human Resources	10145-52010 Advertising		7/19/2017	(2,064.00)	$111,363.00
Emergency Management	10122-51810 Dispatch Overtime		7/19/2017	(11,941.00)	$99,422.00
Emergency Management	10122-52080 Telephone		7/19/2017	(500.00)	$98,922.00
Fire	10123-53070 Automotive Repairs		7/19/2017	(14,641.00)	$84,281.00
Senior Services	10135-51110 Administration		7/19/2017	(29,808.00)	$54,473.00
First Selectman	10101-52030 Professional Fees		7/19/2017	(20,000.00)	$34,473.00
First Selectman	10101-52030 Professional Fees		8/9/2017	(2,971.00)	$31,502.00
			TOTAL TRANSFERS FROM CONTINGENCY	**$233,498.00**	

TOWN OF WATERFORD
GENERAL FUND
2022/2023 PROPOSED BUDGET

DEPT/AGENCY: 10139 DEBT SERVICE

LINE ITEM	DESCRIPTION	2020/2021 ACTUAL EXPENDED	2021/2022 RTM APPROP.	2021/2022 ADDITIONAL/ TRANSFERS	ACTUAL EXPEND & ENCUMB AS OF 11/18/21	2022/2023 DEPT/ AGENCY REQUEST	2022/2023 APPROVED BD/COMM.	Department Request $ Increase	Department Request % Increaxe
PRINCIPAL & INTEREST									
56025	OSWEGATCHIE PRINCIPAL	735,000						0	#DIV/0!
56026	OSWEGATCHIE INTEREST	9,188						0	#DIV/0!
56029	HIGH SCHOOL BOND PRINCIPAL	1,755,000	1,755,000		1,755,000			(1,755,000)	-100.00%
56032	HIGH SCHOOL BOND INTEREST	348,998	35,100		35,100			(35,100)	-100.00%
56033	SCHOOLS ISSUE OF 2014 PRINCIPAL	750,000	815,000			845,000		30,000	3.68%
56034	SCHOOLS ISSUE OF 2014 INTEREST	258,709	57,950		28,975	25,530		(32,420)	-55.94%
56035	2014 BOND REFUNDING - PRINCIPAL	840,000	835,000		835,000	830,000		(5,000)	-0.60%
56036	2014 BOND REFUNDING - INTEREST	240,275	206,750		109,638	180,738		(26,012)	-12.58%
56037	2017 BOND REFUNDING - PRINCIPAL	325,000	1,050,000		1,050,000	1,055,000		5,000	0.48%
56038	2017 BOND REFUNDING - INTEREST	630,275	609,650		312,700	567,525		(42,125)	-6.91%
56039	2019 BOND REFUNDING PRINCIPAL	655,000	700,000		700,000	715,000		15,000	2.14%
56040	2019 BOND REFUNDING INTEREST	442,469	375,750		196,625	340,375		(35,375)	-9.41%
56041	2019 BAN'S MUNI COMP $10M ISSUE	224,375						0	#DIV/0!
56042	2020 MUNICIPAL COMPLEX - PRINCIPAL		685,000		685,000	685,000		0	0.00%
56043	2020 MUNICIPAL COMPLEX - INTEREST	306,176	457,975		237,550	423,725		(34,250)	-7.48%
56044	2020 BOND REFUNDING - PRINCIPAL					1,180,000			
56045	2020 BOND REFUNDING - INTEREST	43,932	351,458		175,729	349,747		(1,711)	-0.49%
DEPARTMENT TOTAL		7,564,397	7,934,633	0	6,121,317	7,197,640	0	(736,993)	-9.29%

Principal Subtotal 5,310,000
Interest Subotal 1,887,640

8.

BUDGET FUNCTION

The town typically incurs monetary debt in the form of long-term (20 year) bonds used as a funding source for long-term capital projects. The debt service budget defines the amount of both principal and interest that the town will pay its creditors (typically bondholders) in a given fiscal year.

The debt service budget can also be used to manage repayment of shorter-term multi-year debt. (Note that large capital projects may be funded by any combination of long term borrowing, general fund appropriations, short-term borrowing, grants, special assessments, special revenue fund appropriation, and donations to fully fund a given project. The debt service budget addresses borrowed funds.

References:

Charter Section 3.2.3 Capital Plans (First Selectman)

Ordinance 2.04.170 - Budget Preparation and Submission (RTM)

Ordinance 2.04.180 – Appropriations (RTM)

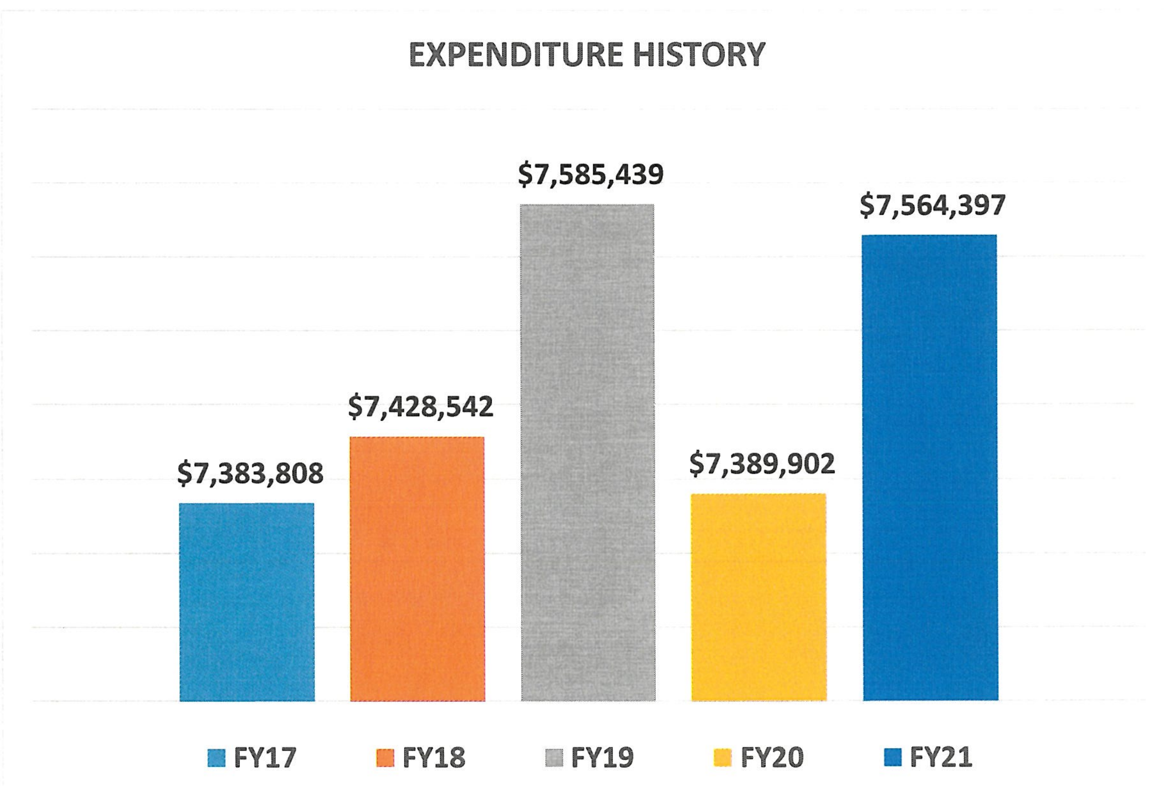
Conn Statutes: C.G.S.A. § 7-369 et seq.

BUDGET SUMMARY

The FY23 budget request is $736,993 (-9.29%) lower than fiscal year 2022 due to the following changes:

1. The High School Bond was eliminated as part of the 2020 Bond Refunding.
2. All but one payment of the 2014 Bond Issuance for the schools was eliminated as part of the 2020 Bond Refunding.

The first payment against principal for the 2020 bond refunding is due in FY23.



Statement of Debt Incurred, Outstanding Interest Maturities

General Government

Issue	Date of Issue	Maturity Date	Amount of Issue	Ave. Coupon	Outstanding as of 6/30/2022	Principal Due FY-2023	Interest Due FY-2023
2014 High School	Mar 2014	Mar 2034	$15,930,000	3.474%	$845,000	$845,000	$25,530
2014 Refunding Bond Issue	Dec 2014	Aug. 2026	$9,440,000	4.529%	$4,175,000	$830,000	$180,738
2017 Refunding Bond Issue	Jun 2018	Aug 2030	$14,585,000	4.642%	$12,365,000	$1,055,000	$567,525
2019 Refunding Bond Issue	Dec 2019	Aug 2030	$9,085,000	4.494%	$7,730,000	$715,000	$340,375
2020 Municipal Complex	Jul 2020	Sept 2040	$13,655,000	2.260%	$12,970,000	$685,000	$423,725
2020 Refunding Bond Issue	Dec 2020	Aug 2033	$28,890,000	1.210%	$28,890,000	$1,180,000	$349,747
			$91,585,000		$66,975,000	$5,310,000	$1,887,640

Total Debt Service **$7,197,640**

Town of Waterford

Bonded Debt History

Original Issues

2007 G.O. Bonds – Additions and Renovations to Clark Lane Middle School	$15,865,000
2009 G.O. Bonds – Additions and Renovations to Quaker Hill Elementary School	$ 8,500,000
2011 G.O. Bonds – Additions and Renovations to Oswegatchie Elementary School	$14,000,000
2012 G.O. Bonds – Additions and Renovations Great Neck Elementary School	$15,640,000
2013 G.O. Bonds – Additions and Renovations to Waterford High School	$33,750,000
2014 G.O. Bonds – Additions and Renovations to Waterford High School	$13,700,000
Renovations Quaker Hill Elementary	$ 851,545
Renovations Oswegatchie Elementary	$ 720,425
Renovations Great Neck Elementary	$ 658,030
Total	$15,930,000
2020 G.O. Bonds – Municipal Complex Garage Addition and Renovations	$13,655,000
Total Bonded	$15,096,084

Refunding Issues

2014 G.O. Refunding Bonds	Refunding of G.O. Bonds, Issue 2007	$ 9,440,000

Original 2007 Issue $15,865,000 for Additions and Renovations to Clark Lane Middle School

2017 G.O. Refunding Bonds		$14,585,000
	Refunding of G.O. Bonds, Issue 2009	$ 4,900,000
	Refunding of G.O. Bonds, Issue 2011	$ 7,350,000
	Refunding of G.O. Bonds, Issue 2013	$ 4,000,000
	Total Refunded	$16,250,000
2019 G.O. Refunding Bonds	Refunding of G.O. Bonds, Issue 2012	$ 9,085,000
	Total Refunded	$10,375,000
2020 G.O. Refunding Bonds	Refunding of G.O. Bonds, Issue 2013	$17,585,000
	Refunding of G.O. Bonds, Issue 2014	$10,115,000
	Total Refunded	$28,890,000

Town of [illegible]erford
Debt Service
Amorization Schedule

	Original Issue Amount	FY-2023	FY-2024	FY-2025	FY-2026	FY-2027	FY-2028
2014 G.O. Bonds	$ 15,930,000.00						
Principal		$ 845,000.00					
Interest		$ 25,530.00					
		$ 870,530.00	$ -	$ -	$ -	$ -	$ -
2014 G.O. Refunding	$ 9,440,000.00						
Principal		$ 830,000.00	$ 825,000.00	$ 835,000.00	$ 840,000.00	$ 845,000.00	
Interest		$ 180,737.50	$ 146,625.00	$ 105,125.00	$ 63,250.00	$ 21,125.00	
		$ 1,010,737.50	$ 971,625.00	$ 940,125.00	$ 903,250.00	$ 866,125.00	$ -
2017 G.O. Refunding	$ 14,585,000.00						
Principal		$ 1,055,000.00	$ 1,070,000.00	$ 1,085,000.00	$ 1,070,000.00	$ 2,830,000.00	$ 2,820,000.00
Interest		$ 567,525.00	$ 514,400.00	$ 460,525.00	$ 406,650.00	$ 309,150.00	$ 167,900.00
		$ 1,622,525.00	$ 1,584,400.00	$ 1,545,525.00	$ 1,476,650.00	$ 3,139,150.00	$ 2,987,900.00
2019 G.O. Refunding	$ 9,085,000.00						
Principal		$ 715,000.00	$ 675,000.00	$ 855,000.00	$ 870,000.00	$ 885,000.00	$ 905,000.00
Interest		$ 340,375.00	$ 305,625.00	$ 267,375.00	$ 224,250.00	$ 180,375.00	$ 135,625.00
		$ 1,055,375.00	$ 980,625.00	$ 1,122,375.00	$ 1,094,250.00	$ 1,065,375.00	$ 1,040,625.00

Town of terford
Debt Service
Amorization Schedule

	Original Issue Amount	FY-2023	FY-2024	FY-2025	FY-2026	FY-2027	FY-2028
2020 G.O. Bonds	$ 13,655.00						
Principal		$ 685,000.00	$ 685,000.00	$ 685,000.00	$ 685,000.00	$ 685,000.00	$ 685,000.00
Interest		$ 423,725.00	$ 389,475.00	$ 355,225.00	$ 320,975.00	$ 286,725.00	$ 252,475.00
		$ 1,108,725.00	$ 1,074,475.00	$ 1,040,225.00	$ 1,005,975.00	$ 971,725.00	$ 937,475.00
2020 G.O. Refunding	$ 28,890,000.00						
Principal		$ 1,180,000.00	$ 3,195,000.00	$ 3,135,000.00	$ 3,180,000.00	$ 1,150,000.00	$ 1,135,000.00
Interest		$ 349,746.50	$ 342,124.75	$ 314,375.00	$ 304,375.00	$ 286,050.50	$ 273,374.75
		$ 1,529,746.50	$ 3,537,124.75	$ 3,449,375.00	$ 3,484,375.00	$ 1,436,050.50	$ 1,408,374.75
	Principal	$ 5,310,000.00	$ 6,450,000.00	$ 6,595,000.00	$ 6,645,000.00	$ 6,395,000.00	$ 5,545,000.00
	Interest	$ 1,887,639.00	$ 1,698,249.75	$ 1,502,625.00	$ 1,319,500.00	$ 1,083,425.50	$ 829,374.75
	GRAND TOTAL	$ 7,197,639.00	$ 8,148,249.75	$ 8,097,625.00	$ 7,964,500.00	$ 7,478,425.50	$ 6,374,374.75

Town of [illegible]terford
Debt Service
Amorization Schedule

	FY-2029	FY-2030	FY-2031	FY-2032	FY-2033	FY-2034	Totals
2014 G.O. Bonds							
Principal							$ 845,000.00
Interest							$ 25,530.00
	$ -	$ -	$ -	$ -	$ -	$ -	$ 1,741,060.00
2014 G.O. Refunding							
Principal							$ 4,175,000.00
Interest							$ 516,862.50
	$ -	$ -	$ -	$ -	$ -	$ -	$ 4,691,862.50
2017 G.O. Refunding							
Principal	$ 1,070,000.00	$ 685,000.00	$ 680,000.00				$ 12,365,000.00
Interest	$ 76,000.00	$ 40,900.00	$ 13,600.00				$ 2,556,650.00
	$ 1,146,000.00	$ 725,900.00	$ 693,600.00	$ -	$ -	$ -	$ 14,921,650.00
2019 G.O. Refunding							
Principal	$ 915,000.00	$ 950,000.00	$ 960,000.00				$ 7,730,000.00
Interest	$ 94,700.00	$ 57,400.00	$ 19,200.00				$ 1,624,925.00
	$ 1,009,700.00	$ 1,007,400.00	$ 979,200.00	$ -	$ -	$ -	$ 9,354,925.00

Town of terford

Debt Service

Amorization Schedule

	FY-2029	FY-2030	FY-2031	FY-2032	FY-2033	FY-2034	Totals
2020 G.O. Bonds							
Principal	$ 685,000.00	$ 685,000.00	$ 685,000.00				$ 6,165,000.00
Interest	$ 218,225.00	$ 187,400.00	$ 163,425.00				$ 2,597,650.00
	$ 903,225.00	$ 872,400.00	$ 848,425.00	$ -	$ -	$ -	$ 8,762,650.00
2020 G.O. Refunding							
Principal	$ 3,100,000.00	$ 3,055,000.00	$ 3,015,000.00	$ 2,950,000.00	$ 2,890,000.00	$ 905,000.00	$ 28,890,000.00
Interest	$ 243,836.50	$ 197,069.75	$ 147,912.75	$ 96,925.00	$ 44,380.00	$ 8,823.75	$ 2,608,994.25
	$ 3,343,836.50	$ 3,252,069.75	$ 3,162,912.75	$ 3,046,925.00	$ 2,934,380.00	$ 913,823.75	$ 31,498,994.25
	$ 5,770,000.00	$ 5,375,000.00	$ 5,340,000.00	$ 2,950,000.00	$ 2,890,000.00	$ 905,000.00	$ 60,170,000.00
	$ 632,761.50	$ 482,769.75	$ 344,137.75	$ 96,925.00	$ 44,380.00	$ 8,823.75	$ 9,930,611.75
	$ 6,402,761.50	$ 5,857,769.75	$ 5,684,137.75	$ 3,046,925.00	$ 2,934,380.00	$ 913,823.75	$ 70,100,611.75

2020 Refunding

AMTEC

American Municipal Tax-Exempt Compliance

90 Avon Meadow Lane
Avon, CT 06001
(T) 860-321-7521
(F) 860-321-7581
www.amteccorp.com

Town of Waterford ("Issuer")
15 Rope Ferry Road
Waterford, CT 06385

Day Pitney, LLP ("Bond Counsel")
242 Trumbull Street
Hartford, CT 06103

Hilltop Securities Inc. ("Financial Advisor")
129 Samson Rock Drive, Suite A
Madison, CT 06443

Robert W. Baird & Co., Inc. ("Senior Manager")
One Harding Road, Suite 207
Red Bank, NJ 07701

U.S. Bank National Association
("Paying/Escrow Agent")
CityPlace I, 185 Asylum Street, 27th Floor
Hartford, CT 06103

Town of Waterford, Connecticut
General Obligation Refunding Bonds, Series 2020B (Federally Taxable)

The Town of Waterford, Connecticut proposes to issue the above referenced General Obligation Refunding Bonds, Series 2020B (Federally Taxable) (the "Bonds"). The Bonds are dated December 30, 2020 and will be issued on December 30, 2020. The Bonds consist of $28,890,000 Serial Bonds.

A portion of the proceeds of the Bonds will be used to purchase United States Treasury Securities – State and Local Government Series (the "Acquired Obligations"), together with an initial cash deposit, which will be placed in an irrevocable escrow fund, to be used solely to refund that portion of the Issuer's General Obligation Bonds, Issue of 2013 and General Obligation Bonds, Issue of 2014 described below (collectively, the "Refunded Bonds").

Series	Principal Issued	Dated	Principal Refunded	Maturities Refunded	Redemption Date	Redemption Price
2013	$33,750,000	3/15/13	$17,585,000	8/15/22 to 8/15/32	8/15/21	100%
2014	$15,930,000	3/17/14	$10,115,000	3/15/24 to 3/15/34	3/15/22	100%

At your request, we have independently calculated, based on information and assumptions as provided to us by the Financial Advisor, that the receipts from the Acquired Obligations, along with the initial cash deposit, will be sufficient to pay to and at redemption, the principal and interest on the Refunded Bonds.

At your request, we have independently verified the arithmetical accuracy of the computations based on schedules provided to us by the Financial Advisor. We have relied solely on the assumptions and information, as provided to us by the Financial Advisor, and have not made any study or evaluation of them, except as noted below. We express no opinion on the reasonableness of the assumptions, or the likelihood that the debt service requirements of the Refunded Bonds will be paid as described in the accompanying exhibits.

General Obligation Bonds, Issue of 2013

Issue	Dated Date	Maturity Date	Amount Outstanding	Interest Rate	Redemption Date	Redemption Price
2013	3/15/2013	8/15/2022	$1,755,000	2.000%	8/15/2021	100.00%
2013	3/15/2013	8/15/2023	1,955,000	2.250	8/15/2021	100.00
2013	3/15/2013	8/15/2024	1,955,000	2.250	8/15/2021	100.00
2013	3/15/2013	8/15/2025	2,000,000	2.500	8/15/2021	100.00
2013	3/15/2013	8/15/2028	2,000,000	3.250	8/15/2021	100.00
2013	3/15/2013	8/15/2029	2,000,000	3.000	8/15/2021	100.00
2013	3/15/2013	8/15/2030	2,000,000	3.000	8/15/2021	100.00
2013	3/15/2013	8/15/2031	1,970,000	3.100	8/15/2021	100.00
2013	3/15/2013	8/15/2032	1,950,000	3.500	8/15/2021	100.00
			17,585,000			

General Obligation Bonds, Issue of 2014

Issue	Dated Date	Maturity Date	Amount Outstanding	Interest Rate	Redemption Date	Redemption Price
2014	3/17/2014	3/15/2024	875,000	3.000	3/15/2022	100.00
2014	3/17/2014	3/15/2025	875,000	3.000	3/15/2022	100.00
2014	3/17/2014	3/15/2026	920,000	3.000	3/15/2022	100.00
2014	3/17/2014	3/15/2027	925,000	3.000	3/15/2022	100.00
2014	3/17/2014	3/15/2028	925,000	3.200	3/15/2022	100.00
2014	3/17/2014	3/15/2029	925,000	3.250	3/15/2022	100.00
2014	3/17/2014	3/15/2030	925,000	3.500	3/15/2022	100.00
2014	3/17/2014	3/15/2031	925,000	3.625	3/15/2022	100.00
2014	3/17/2014	3/15/2032	940,000	3.750	3/15/2022	100.00
2014	3/17/2014	3/15/2033	940,000	3.875	3/15/2022	100.00
2014	3/17/2014	3/15/2034	940,000	3.875	3/15/2022	100.00
			$10,115,000			
		Total..............	$27,700,000			

Upon delivery of the Bonds, a portion of proceeds of the Bonds will be placed in an irrevocable escrow fund (the "Escrow Deposit Fund") established with U.S. Bank National Association, as escrow agent (the "Escrow Agent") under an Escrow Agreement (the "Escrow Agreement") dated as of the date of delivery of the Bonds, between the Escrow Agent and the Town. The Escrow Agent will use such proceeds to purchase a portfolio of non-callable direct obligations guaranteed by the government of the United States of America, including United States Treasury State and Local Government Series ("SLGS") securities, Federal National Mortgage Association ("FNMA") securities and any other securities permitted by Section 7-400 of the Connecticut General Statutes, all of which shall not be callable or prepayable at the option of the issuer of the securities (the "Escrow Securities"), the principal of and interest on which, when due, will provide amounts sufficient to pay the principal, interest and redemption premium on the Refunded Bonds to the redemption dates or maturity (the "Escrow Requirements"). All investment income on and maturing principal of the Escrow Securities held in the Escrow Deposit Fund and needed to pay the principal, interest and redemption premium on the Refunded Bonds will be irrevocably deposited by the Town for payment of the Refunded Bonds. The balance of the proceeds of the Bonds will be used to pay costs of issuance and Underwriter's discount.

2020 Refund 2013 & 2014 Refund

BOND DEBT SERVICE

Town of Waterford, Connecticut
General Obligation Refunding Bonds, Series 2020B (Federally Taxable)

Dated Date 12/30/2020
Delivery Date 12/30/2020

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
02/15/2021			43,932.19	43,932.19	
06/30/2021					43,932.19
08/15/2021			175,728.75	175,728.75	
02/15/2022			175,728.75	175,728.75	
06/30/2022					351,457.50
08/15/2022	1,180,000	0.290%	175,728.75	1,355,728.75	
02/15/2023			174,017.75	174,017.75	
06/30/2023					1,529,746.50
08/15/2023	3,195,000	0.370%	174,017.75	3,369,017.75	
02/15/2024			168,107.00	168,107.00	
06/30/2024					3,537,124.75
08/15/2024	3,135,000	0.620%	168,107.00	3,303,107.00	
02/15/2025			158,388.50	158,388.50	
06/30/2025					3,461,495.50
08/15/2025	3,180,000	0.780%	158,388.50	3,338,388.50	
02/15/2026			145,986.50	145,986.50	
06/30/2026					3,484,375.00
08/15/2026	1,150,000	1.030%	145,986.50	1,295,986.50	
02/15/2027			140,064.00	140,064.00	
06/30/2027					1,436,050.50
08/15/2027	1,135,000	1.190%	140,064.00	1,275,064.00	
02/15/2028			133,310.75	133,310.75	
06/30/2028					1,408,374.75
08/15/2028	3,100,000	1.470%	133,310.75	3,233,310.75	
02/15/2029			110,525.75	110,525.75	
06/30/2029					3,343,836.50
08/15/2029	3,055,000	1.570%	110,525.75	3,165,525.75	
02/15/2030			86,544.00	86,544.00	
06/30/2030					3,252,069.75
08/15/2030	3,015,000	1.670%	86,544.00	3,101,544.00	
02/15/2031			61,368.75	61,368.75	
06/30/2031					3,162,912.75
08/15/2031	2,950,000	1.750%	61,368.75	3,011,368.75	
02/15/2032			35,556.25	35,556.25	
06/30/2032					3,046,925.00
08/15/2032	2,890,000	1.850%	35,556.25	2,925,556.25	
02/15/2033			8,823.75	8,823.75	
06/30/2033					2,934,380.00
08/15/2033	905,000	1.950%	8,823.75	913,823.75	
06/30/2034					913,823.75
	28,890,000		3,016,504.44	31,906,504.44	31,906,504.44

PROOF OF ARBITRAGE YIELD

Town of Waterford, Connecticut
General Obligation Refunding Bonds, Series 2020B (Federally Taxable)

Date	Debt Service	Total	Present Value to 12/30/2020 @ 1.4572961436%
02/15/2021	43,932.19	43,932.19	43,852.52
08/15/2021	175,728.75	175,728.75	174,141.21
02/15/2022	175,728.75	175,728.75	172,881.51
08/15/2022	1,355,728.75	1,355,728.75	1,324,114.49
02/15/2023	174,017.75	174,017.75	168,730.38
08/15/2023	3,369,017.75	3,369,017.75	3,243,022.95
02/15/2024	168,107.00	168,107.00	160,649.55
08/15/2024	3,303,107.00	3,303,107.00	3,133,742.84
02/15/2025	158,388.50	158,388.50	149,180.26
08/15/2025	3,338,388.50	3,338,388.50	3,121,559.22
02/15/2026	145,986.50	145,986.50	135,517.19
08/15/2026	1,295,986.50	1,295,986.50	1,194,343.28
02/15/2027	140,064.00	140,064.00	128,145.16
08/15/2027	1,275,064.00	1,275,064.00	1,158,122.95
02/15/2028	133,310.75	133,310.75	120,208.41
08/15/2028	3,233,310.75	3,233,310.75	2,894,437.11
02/15/2029	110,525.75	110,525.75	98,226.15
08/15/2029	3,165,525.75	3,165,525.75	2,792,907.24
02/15/2030	86,544.00	86,544.00	75,804.44
08/15/2030	3,101,544.00	3,101,544.00	2,697,010.26
02/15/2031	61,368.75	61,368.75	52,978.41
08/15/2031	3,011,368.75	3,011,368.75	2,580,848.91
02/15/2032	35,556.25	35,556.25	30,252.52
08/15/2032	2,925,556.25	2,925,556.25	2,471,161.24
02/15/2033	8,823.75	8,823.75	7,399.34
08/15/2033	913,823.75	913,823.75	760,762.44
	31,906,504.44	31,906,504.44	28,890,000.00

Proceeds Summary

Delivery date	12/30/2020
Par Value	28,890,000.00
Target for yield calculation	28,890,000.00

SAVINGS

Town of Waterford, Connecticut
General Obligation Refunding Bonds, Series 2020B (Federally Taxable)

Date	Prior Debt Service	Refunding Debt Service	Savings	Present Value to 12/30/2020 @ 1.4572961%
06/30/2021	414,456.88	43,932.19	370,524.69	369,646.67
06/30/2022	828,913.76	351,457.50	477,456.26	471,023.82
06/30/2023	2,566,363.76	1,529,746.50	1,036,617.26	1,010,467.74
06/30/2024	3,601,820.01	3,537,124.75	64,695.26	53,335.62
06/30/2025	3,531,582.51	3,461,495.50	70,087.01	57,886.39
06/30/2026	3,548,338.76	3,484,375.00	63,963.76	51,175.07
06/30/2027	1,500,738.76	1,436,050.50	64,688.26	51,149.35
06/30/2028	1,472,988.76	1,408,374.75	64,614.01	50,421.74
06/30/2029	3,410,888.76	3,343,836.50	67,052.26	52,068.28
06/30/2030	3,318,326.26	3,252,069.75	66,256.51	50,781.93
06/30/2031	3,225,951.26	3,162,912.75	63,038.51	47,417.71
06/30/2032	3,116,885.00	3,046,925.00	69,960.00	52,725.55
06/30/2033	2,996,975.00	2,934,380.00	62,595.00	45,933.17
06/30/2034	976,425.00	913,823.75	62,601.25	45,369.31
	34,510,654.48	31,906,504.44	2,604,150.04	2,409,402.34

Savings Summary

PV of savings from cash flow	2,409,402.34
Plus: Refunding funds on hand	3,869.32
Net PV Savings	2,413,271.66

Municipal Complex

BOND DEBT SERVICE

Town of Waterford, Connecticut
General Obligation Bonds, Series 2020
FINAL NUMBERS

Period Ending	Principal	Coupon	Interest	Debt Service
06/30/2021			306,175.56	306,175.56
06/30/2022	685,000	5.000%	457,975.00	1,142,975.00
06/30/2023	685,000	5.000%	423,725.00	1,108,725.00
06/30/2024	685,000	5.000%	389,475.00	1,074,475.00
06/30/2025	685,000	5.000%	355,225.00	1,040,225.00
06/30/2026	685,000	5.000%	320,975.00	1,005,975.00
06/30/2027	685,000	5.000%	286,725.00	971,725.00
06/30/2028	685,000	5.000%	252,475.00	937,475.00
06/30/2029	685,000	5.000%	218,225.00	903,225.00
06/30/2030	685,000	4.000%	187,400.00	872,400.00
06/30/2031	685,000	3.000%	163,425.00	848,425.00
06/30/2032	685,000	3.000%	142,875.00	827,875.00
06/30/2033	680,000	3.000%	122,400.00	802,400.00
06/30/2034	680,000	2.000%	105,400.00	785,400.00
06/30/2035	680,000	2.000%	91,800.00	771,800.00
06/30/2036	680,000	2.000%	78,200.00	758,200.00
06/30/2037	680,000	2.000%	64,600.00	744,600.00
06/30/2038	680,000	2.125%	50,575.00	730,575.00
06/30/2039	680,000	2.125%	36,125.00	716,125.00
06/30/2040	680,000	2.125%	21,675.00	701,675.00
06/30/2041	680,000	2.125%	7,225.00	687,225.00
	13,655,000		4,082,675.56	17,737,675.56

Municipal Complex

BOND DEBT SERVICE

Town of Waterford, Connecticut
General Obligation Bonds, Series 2020
FINAL NUMBERS

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
03/15/2021			306,175.56	306,175.56	
06/30/2021					306,175.56
09/15/2021	685,000	5.000%	237,550.00	922,550.00	
03/15/2022			220,425.00	220,425.00	
06/30/2022					1,142,975.00
09/15/2022	685,000	5.000%	220,425.00	905,425.00	
03/15/2023			203,300.00	203,300.00	
06/30/2023					1,108,725.00
09/15/2023	685,000	5.000%	203,300.00	888,300.00	
03/15/2024			186,175.00	186,175.00	
06/30/2024					1,074,475.00
09/15/2024	685,000	5.000%	186,175.00	871,175.00	
03/15/2025			169,050.00	169,050.00	
06/30/2025					1,040,225.00
09/15/2025	685,000	5.000%	169,050.00	854,050.00	
03/15/2026			151,925.00	151,925.00	
06/30/2026					1,005,975.00
09/15/2026	685,000	5.000%	151,925.00	836,925.00	
03/15/2027			134,800.00	134,800.00	
06/30/2027					971,725.00
09/15/2027	685,000	5.000%	134,800.00	819,800.00	
03/15/2028			117,675.00	117,675.00	
06/30/2028					937,475.00
09/15/2028	685,000	5.000%	117,675.00	802,675.00	
03/15/2029			100,550.00	100,550.00	
06/30/2029					903,225.00
09/15/2029	685,000	4.000%	100,550.00	785,550.00	
03/15/2030			86,850.00	86,850.00	
06/30/2030					872,400.00
09/15/2030	685,000	3.000%	86,850.00	771,850.00	
03/15/2031			76,575.00	76,575.00	
06/30/2031					848,425.00
09/15/2031	685,000	3.000%	76,575.00	761,575.00	
03/15/2032			66,300.00	66,300.00	
06/30/2032					827,875.00
09/15/2032	680,000	3.000%	66,300.00	746,300.00	
03/15/2033			56,100.00	56,100.00	
06/30/2033					802,400.00
09/15/2033	680,000	2.000%	56,100.00	736,100.00	
03/15/2034			49,300.00	49,300.00	
06/30/2034					785,400.00
09/15/2034	680,000	2.000%	49,300.00	729,300.00	
03/15/2035			42,500.00	42,500.00	
06/30/2035					771,800.00
09/15/2035	680,000	2.000%	42,500.00	722,500.00	
03/15/2036			35,700.00	35,700.00	
06/30/2036					758,200.00
09/15/2036	680,000	2.000%	35,700.00	715,700.00	
03/15/2037			28,900.00	28,900.00	
06/30/2037					744,600.00
09/15/2037	680,000	2.125%	28,900.00	708,900.00	
03/15/2038			21,675.00	21,675.00	
06/30/2038					730,575.00
09/15/2038	680,000	2.125%	21,675.00	701,675.00	
03/15/2039			14,450.00	14,450.00	
06/30/2039					716,125.00
09/15/2039	680,000	2.125%	14,450.00	694,450.00	
03/15/2040			7,225.00	7,225.00	
06/30/2040					701,675.00
09/15/2040	680,000	2.125%	7,225.00	687,225.00	
06/30/2041					687,225.00
	13,655,000		4,082,675.56	17,737,675.56	17,737,675.56

BOND DEBT SERVICE

Waterford Outstanding Debt Post 2019 Refunding

Period Ending	Principal	Coupon	Interest	Debt Service
06/30/2021	5,060,000	** %	2,344,370.57	7,404,370.57
06/30/2022	5,155,000	** %	2,114,113.76	7,269,113.76
06/30/2023	5,200,000	** %	1,925,351.26	7,125,351.26
06/30/2024	5,400,000	** %	1,738,470.01	7,138,470.01
06/30/2025	5,605,000	** %	1,534,607.51	7,139,607.51
06/30/2026	5,700,000	** %	1,322,488.76	7,022,488.76
06/30/2027	5,485,000	** %	1,086,388.76	6,571,388.76
06/30/2028	4,650,000	** %	851,513.76	5,501,513.76
06/30/2029	4,910,000	** %	656,588.76	5,566,588.76
06/30/2030	4,560,000	** %	491,626.26	5,051,626.26
06/30/2031	4,565,000	** %	333,751.26	4,898,751.26
06/30/2032	2,910,000	** %	206,885.00	3,116,885.00
06/30/2033	2,890,000	** %	106,975.00	2,996,975.00
06/30/2034	940,000	3.875%	36,425.00	976,425.00
	63,030,000		14,749,555.67	77,779,555.67

DETAILED BOND DEBT SERVICE

Town of Waterford, Connecticut
2012 General Obligation Bonds - Post 2019 Refunding

Bond Component

Period Ending	Principal	Interest	Debt Service	Annual Debt Service
	0	0	0	0

2019 Refunding

DETAILED BOND DEBT SERVICE

Town of Waterford, Connecticut
$9,085,000 General Obligation Bonds, Series 2019
Final Numbers

Bond Component

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
08/01/2020	655,000	4.000%	245,844.31	900,844.31	
02/01/2021			196,625.00	196,625.00	
06/30/2021					1,097,469.31
08/01/2021	700,000	5.000%	196,625.00	896,625.00	
02/01/2022			179,125.00	179,125.00	
06/30/2022					1,075,750.00
08/01/2022	715,000	5.000%	179,125.00	894,125.00	
02/01/2023			161,250.00	161,250.00	
06/30/2023					1,055,375.00
08/01/2023	675,000	5.000%	161,250.00	836,250.00	
02/01/2024			144,375.00	144,375.00	
06/30/2024					980,625.00
08/01/2024	855,000	5.000%	144,375.00	999,375.00	
02/01/2025			123,000.00	123,000.00	
06/30/2025					1,122,375.00
08/01/2025	870,000	5.000%	123,000.00	993,000.00	
02/01/2026			101,250.00	101,250.00	
06/30/2026					1,094,250.00
08/01/2026	885,000	5.000%	101,250.00	986,250.00	
02/01/2027			79,125.00	79,125.00	
06/30/2027					1,065,375.00
08/01/2027	905,000	5.000%	79,125.00	984,125.00	
02/01/2028			56,500.00	56,500.00	
06/30/2028					1,040,625.00
08/01/2028	915,000	4.000%	56,500.00	971,500.00	
02/01/2029			38,200.00	38,200.00	
06/30/2029					1,009,700.00
08/01/2029	950,000	4.000%	38,200.00	988,200.00	
02/01/2030			19,200.00	19,200.00	
06/30/2030					1,007,400.00
08/01/2030	960,000	4.000%	19,200.00	979,200.00	
06/30/2031					979,200.00
	9,085,000		2,443,144.31	11,528,144.31	11,528,144.31

DETAILED BOND DEBT SERVICE

Town of Waterford, Connecticut
GO Bonds, Issue of 2011 - Post 2017 Refunding

Bond Component

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
08/01/2020	735,000	2.500%	9,187.50	744,187.50	
06/30/2021					744,187.50
	735,000		9,187.50	744,187.50	744,187.50

2017 Refunding

DETAILED BOND DEBT SERVICE

Town of Waterford, Connecticut
$14,585,000 General Obligation Refunding Bonds, Issue of 2017

Bond Component

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
08/01/2020	325,000	3.000%	317,575	642,575	
02/01/2021			312,700	312,700	
06/30/2021					955,275
08/01/2021	1,050,000	3.000%	312,700	1,362,700	
02/01/2022			296,950	296,950	
06/30/2022					1,659,650
08/01/2022	1,055,000	5.000%	296,950	1,351,950	
02/01/2023			270,575	270,575	
06/30/2023					1,622,525
08/01/2023	1,070,000	5.000%	270,575	1,340,575	
02/01/2024			243,825	243,825	
06/30/2024					1,584,400
08/01/2024	1,085,000	5.000%	243,825	1,328,825	
02/01/2025			216,700	216,700	
06/30/2025					1,545,525
08/01/2025	1,070,000	5.000%	216,700	1,286,700	
02/01/2026			189,950	189,950	
06/30/2026					1,476,650
08/01/2026	2,830,000	5.000%	189,950	3,019,950	
02/01/2027			119,200	119,200	
06/30/2027					3,139,150
08/01/2027	2,820,000	5.000%	119,200	2,939,200	
02/01/2028			48,700	48,700	
06/30/2028					2,987,900
08/01/2028	1,070,000	4.000%	48,700	1,118,700	
02/01/2029			27,300	27,300	
06/30/2029					1,146,000
08/01/2029	685,000	4.000%	27,300	712,300	
02/01/2030			13,600	13,600	
06/30/2030					725,900
08/01/2030	680,000	4.000%	13,600	693,600	
06/30/2031					693,600
	13,740,000		3,796,575	17,536,575	17,536,575

2014 Refunding

DETAILED BOND DEBT SERVICE

Town of Waterford, Connecticut
$9,440,000 General Obligation Refunding Bonds, Issue of 2014

Bond Component

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
08/15/2020	840,000	5.000%	130,637.50	970,637.50	
02/15/2021			109,637.50	109,637.50	
06/30/2021					1,080,275.00
08/15/2021	835,000	3.000%	109,637.50	944,637.50	
02/15/2022			97,112.50	97,112.50	
06/30/2022					1,041,750.00
08/15/2022	830,000	3.250%	97,112.50	927,112.50	
02/15/2023			83,625.00	83,625.00	
06/30/2023					1,010,737.50
08/15/2023	825,000	5.000%	83,625.00	908,625.00	
02/15/2024			63,000.00	63,000.00	
06/30/2024					971,625.00
08/15/2024	835,000	5.000%	63,000.00	898,000.00	
02/15/2025			42,125.00	42,125.00	
06/30/2025					940,125.00
08/15/2025	840,000	5.000%	42,125.00	882,125.00	
02/15/2026			21,125.00	21,125.00	
06/30/2026					903,250.00
08/15/2026	845,000	5.000%	21,125.00	866,125.00	
06/30/2027					866,125.00
	5,850,000		963,887.50	6,813,887.50	6,813,887.50

DETAILED BOND DEBT SERVICE

Town of Waterford, Connecticut
$15,930,000 General Obligation Bonds, Issue of 2014

Bond Component

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
09/15/2020			214,734.38	214,734.38	
03/15/2021	750,000	4.000%	214,734.38	964,734.38	
06/30/2021					1,179,468.76
09/15/2021			199,734.38	199,734.38	
03/15/2022	815,000	4.000%	199,734.38	1,014,734.38	
06/30/2022					1,214,468.76
09/15/2022			183,434.38	183,434.38	
03/15/2023	845,000	3.000%	183,434.38	1,028,434.38	
06/30/2023					1,211,868.76
09/15/2023			170,759.38	170,759.38	
03/15/2024	875,000	3.000%	170,759.38	1,045,759.38	
06/30/2024					1,216,518.76
09/15/2024			157,634.38	157,634.38	
03/15/2025	875,000	3.000%	157,634.38	1,032,634.38	
06/30/2025					1,190,268.76
09/15/2025			144,509.38	144,509.38	
03/15/2026	920,000	3.000%	144,509.38	1,064,509.38	
06/30/2026					1,209,018.76
09/15/2026			130,709.38	130,709.38	
03/15/2027	925,000	3.000%	130,709.38	1,055,709.38	
06/30/2027					1,186,418.76
09/15/2027			116,834.38	116,834.38	
03/15/2028	925,000	3.200%	116,834.38	1,041,834.38	
06/30/2028					1,158,668.76
09/15/2028			102,034.38	102,034.38	
03/15/2029	925,000	3.250%	102,034.38	1,027,034.38	
06/30/2029					1,129,068.76
09/15/2029			87,003.13	87,003.13	
03/15/2030	925,000	3.500%	87,003.13	1,012,003.13	
06/30/2030					1,099,006.26
09/15/2030			70,815.63	70,815.63	
03/15/2031	925,000	3.625%	70,815.63	995,815.63	
06/30/2031					1,066,631.26
09/15/2031			54,050.00	54,050.00	
03/15/2032	940,000	3.750%	54,050.00	994,050.00	
06/30/2032					1,048,100.00
09/15/2032			36,425.00	36,425.00	
03/15/2033	940,000	3.875%	36,425.00	976,425.00	

DETAILED BOND DEBT SERVICE

Town of Waterford, Connecticut
$15,930,000 General Obligation Bonds, Issue of 2014

Bond Component

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
06/30/2033					1,012,850.00
09/15/2033			18,212.50	18,212.50	
03/15/2034	940,000	3.875%	18,212.50	958,212.50	
06/30/2034					976,425.00
	12,525,000		3,373,781.36	15,898,781.36	15,898,781.36

DETAILED BOND DEBT SERVICE

Town of Waterford, Connecticut
$33,750,000 Genarl Obligation Bonds, Issue of 2013 - Post 2017 Refunding

Serial Component

Period Ending	Principal	Coupon	Interest	Debt Service	Annual Debt Service
08/15/2020	1,755,000	4.000%	313,897.50	2,068,897.50	
02/15/2021			278,797.50	278,797.50	
06/30/2021					2,347,695.00
08/15/2021	1,755,000	4.000%	278,797.50	2,033,797.50	
02/15/2022			243,697.50	243,697.50	
06/30/2022					2,277,495.00
08/15/2022	1,755,000	2.000%	243,697.50	1,998,697.50	
02/15/2023			226,147.50	226,147.50	
06/30/2023					2,224,845.00
08/15/2023	1,955,000	2.250%	226,147.50	2,181,147.50	
02/15/2024			204,153.75	204,153.75	
06/30/2024					2,385,301.25
08/15/2024	1,955,000	2.250%	204,153.75	2,159,153.75	
02/15/2025			182,160.00	182,160.00	
06/30/2025					2,341,313.75
08/15/2025	2,000,000	2.500%	182,160.00	2,182,160.00	
02/15/2026			157,160.00	157,160.00	
06/30/2026					2,339,320.00
08/15/2026			157,160.00	157,160.00	
02/15/2027			157,160.00	157,160.00	
06/30/2027					314,320.00
08/15/2027			157,160.00	157,160.00	
02/15/2028			157,160.00	157,160.00	
06/30/2028					314,320.00
08/15/2028	2,000,000	3.250%	157,160.00	2,157,160.00	
02/15/2029			124,660.00	124,660.00	
06/30/2029					2,281,820.00
08/15/2029	2,000,000	3.000%	124,660.00	2,124,660.00	
02/15/2030			94,660.00	94,660.00	
06/30/2030					2,219,320.00
08/15/2030	2,000,000	3.000%	94,660.00	2,094,660.00	
02/15/2031			64,660.00	64,660.00	
06/30/2031					2,159,320.00
08/15/2031	1,970,000	3.100%	64,660.00	2,034,660.00	
02/15/2032			34,125.00	34,125.00	
06/30/2032					2,068,785.00
08/15/2032	1,950,000	3.500%	34,125.00	1,984,125.00	
06/30/2033					1,984,125.00
	21,095,000		4,162,980.00	25,257,980.00	25,257,980.00

14

Waterford Public Schools

Mr. Joseph P. Mancini
Director of Finance

Mr. Thomas W. Giard III
Superintendent of Schools

October 5, 2021

This request is for an appropriation in the amount of $170,000 from Capital and Non-recurring designated line 20560-57822 IT Learning Boards. Please note that this request is asking for $10,603 more than the estimate, as the vendor is giving indications of a price increase of 6.5%.

This request is for the fourth installment of the five part project to replace all of the learning boards in the Waterford Schools. The useful life on these systems is roughly 10 years. This is the last elementary school to have the IT Learning Boards installed.

In the elementary schools we are going with a solution from Promethean, this solution is essentially a very large IPAD with incredible software. At Great Neck we will be installing 33 of these systems throughout the school, this will be a replacement of the 11 year old promethean boards.

This work will be done late winter / early spring of 2022. Much like the high school turf field project where we are appropriating money yearly to pay for the large expense in a few years, for this project we are appropriating $200,000 per year to cover all the schools. The remaining $30,000 will not be requested until we begin the high school project in two years.

Respectively,

Joseph Mancini
Director of Finance

4.B. Right of Revision – Pro AV Systems has the right to revise this proposal if information was gained from site visits and other sources that were not available at the time this proposal was created.

4.C. Freight - Shipment fees are ground service only. If the customer requires expedited shipping, the additional fees will be prepaid and added to invoice(s).

4.D. Labor – Labor rates are quoted as regular rates that are non-prevailing wage rates and non-union rates. A change order can be processed for a job that requires the technician to be paid prevailing wage rates or require a union technician(s) to account for the difference in labor expenses.

4.E. Project Initiation Terms and Payments – Payment term details are described in the Proposal. A customer purchase order or signed proposal is required to begin the project. Invoices will be issued according to the terms outlined in the Proposal. For jobs requiring initial deposits, such deposit is due upon receipt of first invoice and no product will be ordered until the required deposit payment is received. All further invoice billing is due Net (30). Owner agrees that the terms outlined in these Contract Terms and Conditions take precedence over any other terms issued such as those that may be issued as part of a customer Purchase Order.

4.F. Credit Card Payments – Please note there will be a processing fee of 3.25% for payments made by credit card.

Section 5 – Warranty and Other

5.A. Warranty - Pro AV Systems warrants installed systems for a period of 90 days from date of acceptance or first beneficial use. During this time, Pro AV Systems will correct any problems at no charge. After the initial 90-day period, any additional service needs will be billed at the current service rate. To provide comprehensive coverage, Pro AV Systems offers extended warranty packages on all installed systems at an additional charge. All warranties do not cover misuse or abuse of the system components or issues caused by owner furnished equipment and interconnected systems provided by others. Interconnected systems include but are not limited to building network infrastructure, telephony (VOIP servers), fire alarms, paging, lighting, security, electrical systems and software. In the event that any of these systems are found to negatively effect the AV system performance, Pro AV Systems shall charge standard service rates on a time and materials basis (minimum 2 hours).

Extended Warranty Package

Pro Care – This warranty covers any and all defects or issues that your system could incur under normal use during the stated warranty period. This includes but is not limited to programming defects, hardware failures, workmanship, warranty processing and exchanges, and any labor required to restore the system to operational order. Hardware warranty replacements are based upon standard manufacturer warranties and replacement policies. Standard ground shipping is included. Standard response times apply.

5.B. Force Majeure – Neither Pro AV Systems or the customer will be responsible for delays that are outside the control of either parties, listed below:

1. Acts of Civil/Military Authorities
2. Floods/Fires/Epidemics or any other acts of God
3. Governmental Rules/Regulations
4. War/Riots
5. Delays in transportation
6. Shortages in raw materials/other products
7. Labor Disputes

5.C. Hours – All services will be provided during normal business hours unless otherwise specified in the proposal: Monday-Friday, 8:00am-5:00pm. National and State Holidays are excluded.

5.D. Suspension of Service Right – If the customer's payment(s) is past due, Pro AV Systems reserves the right to discontinue and/or modify any current or future service either temporarily or permanently.

5.E. Limitation of Liability – Pro AV Systems will not be responsible for any damages from delay of delivery, loss of revenue, data business or goodwill. Pro AV Systems will not be liable for any claim that arose more than one (1) year prior to the institution of suit therein.

Kimberly Allen

From:	Kimberly Allen
Sent:	Tuesday, April 13, 2021 10:16 AM
To:	Ginny Bielucki
Subject:	Journal Entry
Attachments:	Xerox Scan_04132021095517.PDF

Ginny,

Attached is all the backup for the following journal entry.

77,730.58

Please move ~~$73,832.04~~ from 10123-51410 to 23322-51410.
Please move ~~$5,648.15~~ from 10123-51920 to 23322-51920.

5,946.39

Thank you.

Kimberly Allen
Finance Director
Town of Waterford
15 Rope Ferry Road
Waterford, CT 06385
kallen@waterfordct.org
860.444.5840
860.440.0579 (fax)

Town of Waterford CONNECTICUT

Pro AV Systems
AUDIO VISUAL TECHNOLOGIES

Sales | Design | Install

74 Cotton Mill Hill
Suite 335
Brattleboro, VT 05301
www.proavsi.com

Prepared by: John Missale

Number: 040221JM
Modified: 4/2/2021
Revision: 0

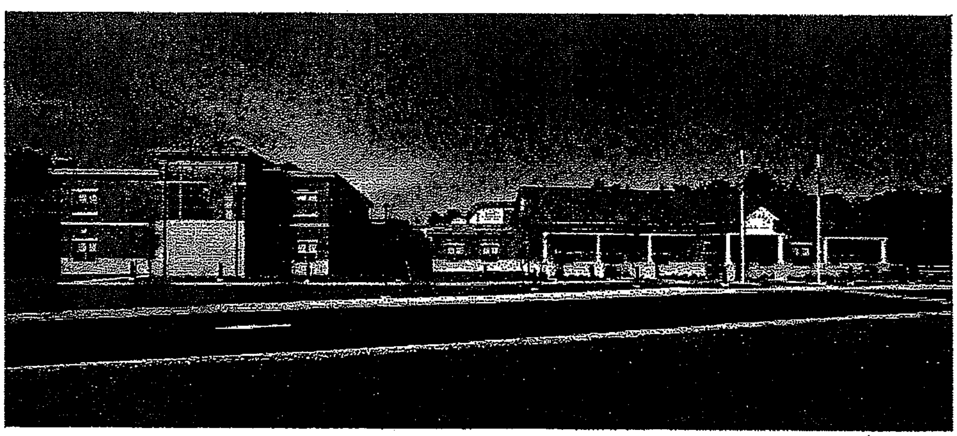
Contract:
Pricing Valid for 30 Days

Great Neck E.S.

Great Neck Elementary School
15 Rope Ferry Rd
Waterford, CT 06385 United States
860-444-5801

Attention:
Ed Crane
ecrane@waterfordschools.org

Great Neck E.S. - 75" Promethean Height Adjustable Wall Mount - Budgeting Quote



Pro AV Systems

Classrooms 1

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 1 Total:	**$4,800.00**

Classrooms 2

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 2 Total:	**$4,800.00**

Pro AV Systems

Classrooms 3

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 3 Total:	**$4,800.00**

Classrooms 4

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 4 Total:	**$4,800.00**

Pro AV Systems

Classrooms 5

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 5 Total:	**$4,800.00**

Classrooms 6

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 6 Total:	**$4,800.00**

Pro AV Systems

Classrooms 7

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 7 Total:	**$4,800.00**

Classrooms 8

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 8 Total:	**$4,800.00**

Pro AV Systems

Classrooms 9

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 9 Total:	**$4,800.00**

Classrooms 10

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 10 Total:	**$4,800.00**

Pro AV Systems

Classrooms 11

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 11 Total:	**$4,800.00**

Classrooms 12

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 12 Total:	**$4,800.00**

Pro AV Systems

Classrooms 13

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 13 Total:	**$4,800.00**

Classrooms 14

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 14 Total:	**$4,800.00**

Pro AV Systems

Classrooms 15

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 15 Total:	**$4,800.00**

Classrooms 16

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 16 Total:	**$4,800.00**

Pro AV Systems

Classrooms 17

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 17 Total:	**$4,800.00**

Classrooms 18

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 18 Total:	**$4,800.00**

Pro AV Systems

Classrooms 19

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 19 Total:	**$4,800.00**

Classrooms 20

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 20 Total:	**$4,800.00**

Pro AV Systems

Classrooms 21

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 21 Total:	**$4,800.00**

Classrooms 22

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 22 Total:	**$4,800.00**

Pro AV Systems

Classrooms 23		
1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 23 Total:	**$4,800.00**
Classrooms 24		
1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 24 Total:	**$4,800.00**

Pro AV Systems

Classrooms 25

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 25 Total:	**$4,800.00**

Classrooms 26

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 26 Total:	**$4,800.00**

Pro AV Systems

Classrooms 27

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 27 Total:	**$4,800.00**

Classrooms 28

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 28 Total:	**$4,800.00**

Pro AV Systems

Classrooms 29

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 29 Total:	**$4,800.00**

Classrooms 30

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 30 Total:	**$4,800.00**

Pro AV Systems

Classrooms 31

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 31 Total:	**$4,800.00**

Classrooms 32

1	**Promethean 75" Display With Height Adjustable Wall Mount/Floor Support** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Adjustable Wall Mount System with Floor Support for use with ActivPanel 75" and 86 Freight Included	$4,250.00
1	**Pro AV Systems Miscellaneous - Parts** Parts needed for a complete system - Raceway and Boxes - Terminations - Adapters - 90 degree corner - 5' Panduit	$25.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$525.00
	Classrooms 32 Total:	**$4,800.00**

Pro AV Systems

Mobile

1	**Promethean 75" Display Mobile** ActivPanel Nickel 75" - 1 x Pen, Vesa Mount, WIFI Module & cable pack included ActivInspire Professional Edition available FOC Fixed Height Mobile Display Stand/Cart for use with ActivPanel Side Mounted Laptop Shelf for use with Promethean Display Stand Systems Freight Included	$3,948.00
1	**Pro AV Systems Installation - PW** Installation Service for Complete System - OFE Systems Will Be Removed By Facilities And Cables Provided By School	$450.00

Mobile Total: $4,398.00

Professional Developement

1	**Promethean PROFDEV** On Site Face-To-Face Full Day	$999.00

Professional Developement Total: $999.00

Trash - Recycling

1	**Pro AV Systems Misc Expense** Misc Expense - 15 Yard Roll Off Dumpster	$400.00

Trash - Recycling Total: $400.00

Project Subtotal: $159,397.00

Pro AV Systems

Project Summary

Equipment:	**$142,147.00**
Unassigned Labor:	**$17,250.00**
Grand Total:	**$159,397.00**

Payment Schedule	Amount	Due Date
Initial Invoice Upon Equipment Ordered (Due Prior to Install)	$111,577.90	
Final Invoice Upon Completion (NET 30)	$47,819.10	

Acceptance:
I hereby state that I am an authorized representative to approve the purchase and acceptance of the items quoted in the attached documentation along with the above payment terms.

Name: _______________ **Title:** _______________

Signature: _______________ **Date:** _______________

Pro AV Systems

PRO AV SYSTEMS RESPONSIBILITIES: *The following items are required by Pro AV Systems:*

1. Pro AV Systems will install all equipment in accordance with the manufacturers' specifications, national and local regulation ordinances and codes, including all OSHA guidelines. Unless specifically stated, all work will be performed during the normal working hours of Monday through Friday, between 8:00AM and 5:00PM, except for recognized holidays.

2. Pro AV Systems staff and contractors will conduct themselves in a professional, courteous manner, maintaining a clean- cut appearance and acceptable dress. All Pro AV Systems staff is expected to check in and out with you or an assigned contact at the beginning and end of the workday.

3. Pro AV Systems staff will maintain a clean and safe work environment. All unused materials, containers, tools and equipment will be removed whenever possible. Pro AV Systems will take precautions to protect all floors, walls, windows and other surfaces from stains, marring or other damage.

4. Pro AV Systems cannot be responsible for the operation, performance or warranty of equipment outside this contract. Pro AV Systems does not warrant that equipment supplied by others can be connected or will function properly except as specified by the project documentation.

5. Pro AV Systems will provide customer with all operation manuals and warranty documents.

6. Pro AV Systems will acquire, assemble, deliver and test all specified equipment and components to provide you with a fully functional system.

CUSTOMER RESPONSIBILITIES: *The following items are required by the Customer:*

1. Customer to provide a 120 VAC circuit to all specified equipment locations. These circuits should not be "shared" with other items, such as lighting, phone systems, etc.

2. Customer to provide all computer equipment and peripheral cables (mouse and keyboard cables), designated at OFE or "provided by others" if required.

3. Pro AV Systems strongly recommends that all electrical circuits supplying power to the system originate from the same power panel and phase. Pro AV Systems will take precautions to prevent hum or distortions created by ground differential, electromagnetic or electrostatic fields and to supply adequate ventilation to all equipment as specified by the manufacturer. Pro AV Systems will notify you of any hum or distortions beyond our control caused by interference with the building structure, electrical or existing equipment and advise you of the alternatives to alleviate the problem. Pro AV Systems will advise you of any unsatisfactory operating condition due to temperature, humidity, ventilation, mechanical structure or other safety concern.

4. Pro AV Systems assumes no responsibility for, and will not perform any work related to, electrical wiring

(120 VAC and above).

5. Any additional work not listed in the original scope of work (per customer RFP) will require a Change Order.

6. All network configurations for any equipment connected to the customer network including but not limited to QOS, VLAN, Routing, Firewalls, Multicast, IGMP.

7. All server configrations if required for Active Directory, Exchange, O365, Azure AD, MS Teams, Zoom.

8. Software licenses or accounts required for an operational system including but not limited to Zoom Rooms and Teams Rooms.

TERMS & CONDITIONS:

Section 1 – Proposal Description

1.A. Proposal Description - The project description and specific work to be performed by Pro AV Systems is contained in the document and from herein referred to as the Proposal.

1.B. Proposal Documents - All work covered by this Proposal shall be contained in the Proposal documents including any special provisions, specifications, drawings, addenda, change orders, written interpretations and written orders. Work not covered by contract documents will not be required unless required by reasonable inference as being necessary to produce the intended result. The costs associated with any related work or materials, including, but not limited to electrical, drywall, painting, cabinets etc. are not included unless specifically documented in the Proposal.

1.C. Proposal Changes - The owner may order changes, additions or modifications without invalidating the contract. Such changes must be in writing and signed by the owner. Pro AV Systems will provide the owner in writing the amount of additional costs or reductions resulting from changes ordered within 15 working days unless this requirement is waived in writing by owner. Change Orders shall be paid in full upon acceptance of change and shall not alter the contract's payment schedule. In the case of product unavailability or discontinuation, Pro AV Systems reserves the right to substitute equipment of equal or better quality with owner approval. Pro AV Systems will be held blameless in the case of product unavailability or discontinuation.

Section 2 – General Facility Conditions

2.A. Room Availability - Rooms in which installation is occurring should be made available for the exclusive use of Pro AV Systems during the day(s) of scheduled installation. The room will be available during normal working hours (M-F, 8:00am-5:00pm) in eight (8) continuous hour segments. Any delay in schedule that is due to limited access or client usage that results in a return trip by the technician(s) will be subject to an additional expense charge.

2.B. Electrical Outlets and Pathways - Before audiovisual equipment is installed, the customer will need to provide the following requirements: electrical outlets, floor boxes, conduits and core drills in areas according to specification. Additionally, all power runs are to be clean and properly grounded. All electrical work pertaining to the audiovisual system will need to run on one phase and be installed to code (NEC). Pro AV Systems is not responsible for installing any conduit, floor boxes, trenches or other appurtenances associated with high voltage (120vac and over) equipment.

2.C. Structural Vibrations - Ceiling, walls and floors as well as all other building structures that support audiovisual equipment are to be vibration free. At an additional cost, dampening devices can be made available if needed.

2.D. Furniture – Furniture is to be removed prior to construction. If certain furniture cannot be removed, then it will need to be covered and protected sufficiently by the customer.

2.E. Ceiling Tiles – The customer is to provide extra ceiling tiles of the same pattern and patch number in any room where suspended ceiling tiles are already installed. Ceiling tiles are assumed to be either 2'x2' or 2'x4' in dimension. Other tile sizes may require additional cost for mounting solutions in the form of a change order.

2.F. Merchandise Ownership & Storage – The customer is to provide extra ceiling tiles of the same pattern and patch number in any room where suspended ceiling tiles are already installed in the event of accidental damage or installation requirement. Ceiling tiles are assumed to be either 2'x2' or 2'x4' in dimension. Other tile sizes may require additional cost for mounting solutions in the form of a change order.

2.G. Exclusion – The following items are not covered under this agreement and may be subject to additional costs:

1. Acts of Nature: Such as water damage and/or damages caused by fire
2. System abuse or misuse that is determined by Pro AV Systems.
3. User errors – Problems caused by unacceptable connection of equipment, determined by Pro AV Systems.
4. Shipping – Expedited shipping for accelerated time lines or repaired parts.
5. Accessories – Hardware, software and/or accessories not provided or sold by Pro AV Systems.

Section 3 – Existing Equipment

3.A. Documentation – When integrating and/or installing pre-existing equipment into a new system, the customer is asked to provide any documentation that may be required to complete a proper installation. This may include any available source control code or drawings.

3.B. Good Working Order – Pro AV Systems is not responsible for any damage of existing hardware/equipment. The project may be delayed, or a change order may be required if any defective material is found.

3.C. Customer Hardware and Software - Pro AV Systems will not load software of any kind on the customers' computer. Unless stated otherwise, the customer is responsible for all Internet connectivity and computer hardware. In addition, the customer is responsible for the installation of computer software and drivers, all LAN provisioning, switches, network connections, and routers. Customer is required to install all operating systems and systems integration that is needed to run the installed interactive or other hardware devices and display equipment.

3.D. Owner Furnished Equipment – If owner is providing equipment (OFE) that Pro AV Systems is required to install, configure and/or control in order to provide a functional system, Pro AV Systems reserves the right to charge additional labor hours if the OFE equipment does not perform as originally specified at the time of Proposal acceptance or according to the manufacturer specification.

Section 4 – Schedule, Payments and Business Terms

4.A. Delays and Postponements – Regarding schedule completion of the job, time is of the essence. If Pro AV Systems is delayed at any time in the process of the work by owner change orders, construction delays, delivery delays, fire, project postponement, unavoidable casualties and/or other causes beyond the control of Pro AV Systems, the completion schedule for the Proposal shall be extended at a minimum by the same amount of the time caused by the delay.

15,

FIFTEEN ROPE FERRY ROAD
WATERFORD, CT 06385-2886

PHONE: 860-442-0553
www.waterfordct.org

October 26, 2021

Board of Selectmen
Town of Waterford
15 Rope Ferry Road
Waterford, CT 06385

Re: FY22 Addiitonal Appropriation Requests for Pump Out Boat

Jody & Beth,

The Save The River-Save The Hills non-profit organization has need of a new pump out boat. This boat plays an integral role in keeping the Niantic River Clean. The boat provides a vital service to all boaters on the river between the towns of Waterford and East Lyme.

The Save The River-Save The Hills organization was awarded a federal grant through the Connecticut Department of Energy & Environmental Protection in the amount of $124,672.50. A portion of the grant will be used to purchase a new pump out boat. However, the grant stipulates a match of $25,000 towards the purchase of the new boat. The Town of East Lyme has promised a contribution of $12,500 and the Save The River –Save the Hills has requested that the Town of Waterford match their contribution.

On behalf of the Town of Waterford, I am requesting an additional appropriation of $12,500 for a town match towards the purchase of a new pump out boat.

Regards,

Robert J. Brule

Robert J. Brule
First Selectman

C: Kim Allen, Director of Finance

Connecticut Department of
ENERGY &
ENVIRONMENTAL
PROTECTION

October 8, 2021

Mr. James Paganetti
Save The River – Save The Hills, Inc.
7 Plant Drive
Waterford, CT 06385

RE: Clean Vessel Act Grant Program, 2022 Boating Season

Dear Mr. Paganetti:

Thank you for your interest in and application for the Federal Clean Vessel Act (CVA) Grant Program in Connecticut. This year, Connecticut DEEP received 43 applications for funding valued at over $1.4 million under this State-Federal partnership. DEEP has fewer federal funds available than that which was requested by the grantees, making this the most competitive grant cycle in Connecticut's Clean Vessel Act Program history. I am pleased to inform you that your proposal for $124,672.50 was selected for funding in the amount of $124,672.50.

We will be contacting you within the next few weeks with details on grant award procedures. You will receive a contract for your review and signature. Instructions for processing the contract in the most expedient fashion will also be included.

Please be aware that once you receive this paperwork, it is very important that you complete the required materials, sign the appropriate documentation, and return it to us promptly. We are striving to have contracts fully executed by January 1, 2022. Any project expenses incurred prior to the execution of your contract for services will not be eligible for reimbursement. Only expenses incurred in calendar year 2022 will be considered eligible for reimbursement.

If you have any further questions, please contact me at (860) 447-4340. Congratulations on your forthcoming grant award. Thank you for participating in this important program to help protect water quality in Connecticut.

Sincerely,

Kate Brown

Kate Hughes Brown
BIG/CVA Program Coordinator
Navigation Boating Infrastructure Unit

KHB/pbf

Save the River-Save the Hills, Inc.
Clean Vessel Act Application 2022
Itemized Spreadsheet

Cost Category	Expense	Detail		Total
1 – Personnel	Administrator	$8,500.00/year	$ 8,500.00	
	Coxswain	$112.00/day * 80	$ 8,960.00	
	Deckhand	$104.00/day * 80	$ 8,320.00	
	Personnel Total			**$ 25,780.00**
2 – Contractual	N/A			
3 – Operating	Gas / Oil	$3,000.00		
	Cell Phone	$750.00		
	Operating Exp Total			**$3,750.00**
4 – Boat Expenses	Dockage/Storage	$950.00		
	Insurance	$4,600.00		
	Boat Expenses Total			**$5,550.00**
5 – Equipment	Spring Commissioning	$500.00		
	Fall Power Wash & Trailer Haul	$900.00		
	Winterize, Shrink Wrap, Oil Change	$1,800.00		
	Haul for Repairs	$900.00		
	Repair Labor	$1,000.00		
	Equipment Total			**$5,100.00**
6 – Sewage Waste Removal	N/A			
7 – Supplies	Hand Soap, Boat Soap, Other PPE, Misc.			**$600.00**
8 – Construction /Installation	N/A			
Subtotal				**$40,730.00**
9 – Other	New Boat Purchase			**$125,500.00**
Grand Total				**$166,230.00**

PERSONAL SERVICE AGREEMENT / GRANT / CONTRACT
Rev. 8/30/2019 (DEEP Electronic Format)

Calender Year 2021 Boating Season
Clean Vessel Act Grant Program

STATE OF CONNECTICUT
DEPARTMENT OF ENERGY AND ENVIRONMENTAL PROTECTION

CHECK ONE:
☒ GRANT
☐ PERSONAL SERVICE AGREEMENT

1. THE STATE BUSINESS UNIT AND THE CONTRACTOR AS LISTED BELOW HEREBY ENTER INTO AN AGREEMENT SUBJECT TO THE TERMS AND CONDITIONS STATED HEREIN AND/OR ATTACHED HERETO AND SUBJECT TO THE PROVISIONS OF SECTION 4-98 OF THE CONNECTICUT GENERAL STATUTES AS APPLICABLE.

2. ACCEPTANCE OF THIS CONTRACT IMPLIES CONFORMANCE WITH TERMS AND CONDITIONS SET FORTH BY THE OFFICE OF POLICY AND MANAGEMENT PERSONAL SERVICE AGREEMENT STANDARDS AND PROCEDURES.

(1) ☒ ORIGINAL ☐ AMENDMENT

(2) IDENTIFICATION #s.
P.S. 2021-30
P.O.

CONTRACTOR

(3) CONTRACTOR NAME
Save the River – Save the Hills Inc.

(4) ARE YOU PRESENTLY A STATE EMPLOYEE? ☐ YES ☒ NO

CONTRACTOR ADDRESS
35 Oswegatchie Road, Waterford, CT 06385

CONTRACTOR FEIN/SSN
SAVETH3767

STATE AGENCY

(5) AGENCY NAME AND ADDRESS
DEEP - Bureau of Outdoor Recreation, 79 Elm Street, Hartford, CT 06106-5127

(6) Dept No.
DEP43000

CONTRACT PERIOD

(7) DATE (*FROM*) Upon execution
THROUGH (*TO*) 12/31/2021

(8) INDICATE ☐ MASTER AGREEMENT ☐ CONTRACT AWARD NO. ________ ☒ NEITHER

COMPLETE DESCRIPTION OF SERVICE

(9) CONTRACTOR AGREES TO: (Include special provisions - Attach additional blank sheets if necessary.)

1. Performance: Do, conduct, perform or cause to be performed in a satisfactory and proper manner as determined by the Commissioner of Energy and Environmental Protection, all work described in Appendix A, which is attached hereto and made a part hereof.
Appendix A consists of four pages numbered A-1 through A-4 inclusive.

Page 1 of 10
Standard Terms and Conditions are contained in Pages 2 through 10 and are attached hereto and made a part hereof.

Operation and Maintenance of a Pumpout Vessel

COST AND SCHEDULE OF PAYMENTS

(10)PAYMENT TO BE MADE UNDER THE FOLLOWING SCHEDULE UPON RECEIPT OF PROPERLY EXECUTED AND APPROVED INVOICES.

Cost and Schedule of Payments is attached hereto as Appendix B, and made a part hereof. (Appendix B consists of one page numbered B-1).

Total Payments Not to Exceed the Maximum Amount of $29,325.00.

(11) OBLIGATED AMOUNT
$29,325.00

(12) Amount	(13) Dept	(14) Fund	(15) SID	(16) Program	(17) Project	(18) Activity	(19) Bud Ref	(20) Agency CF 1	(21) Agency CF 2	(22) Account
$29,325.00	DEP44434	12060	20954	64012	DEPA00002007113	155058				55050

An individual entering into a Personal Service Agreement with the State of Connecticut is contracting under a "work-for-hire" arrangement. As such, the individual is an independent contractor, and does not satisfy the characteristics of an employee under the common law rules for determining the employer/employee relationship of Internal Revenue Code Section 3121 (d) (2). Individuals performing services as independent contractors are not employees of the State of Connecticut and are responsible themselves for payment of all State and local income taxes, federal income taxes and Federal Insurance Contribution Act (FICA) taxes.

ACCEPTANCES AND APPROVALS

(23) **STATUTORY AUTHORITY** CGS Sec. 4-8 as amended; CGS Sec. 22a-6(a)(2) as amended
CGS Sec. 7-148(c) as amended (mun. auth.)

(24) CONTRACTOR (OWNER OR AUTHORIZED SIGNATURE) James C Paganetti	TITLE Pumpout Administrator	DATE 1-14-2021
(25) AGENCY (AUTHORIZED OFFICIAL)	TITLE Chief, Bureau of Outdoor Recreation	DATE
(26) ATTORNEY GENERAL (APPROVED AS TO FORM)		DATE

DISTRIBUTION: CONTRACTOR AGENCY FUNDS AVAILABLE:________________ DATE:__________

1. Definitions:
   (a) State. The State of Connecticut, including the Department of Energy and Environmental Protection and any office, department, board, council, commission, institution or other agency of the State.
   (b) Commissioner. The Commissioner of Energy and Environmental Protection or the Commissioner's designated agent.
   (c) Parties. The Department of Energy and Environmental Protection (DEEP or Agency) and the Contractor.
   (d) Contractor Parties. Contractor Parties shall be defined as a Contractor's members, directors, officers, shareholders, partners, managers, principal officers, representatives, agents, servants, consultants, employees or any one of them or any other person or entity with whom the Contractor is in privity of oral or written contract and the Contractor intends for such other person or entity to Perform under the Contract in any capacity. To the extent that any Contractor Party is to participate or Perform in any way, directly or indirectly in connection with the Contract, any reference in the Contract to the "Contractor" shall also be deemed to include "Contractor Parties", as if such reference had originally specifically included "Contractor Parties" since it is the Parties' intent for the terms "Contractor Parties" to be vested with the same respective rights and obligations as the terms "Contractor."
   (e) Contract. This agreement, as of its Effective Date, between the Contractor and the State for any or all goods or services as more particularly described in Appendix A.
   (f) Execution. This contract shall be fully executed when it has been signed by authorized representatives of the parties, and if it is for an amount of Twenty-five thousand dollars ($25,000.00) or more, by the authorized representative of the state Attorney General's office.
   (g) Exhibits. All attachments, appendices or exhibits referred to in and attached to this Contract are incorporated in this Contract by such reference and shall be deemed to be a part of it as if they had been fully set forth in it.
   (h) Records. For the purposes of this Contract, records are defined as all working papers and such other information and materials as may have been accumulated by the Contractor in performing the Contract, including but not limited to, documents, data, plans, books, computations, drawings, specifications, notes, reports, records, estimates, summaries and correspondence, kept or stored in any form.
   (i) Confidential Information. Confidential Information shall mean any name, number or other information that may be used, alone or in conjunction with any other information, to identify a specific individual including, but not limited to, such individual's name, date of birth, mother's maiden name, motor vehicle operator's license number, Social Security number, employee identification number, employer or taxpayer identification number, alien registration number, government passport number, health insurance identification number, demand deposit account number, savings account number, credit card number, debit card number or unique biometric data such as fingerprint, voice print, retina or iris image, or other unique physical representation. Without limiting the foregoing, Confidential Information shall also include any information that the Department classifies as "confidential" or "restricted." Confidential Information shall not include information that may be lawfully obtained from publicly available sources or from federal, state, or local government records which are lawfully made available to the general public.
   (j) Confidential Information Breach. Confidential Information Breach shall mean, generally, an instance where an unauthorized person or entity accesses Confidential Information in any manner, including but not limited to the following occurrences: (1) any Confidential Information that is not encrypted or protected is misplaced, lost, stolen or in any way compromised; (2) one or more third parties have had access to or taken control or possession of any Confidential Information that is not encrypted or protected without prior written authorization from the State; (3) the unauthorized acquisition of encrypted or protected Confidential Information together with the confidential process or key that is capable of compromising the integrity of the Confidential Information; or (4) if there is a substantial risk of identity theft or fraud to the client, the Contractor, the Department or State.
   (k) Claim. Claim shall mean, all actions, suits, claims, demands, investigations and proceedings of any kind, open, pending or threatened, whether mature, unmatured, contingent, known or unknown, at law or in equity, in any forum.
2. Audit Requirements for Recipients of State Financial Assistance. For purposes of this paragraph, the word "contractor" shall be deemed to mean "nonstate entity," as that term is defined in Section 4-230 of the Connecticut General Statutes. The contractor shall provide for an annual financial audit acceptable to the Agency for any expenditure of state-awarded funds made by the contractor. Such audit shall include management letters and audit recommendations. The State Auditors of Public Accounts shall have access to all records and accounts for the fiscal year(s) in which the award was made. The contractor will comply with federal and state single audit standards as applicable.
3. Forum and Choice of Law. The parties deem the Contract to have been made in the City of Hartford, State of Connecticut. Both parties agree that it is fair and reasonable for the validity and construction of the Contract to be, and it shall be, governed by the laws and court decisions of the State of Connecticut, without giving effect to its principles of conflicts of laws. To the extent that any immunities provided by Federal law or the laws of the State of Connecticut do not bar an action against the State, and to the extent that these courts are courts of competent jurisdiction, for the purpose of venue, the complaint shall be made returnable to the Judicial District of Hartford only or shall be brought in the United States District Court for the District of Connecticut only, and shall not be transferred to any other court, provided, however, that nothing here constitutes a waiver or compromise of the sovereign immunity of the State of Connecticut. The Contractor waives any objection which it may now have or will have to the laying of venue of any Claims in any forum and further irrevocably submits to such jurisdiction in any suit, action or proceeding.
4. Termination.
   (a) Notwithstanding any provisions in this Contract, the Agency, through a duly authorized employee, may Terminate the Contract whenever the Agency makes a written determination that such Termination is in the best interests of the State. The Agency shall notify the Contractor in writing of Termination pursuant to this section, which notice shall specify the effective date of Termination and the extent to which the Contractor must complete its Performance under the Contract prior to such date.

(b) Notwithstanding any provisions in this Contract, the Agency, through a duly authorized employee, may, after making a written determination that the Contractor has breached the Contract, Terminate the Contract in accordance with the provisions in the Breach section of this Contract.

(c) The Agency shall send the notice of Termination via certified mail, return receipt requested, to the Contractor at the most current address which the Contractor has furnished to the Agency for purposes of correspondence, or by hand delivery. Upon receiving the notice from the Agency, the Contractor shall immediately discontinue all services affected in accordance with the notice, undertake all commercially reasonable efforts to mitigate any losses or damages, and deliver to the Agency all Records. The Records are deemed to be the property of the Agency and the Contractor shall deliver them to the Agency no later than thirty (30) days after the Termination of the Contract or fifteen (15) days after the Contractor receives a written request from the Agency for the Records. The Contractor shall deliver those Records that exist in electronic, magnetic or other intangible form in a non-proprietary format, such as, but not limited to, ASCII or .TXT.

(d) Upon receipt of a written notice of Termination from the Agency, the Contractor shall cease operations as the Agency directs in the notice, and take all actions that are necessary or appropriate, or that the Agency may reasonably direct, for the protection, and preservation of the Goods and any other property. Except for any work which the Agency directs the Contractor to Perform in the notice prior to the effective date of Termination, and except as otherwise provided in the notice, the Contractor shall terminate or conclude all existing subcontracts and purchase orders and shall not enter into any further subcontracts, purchase orders or commitments.

(e) The Agency shall, within forty-five (45) days of the effective date of Termination, reimburse the Contractor for its Performance rendered and accepted by the Agency, in addition to all actual and reasonable costs incurred after Termination in completing those portions of the Performance which the notice required the Contractor to complete. However, the Contractor is not entitled to receive and the Agency is not obligated to tender to the Contractor any payments for anticipated or lost profits. Upon request by the Agency, the Contractor shall assign to the Agency, or any replacement contractor which the Agency designates, all subcontracts, purchase orders and other commitments, deliver to the Agency all Records and other information pertaining to its Performance, and remove from State premises, whether leased or owned, all of Contractor's property, equipment, waste material and rubbish related to its Performance, all as the Agency may request.

(f) For breach or violation of any of the provisions in the section concerning Representations and Warranties, the Agency may Terminate the Contract in accordance with its terms and revoke any consents to assignments given as if the assignments had never been requested or consented to, without liability to the Contractor or Contractor Parties or any third party.

(g) Upon Termination of the Contract, all rights and obligations shall be null and void, so that no party shall have any further rights or obligations to any other party, except with respect to the sections which survive Termination. All representations, warranties, agreements and rights of the parties under the Contract shall survive such Termination to the extent not otherwise limited in the Contract and without each one of them having to be specifically mentioned in the Contract.

(h) Termination of the Contract pursuant to this section shall not be deemed to be a breach of contract by the Agency.

5. Tangible Personal Property.

(a) The Contractor on its behalf and on behalf of its Affiliates, as defined below, shall comply with the provisions of Conn. Gen. Stat. §12-411b, as follows:

(1) For the term of the Contract, the Contractor and its Affiliates shall collect and remit to the State of Connecticut, Department of Revenue Services, any Connecticut use tax due under the provisions of Chapter 219 of the Connecticut General Statutes for items of tangible personal property sold by the Contractor or by any of its Affiliates in the same manner as if the Contractor and such Affiliates were engaged in the business of selling tangible personal property for use in Connecticut and had sufficient nexus under the provisions of Chapter 219 to be required to collect Connecticut use tax;

(2) A customer's payment of a use tax to the Contractor or its Affiliates relieves the customer of liability for the use tax;

(3) The Contractor and its Affiliates shall remit all use taxes they collect from customers on or before the due date specified in the Contract, which may not be later than the last day of the month next succeeding the end of a calendar quarter or other tax collection period during which the tax was collected;

(4) The Contractor and its Affiliates are not liable for use tax billed by them but not paid to them by a customer; and

(5) Any Contractor or Affiliate who fails to remit use taxes collected on behalf of its customers by the due date specified in the Contract shall be subject to the interest and penalties provided for persons required to collect sales tax under chapter 219 of the general statutes.

(b) For purposes of this section of the Contract, the word "Affiliate" means any person, as defined in section 12-1 of the general statutes, which controls, is controlled by, or is under common control with another person. A person controls another person if the person owns, directly or indirectly, more than ten per cent of the voting securities of the other person. The word "voting security" means a security that confers upon the holder the right to vote for the election of members of the board of directors or similar governing body of the business, or that is convertible into, or entitles the holder to receive, upon its exercise, a security that confers such a right to vote. "Voting security" includes a general partnership interest.

(c) The Contractor represents and warrants that each of its Affiliates has vested in the Contractor plenary authority to so bind the Affiliates in any agreement with the State of Connecticut. The Contractor on its own behalf and on behalf of its Affiliates shall also provide, no later than 30 days after receiving a request by the State's contracting authority, such information as the State may require to ensure, in the State's sole determination, compliance with the provisions of Chapter 219 of the Connecticut General Statutes, including, but not limited to, §12-411b.

6. Indemnification.

(a) The Contractor shall indemnify, defend and hold harmless the State and its officers, representatives, agents, servants, employees, successors and assigns from and against any and all (1) Claims arising, directly or indirectly, in connection with the Contract, including the acts of commission or omission (collectively, the "Acts") of the Contractor or Contractor Parties; and (2) liabilities, damages, losses, costs and expenses, including but not limited to, attorneys' and other professionals' fees, arising, directly or indirectly, in connection with Claims, Acts or the Contract. The Contractor shall use counsel reasonably acceptable to the State in carrying out its obligations under this section. The Contractor's obligations under this section to indemnify, defend and hold harmless against Claims includes Claims concerning confidentiality of any part of or all of the Contractor's bid, proposal or any Records, any intellectual property rights, other proprietary rights of any person or entity, copyrighted or uncopyrighted compositions, secret processes, patented or unpatented inventions, articles or appliances furnished or used in the Performance.

(b) The Contractor shall not be responsible for indemnifying or holding the State harmless from any liability arising due to the negligence of the State or any other person or entity acting under the direct control or supervision of the State.

(c) The Contractor shall reimburse the State for any and all damages to the real or personal property of the State caused by the Acts of the Contractor or any Contractor Parties. The State shall give the Contractor reasonable notice of any such Claims.

(d) The Contractor's duties under this section shall remain fully in effect and binding in accordance with the terms and conditions of the Contract, without being lessened or compromised in any way, even where the Contractor is alleged or is found to have merely contributed in part to the Acts giving rise to the Claims and/or where the State is alleged or is found to have contributed to the Acts giving rise to the Claims.

(e) The Contractor shall carry and maintain at all times during the term of the Contract, and during the time that any provisions survive the term of the Contract, sufficient general liability insurance to satisfy its obligations under this Contract. The Contractor shall cause the State to be named as an additional insured on the policy and shall provide (1) a certificate of insurance, (2) the declaration page and (3) the additional insured endorsement to the policy to the State and the Client Agency all in an electronic format acceptable to the State prior to the Effective Date of the Contract evidencing that the State is an additional insured. The Contractor shall not begin Performance until the delivery of these three documents to the Client Agency. Contractor shall provide an annual electronic update of the three documents to the Client Agency and the State on or before each anniversary of the Effective Date during the Contract term. State shall be entitled to recover under the insurance policy even if a body of competent jurisdiction determines that State is contributorily negligent.

(f) This section shall survive the Termination of the Contract and shall not be limited by reason of any insurance coverage.

7. Sovereign Immunity. The parties acknowledge and agree that nothing in the Solicitation or the Contract shall be construed as a modification, compromise or waiver by the State of any rights or defenses of any immunities provided by Federal law or the laws of the State of Connecticut to the State or any of its officers and employees, which they may have had, now have or will have with respect to all matters arising out of the Contract. To the extent that this section conflicts with any other section, this section shall govern.

8. Audit and Inspection of Plants, Places of Business and Records.

(a) The State and its agents, including, but not limited to, the Connecticut Auditors of Public Accounts, Attorney General and State's Attorney and their respective agents, may, at reasonable hours, inspect and examine all of the parts of the Contractor's and Contractor Parties' plants and places of business which, in any way, are related to, or involved in, the performance of this Contract.

(b) The Contractor shall maintain, and shall require each of the Contractor Parties to maintain, accurate and complete Records. The Contractor shall make all of its and the Contractor Parties' Records available at all reasonable hours for audit and inspection by the State and its agents.

(c) The State shall make all requests for any audit or inspection in writing and shall provide the Contractor with at least twenty-four (24) hours' notice prior to the requested audit and inspection date. If the State suspects fraud or other abuse, or in the event of an emergency, the State is not obligated to provide any prior notice.

(d) The Contractor will pay for all costs and expenses of any audit or inspection which reveals information that, in the sole determination of the State, is sufficient to constitute a breach by the Contractor under this Contract. The Contractor will remit full payment to the State for such audit or inspection no later than 30 days after receiving an invoice from the State. If the State does not receive payment within such time, the State may setoff the amount from any moneys which the State would otherwise be obligated to pay the Contractor in accordance with this Contract's Setoff provision.

(e) The Contractor shall keep and preserve or cause to be kept and preserved all of its and Contractor Parties' Records until three (3) years after the latter of (i) final payment under this Contract, or (ii) the expiration or earlier termination of this Contract, as the same may be modified for any reason. The State may request an audit or inspection at any time during this period. If any Claim or audit is started before the expiration of this period, the Contractor shall retain or cause to be retained all Records until all Claims or audit findings have been resolved.

(f) The Contractor shall cooperate fully with the State and its agents in connection with an audit or inspection. Following any audit or inspection, the State may conduct and the Contractor shall cooperate with an exit conference.

(g) The Contractor shall incorporate this entire Section verbatim into any contract or other agreement that it enters into with any Contractor Party.

9. Campaign Contribution Restriction. For all State contracts as defined in C.G.S. § 9-612 having a value in a calendar year of $50,000 or more or a combination or series of such agreements or contracts having a value of $100,000 or more, the authorized signatory to this

Contract expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice, as set forth in "Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations," attached as Exhibit C.

10. Confidential Information. The Agency will afford due regard to the Contractor's request for the protection of proprietary or confidential information which the Agency receives. However, all materials associated with the Bid and the Contract are subject to the terms of the Connecticut Freedom of Information Act ("FOIA") and all corresponding rules, regulations and interpretations. In making such a request, the Contractor may not merely state generally that the materials are proprietary or confidential in nature and not, therefore, subject to release to third parties. Those particular sentences, paragraphs, pages or sections that the Contractor believes are exempt from disclosure under the FOIA must be specifically identified as such. Convincing explanation and rationale sufficient to justify each exemption consistent with the FOIA must accompany the request. The rationale and explanation must be stated in terms of the prospective harm to the competitive position of the Contractor that would result if the identified material were to be released and the reasons why the materials are legally exempt from release pursuant to the FOIA. To the extent that any other provision or part of the Contract, especially including the Bid, the Records and the specifications, conflicts or is in any way inconsistent with this section, this section controls and shall apply and the conflicting provision or part shall not be given effect. If the Contractor indicates that certain documentation is submitted in confidence, by specifically and clearly marking said documentation as CONFIDENTIAL," the Agency will endeavor to keep said information confidential to the extent permitted by law. The Agency, however, has no obligation to initiate, prosecute or defend any legal proceeding or to seek a protective order or other similar relief to prevent disclosure of any information that is sought pursuant to a FOIA request. The Contractor shall have the burden of establishing the availability of any FOIA exemption in any proceeding where it is an issue. In no event shall the Agency or the State have any liability for the disclosure of any documents or information in its possession which the Agency believes are required to be disclosed pursuant to the FOIA or other requirements of law.
11. Protection of Confidential Information.
    (a) Contractor and Contractor Parties, at their own expense, have a duty to and shall protect from a Confidential Information Breach any and all Confidential Information which they come to possess or control, wherever and however stored or maintained, in a commercially reasonable manner in accordance with current industry standards.
    (b) Each Contractor or Contractor Party shall develop, implement and maintain a comprehensive data - security program for the protection of Confidential Information. The safeguards contained in such program shall be consistent with and comply with the safeguards for protection of Confidential Information, and information of a similar character, as set forth in all applicable federal and state law and written policy of the Agency or State concerning the confidentiality of Confidential Information. Such data-security program shall include, but not be limited to, the following:
        (1) A security policy for employees related to the storage, access and transportation of data containing Confidential Information;
        (2) Reasonable restrictions on access to records containing Confidential Information, including access to any locked storage where such records are kept;
        (3) A process for reviewing policies and security measures at least annually;
        (4) Creating secure access controls to Confidential Information, including but not limited to passwords; and
        (5) Encrypting of Confidential Information that is stored on laptops, portable devices or being transmitted electronically.
    (c) The Contractor and Contractor Parties shall notify the Agency and the Connecticut Office of the Attorney General as soon as practical, but no later than twenty-four (24) hours, after they become aware of or suspect that any Confidential Information which Contractor or Contractor Parties have come to possess or control has been subject to a Confidential Information Breach. If a Confidential Information Breach has occurred, the Contractor shall, within three (3) business days after the notification, present a credit monitoring and protection plan to the Commissioner of Administrative Services, the Agency and the Connecticut Office of the Attorney General, for review and approval. Such credit monitoring or protection plan shall be made available by the Contractor at its own cost and expense to all individuals affected by the Confidential Information Breach. Such credit monitoring or protection plan shall include, but is not limited to reimbursement for the cost of placing and lifting one (1) security freeze per credit file pursuant to Connecticut General Statutes § 36a-701a. Such credit monitoring or protection plans shall be approved by the State in accordance with this Section and shall cover a length of time commensurate with the circumstances of the Confidential Information Breach. The Contractors' costs and expenses for the credit monitoring and protection plan shall not be recoverable from the Agency, any State of Connecticut entity or any affected individuals.
    (d) The Contractor shall incorporate the requirements of this Section in all subcontracts requiring each Contractor Party to safeguard Confidential Information in the same manner as provided for in this Section.
    (e) Nothing in this Section shall supersede in any manner Contractor's or Contractor Party's obligations pursuant to HIPAA or the provisions of this Contract concerning the obligations of the Contractor as a Business Associate of Covered Entity.
12. Executive Orders. This Contract is subject to the provisions of Executive Order No. Three of Governor Thomas J. Meskill, promulgated June 16, 1971, concerning labor employment practices, Executive Order No. Seventeen of Governor Thomas J. Meskill, promulgated February 15, 1973, concerning the listing of employment openings and Executive Order No. Sixteen of Governor John G. Rowland promulgated August 4, 1999, concerning violence in the workplace, all of which are incorporated into and are made a part of the Contract as if they had been fully set forth in it. The Contract may also be subject to Executive Order No. 14 of Governor M. Jodi Rell, promulgated April 17, 2006, concerning procurement of cleaning products and services and to Executive Order No. 49 of Governor Dannel P. Malloy, promulgated May 22, 2015, mandating disclosure of certain gifts to public employees and contributions to certain candidates for office. If Executive Order 14 and/or Executive Order 49 are applicable, they are deemed to be incorporated into and are made a part of the

Contract as if they had been fully set forth in it. At the Contractor's request, the Client Agency or DAS shall provide a copy of these orders to the Contractor.

13. Non-Discrimination.

(a) For purposes of this Section, the following terms are defined as follows:

(1) "Commission" means the Commission on Human Rights and Opportunities;

(2) "Contract" and "contract" include any extension or modification of the Contract or contract;

(3) "Contractor" and "contractor" include any successors or assigns of the Contractor or contractor;

(4) "Gender identity or expression" means a person's gender-related identity, appearance or behavior, whether or not that gender-related identity, appearance or behavior is different from that traditionally associated with the person's physiology or assigned sex at birth, which gender-related identity can be shown by providing evidence including, but not limited to, medical history, care or treatment of the gender-related identity, consistent and uniform assertion of the gender-related identity or any other evidence that the gender-related identity is sincerely held, part of a person's core identity or not being asserted for an improper purpose.

(5) "good faith" means that degree of diligence which a reasonable person would exercise in the performance of legal duties and obligations;

(6) "good faith efforts" shall include, but not be limited to, those reasonable initial efforts necessary to comply with statutory or regulatory requirements and additional or substituted efforts when it is determined that such initial efforts will not be sufficient to comply with such requirements;

(7) "marital status" means being single, married as recognized by the state of Connecticut, widowed, separated or divorced;

(8) "mental disability" means one or more mental disorders, as defined in the most recent edition of the American Psychiatric Association's "Diagnostic and Statistical Manual of Mental Disorders", or a record of or regarding a person as having one or more such disorders;

(9) "minority business enterprise" means any small contractor or supplier of materials fifty-one percent or more of the capital stock, if any, or assets of which is owned by a person or persons: (1) who are active in the daily affairs of the enterprise, (2) who have the power to direct the management and policies of the enterprise, and (3) who are members of a minority, as such term is defined in subsection (a) of Connecticut General Statutes § 32-9n; and

(10) "public works contract" means any agreement between any individual, firm or corporation and the State or any political subdivision of the State other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the State, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.

For purposes of this Section, the terms "Contract" and "contract" do not include a contract where each contractor is (1) a political subdivision of the state, including, but not limited to, a municipality, unless the contract is a municipal public works contract or quasi-public agency project contract, (2) any other state, including but not limited to any federally recognized Indian tribal governments, as defined in C.G.S. § 1-267, (3) the federal government, (4) a foreign government, or (5) an agency of a subdivision, state or government described in the immediately preceding enumerated items (1), (2), (3),or (4).

(b) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such Contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut; and the Contractor further agrees to take affirmative action to ensure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, status as a veteran, intellectual disability, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by the Contractor that such disability prevents performance of the work involved; (2) the Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of the Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Commission; (3) the Contractor agrees to provide each labor union or representative of workers with which the Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which the Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union or workers' representative of the Contractor's commitments under this section and to post copies of the notice in conspicuous places available to employees and applicants for employment; (4) the Contractor agrees to comply with each provision of this Section and C.G.S. §§ 46a-68e and 46a-68f and with each regulation or relevant order issued by said Commission pursuant to C.G.S. §§ 46a-56, 46a-68e , 46a-68f, and 46a-86; and (5) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor as relate to the provisions of this Section and C.G.S. § 46a-56. If the contract is a public works contract, municipal public works contract or contract for a quasi-public agency project, the Contractor agrees and warrants that he or she will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials on such public works or quasi-public agency projects.

(c) Determination of the Contractor's good faith efforts shall include, but shall not be limited to, the following factors: The Contractor's employment and subcontracting policies, patterns and practices; affirmative advertising, recruitment and training; technical assistance activities and such other reasonable activities or efforts as the Commission may prescribe that are designed to ensure the participation of minority business enterprises in public works projects.

(d) The Contractor shall develop and maintain adequate documentation, in a manner prescribed by the Commission, of its good faith efforts.

(e) The Contractor shall include the provisions of subsection (b) of this Section in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and in every subcontract entered into in order to fulfill any obligation of a municipal public works contract or for a quasi-public agency project, and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with C.G.S. §46a-56, as amended; provided if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

(f) The Contractor agrees to comply with the regulations referred to in this Section as they exist on the date of this Contract and as they may be adopted or amended from time to time during the term of this Contract and any amendments thereto.

(g) (1) The Contractor agrees and warrants that in the performance of the Contract such Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or the State of Connecticut, and that employees are treated when employed without regard to their sexual orientation; (2) the Contractor agrees to provide each labor union or representative of workers with which such Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which such Contractor has a contract or understanding, a notice to be provided by the Commission on Human Rights and Opportunities advising the labor union or workers' representative of the Contractor's commitments under this section, and to post copies of the notice in conspicuous places available to employees and applicants for employment; (3) the Contractor agrees to comply with each provision of this section and with each regulation or relevant order issued by said Commission pursuant to C.G.S. § 46a-56; and (4) the Contractor agrees to provide the Commission on Human Rights and Opportunities with such information requested by the Commission, and permit access to pertinent books, records and accounts, concerning the employment practices and procedures of the Contractor which relate to the provisions of this Section and C.G.S. § 46a-56.

(h) The Contractor shall include the provisions of the foregoing paragraph in every subcontract or purchase order entered into in order to fulfill any obligation of a contract with the State and such provisions shall be binding on a subcontractor, vendor or manufacturer unless exempted by regulations or orders of the Commission. The Contractor shall take such action with respect to any such subcontract or purchase order as the Commission may direct as a means of enforcing such provisions including sanctions for noncompliance in accordance with C.G.S. § 46a-56 as amended; provided, if such Contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction by the Commission regarding a State contract, the Contractor may request the State of Connecticut to enter into any such litigation or negotiation prior thereto to protect the interests of the State and the State may so enter.

14. Antitrust Provision. Contractor hereby irrevocably assigns to the State of Connecticut all rights, title and interest in and to all Claims associated with this Contract that Contractor now has or may or will have and that arise under the antitrust laws of the United States, 15 USC Section 1, *et seq.* and the antitrust laws of the State of Connecticut, Connecticut General Statute § 35-24, *et seq.*, including but not limited to any and all Claims for overcharges. This assignment shall become valid and effective immediately upon the accrual of a Claim without any further action or acknowledgment by the parties.
15. State Liability. The State of Connecticut shall assume no liability for payment for services under the terms of this agreement until the contractor is notified that this agreement has been accepted by the contracting agency and, if applicable, approved by the Office of Policy and Management (OPM) or the Department of Administrative Services (DAS) and by the Attorney General of the State of Connecticut.
16. Distribution of Materials. The Contractor shall obtain written approval from the Commissioner prior to the distribution or publication of any materials prepared under the terms of this Contract. Such approval shall not be unreasonably withheld.
17. Change in Principal Project Staff. Any changes in the principal project staff must be requested in writing and approved in writing by the Commissioner at the Commissioner's sole discretion. In the event of any unapproved change in principal project staff, the Commissioner may, in the Commissioner's sole discretion, terminate this Contract.
18. Further Assurances. The Parties shall provide such information, execute and deliver any instruments and documents and take such other actions as may be necessary or reasonably requested by the other Party which are not inconsistent with the provisions of this Contract and which do not involve the vesting of rights or assumption of obligations other than those provided for in the Contract, in order to give full effect to the Contract and to carry out the intent of the Contract.
19. Recording and Documentation of Receipts and Expenditures. Accounting procedures must provide for accurate and timely recording of receipt of funds by source, expenditures made from such funds, and of unexpended balances. Controls must be established which are adequate to ensure that expenditures under this Contract are for allowable purposes and that documentation is readily available to verify that such charges are accurate.
20. Assignability. The Contractor shall not assign any interest in this Contract, and shall not transfer any interest in the same (whether by assignment or novation), without the prior written consent of the Commissioner thereto: provided, however, that claims for money due or to become due the Contractor from the Commissioner under this Contract may be assigned to a bank, trust company, or other financial institution without such approval. Notice of any such assignment or transfer shall be furnished promptly to the Commissioner.
21. Third Party Participation. The Contractor may make sub-awards, using either its own competitive selection process or the values established in the state's competitive selection process as outlined in DAS General Letter 71, whichever is more restrictive, to conduct any of the tasks in the Scope of Work contained in Appendix A. The Contractor shall advise the Commissioner of the proposed sub-awardee and the amount allocated, at least two (2) weeks prior to the making of such awards. The Commissioner reserves the right to disapprove

such awards if they appear to be inconsistent with the program activities to be conducted under this grant. As required by Sec. 46a-68j-23 of the Connecticut Regulations of State Agencies the Contractor must make a good faith effort, based upon the availability of minority business enterprises in the labor market area, to award a reasonable proportion of all subcontracts to such enterprises. When minority business enterprises are selected, the Contractor shall provide DEEP with a copy of the Affidavit for Certification of Subcontractors as Minority Business Enterprises (MBE) along with a copy of the purchase order or contract engaging the Subcontractor. The Contractor shall be the sole point of contact concerning the management of the Contract, including performance and payment issues. The Contractor is solely and completely responsible for adherence by any subcontractor to all the applicable provisions of the Contract.

22. Set Aside. State agencies are subject to the requirements of CGS sec. 4a-60g. Unless otherwise specified by the invitation to bid, general contractors intending to subcontract any portion of work under this Contract shall subcontract 25% of the total contract value to small contractors certified by the Department of Administrative Services (DAS) and are further required to subcontract 25% of that 25% to minority and women small contractors certified as minority business enterprises by DAS. Selected general contractors that are certified by DAS as small contractors, minority business enterprises, or both are excused from this requirement but must comply with CGS sec. 4a-60g(e) and complete a minimum of 30% of the work by dollar value with their own workforces and ensure at least 50% of the work overall by dollar value is completed by contractors or subcontractors certified as small contractors or minority business enterprises by DAS.
23. Procurement of Materials and Supplies. The Contractor may use its own procurement procedures which reflect applicable State and local law, rules and regulations provided that procurement of tangible personal property having a useful life of more than one year and an acquisition cost of one thousand dollars ($1,000.00) or more per unit be approved by the Commissioner before acquisition.
24. Americans with Disabilities Act. The Contractor shall be and remain in compliance with the Americans with Disabilities Act of 1990 ("Act"), to the extent applicable, during the term of the Contract. The DEEP may cancel the Contract if the Contractor fails to comply with the Act.
25. Affirmative Action and Sexual Harassment Policies. The Contractor agrees to comply with the Departments Affirmative Action and Sexual Harassment Policies available on DEEP's web site. Hard copies of the policy statements are available upon request at DEEP.
26. Breach. If either Party breaches the Contract in any respect, the non-breaching Party shall provide written notice of the breach to the breaching Party and afford the breaching Party an opportunity to cure within ten (10) days from the date that the breaching Party receives the notice. In the case of a Contractor breach, any other time period which the Agency sets forth in the notice shall trump the ten (10) days. The right to cure period shall be extended if the non-breaching Party is satisfied that the breaching Party is making a good faith effort to cure but the nature of the breach is such that it cannot be cured within the right to cure period. The notice may include an effective Contract Termination date if the breach is not cured by the stated date and, unless otherwise modified by the non-breaching Party in writing prior to the Termination date; no further action shall be required of any Party to effect the Termination as of the stated date. If the notice does not set forth an effective Contract Termination date; then the non-breaching Party may Terminate the Contract by giving the breaching Party no less than twenty four (24) hours' prior written notice. If the Agency believes that the Contractor has not performed according to the Contract, the Agency may withhold payment in whole or in part pending resolution of the Performance issue, provided that the Agency notifies the Contractor in writing prior to the date that the payment would have been due.
27. Severability. If any term or provision of the Contract or its application to any person, entity or circumstance shall, to any extent, be held to be invalid or unenforceable, the remainder of the Contract or the application of such term or provision shall not be affected as to persons, entities or circumstances other than those as to whom or to which it is held to be invalid or unenforceable. Each remaining term and provision of the Contract shall be valid and enforced to the fullest extent possible by law.
28. Contractor Guarantee. The Contractor shall: perform the Contract in accordance with the specifications and terms and conditions of the Scope of Work, furnish adequate protection from damage for all work and to repair any damage of any kind, for which he or his workmen are responsible, to the premises or equipment, to his own work or to the work of other contractors; pay for all permits, licenses, and fees, and to give all notices and comply with all laws, ordinances, rules and regulations of the city and the State.
29. Force Majeure. The Parties shall not be excused from their obligation to perform in accordance with the Contract except in the case of Force Majeure events and as otherwise provided for in the Contract. A Force Majeure event materially affects the cost of the Goods or Services or the time schedule for performance and is outside the control nor caused by the Parties. In the case of any such exception, the nonperforming Party shall give immediate written notice to the other, explaining the cause and probable duration of any such nonperformance.
30. Entirety of Contract. The Contract is the entire agreement between the Parties with respect to its subject matter, and supersedes all prior agreements, proposals, offers, counteroffers and understandings of the Parties, whether written or oral. The Contract has been entered into after full investigation, neither Party relying upon any statement or representation by the other unless such statement or representation is specifically embodied in the Contract.
31. Interpretation. The Contract contains numerous references to statutes and regulations. For purposes of interpretation, conflict resolution and otherwise, the content of those statutes and regulations shall govern over the content of the reference in the Contract to those statutes and regulations.
32. Discharge of sewage to waters of the state. In accordance with Section 22a-430-3(k) of the Regulations of Connecticut State Agencies, within two hours of any discharge of sewage from a pumpout facility to a water of the state or to the ground, the owner or operator of such facility shall report such discharge to the Director of the Permitting, Enforcement and Remediation Division of the DEEP during normal business hours at (860) 424-3018, to the Department's Emergency Response Unit at all other times at (860) 424-3338, and to the Director of Health in each affected municipality.
33. Registration for DEEP Stormwater General Permit. If Contractor has a stormwater point discharge, the Contractor is required by Section 22a-430b of The General Statutes of Connecticut to register for the stormwater general permit for industrial activity. A stormwater pollution prevention plan must also be prepared, and sampling of runoff conducted once a year during a rain event.

34. Sale of Docking Facility. The owner or operator of a docking facility to receive grant funds will, if the docking facility is to be sold, and upon sale of the docking facility, ensure that conditions of this Contract be made part of any sale agreement with new owner.
35. Title to Equipment. Title to equipment purchased under this Agreement shall vest in the Contractor. If the Contractor determines that it cannot use the equipment for the stated grant purposes at any point prior to the end of the equipment's useful life, but after the end of this Contract period and any extensions thereof, the Contractor shall inform the DEEP in writing within 30 days of such determination. Such equipment shall be transferred by the Contractor to a third party approved by the DEEP for use for grant purposes in accordance with applicable provisions of state and federal law. Should the equipment not be transferred to a new operator in accordance with this provision, the equipment shall either be returned to the DEEP for use for grant purposes or it shall be disposed in accordance with 50 CFR Part 85; and 2 CFR Part 200 as applicable and as adopted in regulation by 2 CFR 1402.100.
36. Non-Federal Match Documentation. The Contractor shall contribute at least 25% of the Total Project Cost as cost sharing or non-federal match. Such cost sharing or match may be provided as cash costs or third party in-kind services provided such services are reasonable and necessary for grant purposes. Allowability of any cost sharing or match shall be determined in accordance with 50 CFR Part 85; and 2 CFR Part 200 as applicable and as adopted in regulation by 2 CFR 1402.100. The Contractor shall maintain records that adequately document the valuation of non-federal match/in-kind services in accordance with 50 CFR Part 85; and 2 CFR Part 200 as applicable and as adopted in regulation by 2 CFR 1402.100. The Contractor shall submit a summary of the valuation to DEEP.
37. Program Income. Income received by the grantee or subgrantee directly generated by a grant supported activity, or earned only as a result of the grant agreement during the grant period is defined as program income per 50 CFR Part 85; and 2 CFR 200 as applicable and as adopted in regulation by 2 CFR 1402.100 Program income shall be deducted from total allowable costs to determine the net allowable costs. Program income shall be used for current costs. Program income that the subgrantee did not anticipate at the time of the award shall be used to reduce the Federal/State share and grantee contributions rather than to increase the funds committed to the project.
38. Allowable Costs. All costs charged by the Contractor and subcontractors must be eligible, necessary, and reasonable for performing the tasks outlined in the approved project work plan. The costs, including match, must be incurred during the period of performance of the project. The costs also must be allowable and well documented, in conformance with specific federal requirements (50 CFR Part 85; and 2 CFR Part 200 as applicable and as adopted in regulation by 2 CFR 1402.100. Applicable CFRs are located at the following websites:
https://www.gpo.gov/fdsys/pkg/CFR-2015-title2-vol1/pdf/CFR-2015-title2-vol1-subtitleA-chapII.pdf
https://www.gpo.gov/fdsys/pkg/CFR-2015-title50-vol9/pdf/CFR-2015-title50-vol9-part85.pdf
39. Entertainment Costs. In accordance with Circular A-122 (non-profits) and A-87 (State, Local, and Indian Tribal Governments) the cost of amusement, diversion, social activities, ceremonials, and costs relating thereto, such as meals, lodging, rentals, transportation, gratuities and alcoholic beverages are **not** allowable expenses.
40. Contract Work Hours and Safety Standards Act. If this Contract funds construction that exceed $100,000.00 or other work that involves the employment of mechanics or laborers that exceeds $2,500.00, the Contractor shall ensure that the wages of every mechanic and laborer shall be computed on the basis of a standard work week of 40 hours pursuant to sections 102 and 107 of the Contract Work Hours and Safety Standards Act (40 U.S.C. 327–333), as supplemented by Department of Labor regulations (29 CFR part 5). Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than 1 1/2 times the basic rate of pay for all hours worked in excess of 40 hours in the work week. No laborer or mechanic shall be required to work in surroundings or under working conditions that are unsanitary, hazardous, or dangerous. These requirements do not apply to the purchases of supplies or materials or articles ordinarily available on the open market, or contracts for transportation or transmission of intelligence. Information on the Contract Work Hours and Safety Standards Act can be found on the Department of Labor web-site at: https://www.dol.gov/whd/govcontracts/cwhssa.htm.
41. Suspension and Debarment. The Contractor shall make no Contracts with parties listed on the General Services Administration's "List of Parties Excluded from Federal Procurement or Nonprocurement Programs" in accordance with E.O.s 12549 and 12689, "Debarment and Suspension." This list contains the names of parties debarred, suspended, or otherwise excluded by agencies, and contractors declared ineligible under statutory or regulatory authority other than E.O. 12549. The Contractor may access the Excluded Parties List System at http://epls.arnet.gov/. By signing this Contract the Contractor certifies that neither the Contractor nor its principal(s) are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
42. Copeland "Anti-Kickback" Act. *(18 U.S.C. 874 and 40 U.S.C. 276c)*—If this Contract is in excess of $100,000.00 for construction or repair, the Contractor shall comply with the Copeland "Anti-Kickback" Act (18 U.S.C. 874), as supplemented by Department of Labor regulations (29 CFR part 3, "contractors and subcontractors on Public Building or Public Work Financed in Whole or in Part by Loans or Grants from the United States"). The Act provides that each Contractor or subrecipient shall be prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he is otherwise entitled. The Contractor shall include language requiring compliance with this provision in any subcontract awarding funds provided in this Contract in excess of $100,000.00. The Commissioner shall report all suspected or reported violations to the U.S. Fish & Wildlife Service.
43. Certifications Regarding Lobbying. Byrd Anti-Lobbying Amendment: The Contractor shall not use any of the funds provided in this Contract to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following Federal actions:
    a. The awarding of any Federal contract.
    b. The making of any Federal grant.
    c. The making of any Federal loan.
    d. The entering into of any cooperative agreement.

e. The extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

If this Contract is in excess of $100,000.00 the Contractor and any subcontractors awarded funds from this Contract in excess of $100,000.00 shall file the declaration required by 31 U.S.C. 1352 (b). Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by 31 U.S.C. 1352. Each tier shall also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the Commissioner.

44. Drug Free Workplace. The Contractor shall provide a Drug Free Workplace in compliance with 41 USC Section 702. The Contractor agrees to make a good faith effort, on a continuing basis, to maintain a drug-free workplace as a condition for receiving federal funds under this Contract. The specific measures the Contractor must take in this regard are described in more detail in 43 CFR Part 43. Briefly, those measures are to:
    a. Publish a drug-free workplace statement and establish a drug-free awareness program for its employees (Sec. 43.205 through 43.220);
    b. Take actions concerning employees who are convicted of violating drug statutes in the workplace (Sec. 43.225); and
    c. Identify all known workplaces under its Federal awards (Sec. 43.230).
45. Clean Air Act *(42 U.S.C. 7401 et seq.)* and the Federal Water Pollution Control Act *(33 U.S.C. 1251 et seq.), as amended*: — the Contractor shall comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act (42 U.S.C. 7401 *et seq.*) and the Federal Water Pollution Control Act as amended (33 U.S.C. 1251 *et seq.*). The Commissioner shall report violations to the Fish & Wildlife Service and the Regional Office of the Environmental Protection Agency (EPA).
46. Contractor Responsibility. The Contractor shall be responsible for the entire Performance under the Contract regardless of whether the Contractor itself Performs. The Contractor shall be the sole point of contact with DEEP concerning the management of the Contract, including Performance and payment issues. The Contractor is solely and completely responsible for adherence by the Contractor Parties to all applicable provisions of the Contract. The Contractor shall be the sole point of contact concerning the management of the Contract, including Performance and payment issues.
47. Provisions of Law Incorporated by Reference. Each and every provision of law and clause required by law to be inserted in this Contract shall be deemed to be inserted and made a part of this Contract and this Contract shall be read and enforced as though such provisions were incorporated into this Contract. For purposes of interpretation, conflict resolution and otherwise, the content of those statutes and regulations shall govern over the content of the reference in the Contract to those statutes and regulations.

APPENDIX A
SCOPE OF WORK

Purpose:

Operation and Maintenance of a Pumpout Vessel: To operate and maintain in good operating condition, one marine sewage pumpout vessel in the Niantic River and Niantic Bay for the 2021 calendar year boating season. Each such pumpout vessel shall be available at no-cost to the recreational boating public. Save the River – Save the Hills Inc. (the Contractor) shall administer a Pumpout vessel program which shall include: providing trained staff to operate the Pumpout vessel, maintaining the Pumpout vessel in good operating condition, and properly disposing of waste collected.

Definitions:

"Pumpout vessel" means one or more marine sewage pumpout boat(s) designed, purchased, installed, constructed, renovated, operated, repaired or maintained with these grant funds.

"Marine Sewage Disposal Facility" or **"MSDF"** means each and every pumpout facility designed, purchased, installed, constructed, renovated, operated, repaired or maintained with these grant funds, stationary pumpout units, portable pumpout units and dump stations.

Services: The Contractor agrees to provide the following services:

A. Operation and Maintenance of a Pumpout Vessel

1. **Administration:** The Contractor shall administer a Pumpout vessel program to provide at no charge convenient pumpout service utilizing a marine sewage Pumpout vessel in Waterford, CT. The boat must be used for the collection of vessel sewage only (no bilge or oily waste shall be collected).

2. **Disposal of Waste from the Pumpout Vessel:** The Contractor shall own, or have a written agreement with, one or more land based MSDF, allowing the Pumpout vessel to properly dispose of the waste material collected. In the case of a land based MSDF owned by the Contractor, the Contractor shall (1) obtain and maintain approval for increased flow to a publicly-owned treatment works (wastewater treatment plant); or (2) obtain and maintain a valid contract, with a schedule for the removal of sewage from a storage tank by a licensed septage hauler and for the transport and ultimate disposal of such septage at a municipal sewage receiving/treatment facility. A storage tank installed for the purpose of receiving and storing MSDF waste shall not be used to receive or store waste from any other source. In the event of a written agreement, a copy of such agreement must be filed with the DEEP prior to receiving reimbursement payments for any operations and maintenance costs.

3. **Signs and symbols:** The Contractor shall install and/or maintain signs/lettering on the boat clearly visible to boaters advertising the availability of the service and telephone number/radio frequency identifying how to contact the Pumpout vessel. Appropriate information signs shall be prepared, installed and maintained, restrictions, hours of operation, and a contact name and phone number to call. All such signs shall acknowledge that the facility was constructed, improved, operated or maintained with funds from the Clean Vessel Act and shall include logos acknowledging support of the Sport Fish Restoration Program and Clean Vessel Act. Logos are available from DEEP in electronic format upon request of the Contractor.

Suggested language for such acknowledgment is: "This pumpout vessel is operated and maintained with funds provided by the Connecticut Department of Energy & Environmental Protection, authorized by the Federal Clean Vessel Act." Contractor must obtain written approval from DEEP prior to distribution or publication of any printed material prepared under the terms of this contract.

4. **Public Access to Pumpout Vessel:** The Contractor shall allow all recreational vessels access to and service from any Pumpout vessel designed, purchased, constructed, renovated, operated, repaired, or maintained with these grant funds, for the full period of their useful life of the Pumpout vessel.

5. **Operations and Maintenance of Pumpout Vessel:** The Contractor shall safely operate and maintain any Pumpout vessel designed, purchased, constructed, renovated, operated, repaired, or maintained with such grant funds to function for the full period of their useful life and in a manner that provides adequate service, promotes use, and protects public health. Such operation shall include having trained personnel to operate the Pumpout vessel with all federally required safety equipment; provide and ensure appropriate personal protective equipment usage (such as gloves, eye protection, rubber boots, and personal floatation devices) and hand-washing facilities to protect the operator; and a schedule for maintenance. Reimbursable labor costs under this contract include only the actual time spent designing, constructing, renovating, operating, maintaining or repairing the Pumpout vessel and administering the program.

   The Contractor shall provide a U.S. Coast Guard approved personal floatation device (PFD) to persons on board and require that persons on board wear an approved PFD at ALL times while performing their duties including on docks and customer vessels while vessels are being serviced. The PFD must properly fit each individual. Prior to each use, personal flotation devices shall be inspected for dry rot, chemical damage, or other defects which may affect their strength and buoyancy. Defective personal flotation devices shall not be used.

6. **Records:** The Contractor shall maintain written records of the use and maintenance of each Pumpout vessel operated and maintained with these grant funds over the full period of the useful life of each such Pumpout vessel. Such records shall include date, day of week, and number of gallons pumped for each use of each such Pumpout vessel; date and description of maintenance conducted; and the date, volume of sewage removed from a storage tank, the identity of the person(s) that removed and transports such sewage, and the identity and location of the facility to which such sewage was transported (See Appendix D). Such records shall be available to the DEEP promptly upon request.

   a) The Contractor shall submit the records (See Appendix D) and invoice (See Appendix E) to the DEEP no later than January 15, 2022. During the period of this contract and any amendment thereto, such records shall also include a summary of payroll records documenting operations and maintenance activities.

   b) Following expiration of this contract or any amendment thereto, the Contractor shall submit the records (See Appendix D) annually on or before January 15 of the following year for the useful life of each such Pumpout vessel.

7. **Completion of Project:** The Contractor shall complete all project elements as specified in the scope of work. Failure to implement the entire project within the agreed schedule may result in the recovery by DEEP of any funds paid to the Contractor under this agreement.

8. **Hours of Operation:** The Contractor shall operate the Pumpout vessel from May 23rd through September 4th, Friday through Monday and September 5th through October 24th, Saturday and Sunday from 9:00am to 5:00pm of each year, weather and safety permitting, unless DEEP

provides written permission specifically allowing otherwise, in response to a written request by the Contractor. Hours of operation must be clearly posted in informational material available to the public.

9. **Boating Accident Report:** All operators of vessels, including Pumpout vessels, involved in an accident must remain at the scene and assist any other vessel or person involved, if possible, without endangering their own vessel or the people aboard. The operator must also give his/her name, address and vessel identification number to the other operator(s) or owner of the damaged property.

   Operators involved in a boating accident which results in any of the circumstances noted below shall ***immediately*** notify the nearest law enforcement agency with jurisdiction in the area and, ***not later than 48 hours after the accident***, report the matter on a form provided by the DEEP Boating Division.

   a) The death of any person from whatever cause.
   b) The disappearance of any person from on board.
   c) The injury of any person sufficient to require medical attention beyond simple first aid.

   Any accident in which the total damages to all property affected is in excess of $500 must be reported by the operator not later than ***5 days after the accident*** on forms provided by the DEEP. The form can be downloaded by visiting the DEEP website: www.ct.gov/deep/boataccidentreport. The operator of the vessel must make out the report, if they cannot do so, the vessel's owner or a survivor of the accident must initiate the report.

B. Generally Applicable Terms and Conditions

1. **Budget:** The Contractor may not exceed the budget which is included in this Contract (see Appendix C). If the Contractor would like to change or add additional funds to the original budget, such request must be sent in writing to Kate Brown. Department of Energy and Environmental Protection (DEEP) shall determine the availability of funding to cover the additional services. If funding is available, DEEP may award this contract accordingly.

2. **Fee charges:** The Contractor may not charge a fee for each use of the service funded with such grant funds. The Contractor shall make a good faith effort to promote use of the service funded with such grant funds, and is encouraged to include as part of this promotion the provision of free Pumpout. A fee shall not be charged without the prior approval of the DEEP.

3. **Compliance with statutes, regulations and permit requirements:** The Contractor shall maintain compliance with all relevant statutes, regulations and permit requirements. The Commissioner of the DEEP has the right to withhold funds if any service is found to be out of compliance with such statutes, regulations or permit requirements.

4. **Extensions/Amendments:** Formal written amendment of the contract is required for extensions to the final date of the contract period and to terms and conditions specifically stated in the original contract and any prior amendments, including but not limited to:

   a) revisions to the maximum contract payment,
   b) the total unit cost of service,
   c) the contract's objectives, services, or plan,
   d) due dates for reports,
   e) completion of objectives or services, and
   f) any other Contract revisions determined material by DEEP.

5. **ADA Publication Statement:**

For all public notices printed in newspapers, the following ADA and Title VI Publication Statement should be used:

> The Connecticut Department of Energy and Environmental Protection is an Affirmative Action and Equal Opportunity Employer that is committed to complying with the Americans with Disabilities Act. To request an accommodation contact us at (860) 418-5910 or mailto:deep.accommodations@ct.gov

If there is not a meeting or event associated with the material(s) being published, the following ADA and Title VI Publication Statement should be used:

> The Connecticut Department of Energy and Environmental Protection is an Affirmative Action/Equal Opportunity Employer that is committed to complying with the requirements of the Americans with Disabilities Act. Please contact us at (860) 418-5910 or deep.accommodations@ct.gov if you: have a disability and need a communication aid or service; have limited proficiency in English and may need information in another language; or if you wish to file an ADA or Title VI discrimination complaint.

If the material(s) being published have a meeting or event associated with them, the following ADA and Title VI Publication Statement should be used:

> The Connecticut Department of Energy and Environmental Protection is an Affirmative Action/Equal Opportunity Employer that is committed to complying with the requirements of the Americans with Disabilities Act. Please contact us at (860) 418-5910 or deep.accommodations@ct.gov if you: have a disability and need a communication aid or service; have limited proficiency in English and may need information in another language; or if you wish to file an ADA or Title VI discrimination complaint. Any person needing a hearing accommodation may call the State of Connecticut relay number - 711. Requests for accommodations must be made at least two weeks prior to any agency hearing, program or event.

For videos that will be published on the DEEP website, the following ADA and Title VI statement and the following line should be included on the DVD cover and the title page of the video:

> The Connecticut Department of Energy and Environmental Protection is an Affirmative Action and Equal Opportunity Employer that is committed to complying with the requirements of the Americans with Disabilities Act. To request an accommodation contact us at (860) 418-5910 or deep.accommodations@ct.gov.
>
> This video with closed captioning is available at www.ct.gov/deep.

APPENDIX B
SCHEDULE OF PAYMENTS

The maximum amount payable under this Contract is twenty-nine thousand three hundred twenty-five dollars ($29,325.00).

The payments by the Commissioner shall allow for use of funds to meet allowable financial obligations incurred in conjunction with this Project, prior to expiration of this Contract, and shall be scheduled as follows provided that the total sum of all payments shall not exceed the maximum Contract amount noted above.

a) The contractor will be responsible to ensure the correct Appendix E Invoice is used (cash and non-cash) or (cash only).

b) DEEP will pay contractor for amounts properly supported by invoices submitted by contractor not to exceed maximum amount stated in this Contract.

c) Following completion of each year's boating season, but not later than January 15, 2022, the Contractor shall submit such documentation to:

   Kate Brown
   DEEP – Boating Division
   P.O. Box 280, 333 Ferry Road
   Old Lyme, CT 06371-0280

The DEEP shall review the Logs and Invoices and may, at its discretion, deny or reduce payment to the Contractor if the Logs or Invoice contains insufficient information or erroneous data. Expenses incurred prior to January 1, 2021 or contract execution (whichever comes later) will not be reimbursed nor can they be calculated as match toward the Reimbursement Amount.

APPENDIX C

Budget Information

Contract/PSA #:	2021-30	**PO #:**	
Vendor Name:	Save the River - Sav	**Program:**	CVA
Contract Period:	Upon execution - 12/31/2021		

Please select/check only one Award Type:

Check "x" Below

	Operation and Maintenance Costs Associated with a Land Based MSDF
X	Operation and Maintenance Costs Associated with a Pumpout Boat
	Construction/Purchase/Installation of a New MSDF or Repair or Upgrade of an Existing MSDF (including purchase or repair/upgrade of a pumpout boat)

Cost Categories	Funding Awarded	Match Budget	Total Budget
	(Federal Share) 75% of Total Budget	(Non-Federal Share) 25% of Total Budget	
Personnel:			
Administrative	$ 6,375.00	$ 2,125.00	$ 8,500.00
Program	$ 10,200.00	$ 3,400.00	$ 13,600.00
Contractual	$ -	$ -	$ -
Operating	$ 2,812.50	$ 937.50	$ 3,750.00
Boat Expenses	$ 4,162.50	$ 1,387.50	$ 5,550.00
Equipment (including repairs & upgrades/purchases)	$ 5,325.00	$ 1,775.00	$ 7,100.00
Sewage Waste Removal	$ -	$ -	$ -
Supplies	$ 450.00	$ 150.00	$ 600.00
Construction/Installation Cost	$ -	$ -	$ -
Other	$ -	$ -	$ -
Grand Total	**$ 29,325.00**	**$ 9,775.00**	**$ 39,100.00**

APPENDIX D
MSDF USE LOG

Facility Name: ____

Boating Season: ____

Page _____ of ____

Date	Day of Week	Boat Length (in feet)	Gallons Pumped (approximate)

MSDF MAINTENANCE LOG

Facility Name: ____

Boating Season: ____

Page ______ of _____

Date of Maintenance	Hours	Hourly Rate	Maintenance Conducted

MSDF DISPOSAL LOG

Facility Name: ____

Boating Season: ____

Page ______ of _____

Date of Disposal	Volume Removed	Name of Person Removing/Transporting Waste	Identification & Location of Facility to Which the Waste was Transported

APPENDIX E

CVA Billing Form

Contract/PSA #:	2021-30	Request #:	
Vendor Name:	Save the River - Save the Hills, I	Award Type:	Operation and Maintenance Costs Associated with a Pumpout Boat
Period Through:		Invoice Date:	

Form Terms:

1. Cash - Cash transactions refer to any payments made via cash, check, or credit card. Cash transactions also include payroll expenses.
2. Non-Cash - Non-cash transactions include donated material goods or volunteered time to provide services in support of CVA sponsored contract activities.

INSTRUCTIONS:

Handwritten Submissions:

1 If submitted as a handwritten form, ONLY the "INPUT" columns of Sections 1 and 2, and Section 5 are required for your completion. The final reimbursement amount will be calculated by DEEP.
2. Mail a signed hard copy of this form to Kate Brown (see address below) along with supporting documentation.

Electronic Submissions:

Section 1: Expense Report - Cash - This section is to be used to reimburse contractors for approved CVA expenses incurred and paid for according to your DEEP Executed Contract for CVA activity.

1. Please input in Column "B" ONLY to provide the total cost, per cost category, that you wish to be considered for reimbursement.
Please attach supporting documentation.
(Only expenses for cash transactions can be reimbursed using federal funding according to your approved budget. Expenses should be summed by Cost Category and entered on the form consistent with the approved budget line items contained in your executed contract. The form will calculate both the Match and Federal Share of expenses for all cash transactions by cost category.)

Section 2: Expense Report - Non-Cash - This section is to be used to report non-cash transactions. (If you do not have any non-cash transactions to report, please skip this section.)

1. Using Column "A", please list the non-cash goods/services received. (If you need more rows, please attach another form listing your additional non-cash items.)
2. Using Column "B", please enter the value of the respective good/service listed in Column "A".
Please provide supporting detail for non-cash transactions. Include names of individuals/organizations who donated materials or volunteered time (this may be attached,) along with the dates the goods/services were received.

*If preferred, you may enter the total value of all non-cash transactions on one row. Please be sure to attach the appropriate supporting detail.

Once Sections 1-2 are complete, your reimbursement amount will calculate automatically. If your reimbursement amount causes your cumulative reimbursements to exceed your federal budget, that excess amount will be applied to your match share.

Section 3: Budget Report - This section provides you with the budget of your contract and remaining balances based on current and previous reimbursement amounts. There is no need to enter anything into this section. Data will calculate automatically.

Section 4: Contract Budget Summary - This section summarizes all financial activity completed in Sections 1-3. The purpose of the contract budget summary is to compare expenses with approved budgets for the total federal expenses paid, and match contributions incurred for both cash and non-cash items. Once Sections 1-3 are completely filled out, all financial activity will be summarized accordingly in Section 4. Therefore, there is no need to enter anything into this section, it will calculate automatically.

Section 5: Certification -

1. Please read, sign and date this section.

ONCE YOU HAVE COMPLETED SECTIONS 1-5, PLEASE EMAIL THIS FORM TO KATE BROWN AT KATE.BROWN@CT.GOV. PLEASE THEN PRINT AND ATTACH SUPPORTING DOCUMENTATION, WHICH CAN BE SENT TO:

Kate Hughes Brown
Navigation and Boating Infrastructure Unit, Boating Division
Department of Energy and Environmental Protection
333 Ferry Road, P.O. Box 280
Old Lyme, CT 06371
(p) 860.447.4340
(f) 860.434.3501

SECTION 1: Expense Report - Cash

Input Sheet: Please Provide Info below in Columns B-D				Analysis of Allocable CVA Share				Adjustment(s)	
	INPUT			(FOR DEEP USE ONLY)				(FOR DEEP USE ONLY)	
Column A	Column B	Column C	Column D	Column E	Column F	Column G	Column H	Column I	Column J
	Please Enter Total Costs per Cost Category Below for Each Award Type				Formula DO NOT INPUT (Col. B + Col. C + Col. D)	Formula DO NOT INPUT (Col. F * 25%)	Formula DO NOT INPUT (Col. F * 75%)	For DEEP Use Only - DO NOT INPUT	For DEEP Use Only - DO NOT INPUT
Cost Category	Total Costs - O&M Associated with a Land Based MSDF	Total Costs - O&M Associated with a Pumpout Vessel	Total Costs - Construction/ Purchase/ Installation/ Repair/ Upgrade		Total Costs	Match	Fed Share Reimbursement	Match adjustment(s) based on additional Non-Cash Transactions	Federal Share Reimbursement adjustment(s) based on Non-Cash Transactions
Cash Costs:									
Administrative Personnel									
Current Request:					$ -	$ -	$ -	$ -	$ -
Program Personnel									
Current Request:					$ -	$ -	$ -	$ -	$ -
Contractual									
Current Request:					$ -	$ -	$ -	$ -	$ -
Operating									
Current Request:					$ -	$ -	$ -	$ -	$ -
Vessel Expenses									
Current Request:					$ -	$ -	$ -	$ -	$ -
Equipment (including repairs & upgrades/purchases)									
Current Request:					$ -	$ -	$ -	$ -	$ -
Sewage Waste Removal									
Current Request:					$ -	$ -	$ -	$ -	$ -
Supplies									
Current Request:					$ -	$ -	$ -	$ -	$ -
Construction/Installation Costs									
Current Request:					$ -	$ -	$ -	$ -	$ -
Other									
Current Request:					$ -	$ -	$ -	$ -	$ -
Subtotal Cash Costs by Award Type	$ -	$ -	$ -						
Subtotal All Cash Costs					$ -	$ -	$ -	$ -	$ -

SECTION 2: Expense Report - Non-Cash

Input Sheet: Please Provide Info Below in Columns A through D				(FOR DEEP USE ONLY)				(FOR DEEP USE ONLY)	
Column A	Column B	Column C	Column D	Column E	Column F	Column G	Column H	Column I	Column J
Non-Cash Transactions:				Allocation of Non-Cash Transactions:					
Description of Non-Cash Items / Date Received	Total Costs - O&M Associated with a Land Based MSDF	Total Costs - O&M Associated with a Pumpout Vessel	Total Costs - Construction/ Purchase/ Installation/ Repair/ Upgrade		Total	Match	Federal		
					$ -	$ -	$ -	$ -	$ -
					$ -	$ -	$ -	$ -	$ -
					$ -	$ -	$ -	$ -	$ -
Subtotal Non-Cash Transactions	$ -	$ -	$ -	Subtotal	$ -	$ -	$ -	$ -	$ -
Grand Total Cash and Non-Cash Transactions				Grand Total	$ -	$ -	$ -	$ -	$ -

Calculation of Reimbursement

Total Costs	$ -
Proposed Fed Share Based on 75% of Total Costs	$ -
Actual Federal Reimbursement not to Exceed Total Cash Costs	$ -
Reimbursement Amount	$ -

Notes:

1. Non-cash transactions include donated material goods or volunteered time to provide services in support of CVA sponsored contract activities.

2. Non-cash items can be reported as match, however, per federal regulations, they are not reimbursable. The federal cost share reimbursement must only be for cash expenses.

SECTION 3: Budget Report
(FOR DEEP USE ONLY)

	Total Budget	Match Budget	Prior Cumulative Match Billing	Current Match Billing	Total Match Billings Reported	Remaining Match Balance	Federal Budget	Prior Cumulative Federal Billing	Current Federal Billing	Total Federal Billings Reported	Remaining Federal Balance	Total Remaining Balance
Cash Costs:	$ 39,100.00	$ 9,775.00	$ -	$ -	$ -	$ -	$ 29,325.00	$ -	$ -	$ -	$ -	$ -
Non-Cash Costs:	$ -	$ -	$ -	$ -	$ -	$ -						$ -
Grand Total	$ 39,100.00	$ 9,775.00	$ -	$ -	$ -	$ -	$ 29,325.00	$ -	$ -	$ -	$ -	$ -

SECTION 4: Contract Budget Summary
(FOR DEEP USE ONLY)

		Non-Cash Match	Cash Match Share	Total Match	Federal Share - Cash	Total Reported
(A)	Contract Budget	$ -	$ 9,775.00	$ 9,775.00	$ 29,325.00	$ 39,100.00
(B)	Prior Cumulative Billing	$ -	$ -	$ -	$ -	$ -
(C)	Current Bill	$ -	$ -	$ -	$ -	$ -
(D)	Total Reported per Above	$ -	$ -	$ -	$ -	$ -
(E)	Remaining Balance (A-D)	$ -	$ 9,775.00	$ 9,775.00	$ 29,325.00	$ 39,100.00
	Cumulative Cost Share %					

SECTION 5: Certification

Certification. Funding provided through your Contract is sourced through the U.S. Fish and Wildlife Service, Clean Vessel Act Program, administered through Connecticut's Department of Energy and Environmental Protection - Boating Division. Funds awarded are restricted to be used solely for allowable costs and expenses as identified per the terms of your Contract.

Any funding provided under the terms of your Contract are subject to audit and investigation by the Office of the Inspector General (OIG) and the State of Connecticut Attorney General's Office (AG), as well as Connecticut's Department of Energy and Environmental Protection (DEEP). Any instances of potential fraud, waste, theft or abuse will be reported by DEEP to the OIG and the AG's office for investigation and prosecution.

Upon submission of your reimbursement request, the Contractor shall affirm, by signing the form on the signature line provided, all of the following:

a) The form is complete and accurate.

b) All reported costs and expenses were used solely for allowable costs and expenses.

c) All reported costs and expenses are supported with original receipts, invoices or other supporting documentation.

d) There have been no instances of fraud, theft, waste, abuse, mismanagement or misconduct regarding the funds provided through this Contract.

'rint Name and Title of Authorized Representativ

Signature of Authorized Representative

Signature of DEEP Authorized Representative

Date

Date

Notice to Executive Branch State Contractors and Prospective State Contractors of Campaign Contribution and Solicitation Limitations

This notice is provided under the authority of Connecticut General Statutes §9-612 (f) (2) and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined on the reverse side of this page).

CAMPAIGN CONTRIBUTION AND SOLICITATION LIMITATIONS

No *state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor*, with regard to a *state contract* or *state contract solicitation* with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder, of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee (which includes town committees).

In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

On and after January 1, 2011, no state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall **knowingly** *solicit* contributions from the state contractor's or prospective state contractor's employees or from a *subcontractor* or *principals of the subcontractor* on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

DUTY TO INFORM

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

PENALTIES FOR VIOLATIONS

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

Civil penalties—Up to $2,000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and the possible consequences of their violations may also be subject to civil penalties of up to $2,000 or twice the amount of the prohibited contributions made by their principals.

Criminal penalties—Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or not more than $5,000 in fines, or both.

CONTRACT CONSEQUENCES

In the case of a state contractor, contributions made or solicited in violation of the above prohibitions may result in the contract being voided.

In the case of a prospective state contractor, contributions made or solicited in violation of the above prohibitions shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State shall not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Additional information may be found on the website of the State Elections Enforcement Commission, www.ct.gov/seec. Click on the link to "Lobbyist/Contractor Limitations."

DEFINITIONS

"State contractor" means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. "State contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Prospective state contractor" means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid prequalification certificate issued by the Commissioner of Administrative Services under section 4a-100. "Prospective state contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Principal of a state contractor or prospective state contractor" means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has *managerial or discretionary responsibilities with respect to a state contract,* (v) the spouse or a *dependent child* who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

"State contract" means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. "State contract" does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan, a loan to an individual for other than commercial purposes or any agreement or contract between the state or any state agency and the United States Department of the Navy or the United States Department of Defense.

"State contract solicitation" means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submittals, through a competitive procurement process or another process authorized by law waiving competitive procurement.

"Managerial or discretionary responsibilities with respect to a state contract" means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

"Dependent child" means a child residing in an individual's household who may legally be claimed as a dependent on the federal income tax of such individual.

"Solicit" means (A) requesting that a contribution be made, (B) participating in any fundraising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee, serving on the committee that is hosting a fundraising event, introducing the candidate or making other public remarks at a fundraising event, being honored or otherwise recognized at a fundraising event, or bundling contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. Solicit does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

"Subcontractor" means any person, business entity or nonprofit organization that contracts to perform part or all of the obligations of a state contractor's state contract. Such person, business entity or nonprofit organization shall be deemed to be a subcontractor until December thirty first of the year in which the subcontract terminates. "Subcontractor" does not include (i) a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or (ii) an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Principal of a subcontractor" means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a subcontractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a subcontractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a subcontractor, which is not a business entity, or if a subcontractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any subcontractor who has managerial or discretionary responsibilities with respect to a subcontract with a state contractor, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the subcontractor.

16.

TOWN OF WATERFORD
TRANSFER REQUEST FORM
Out of Series Transfer Request

LIBRARY
DEPARTMENT

FY22 Quarter 2

Line No.	Org. Code	Object Code	Object Description	APPROVED Budget Amount	CURRENT Available Budget	ACCOUNT INCREASE	ACCOUNT DECREASE	REVISED Available Budget
								0.00
1	10136	52040	SERVICE CONTRACTS	700	700	2,000		2,700
2	10136	51220	CUSTODIAL	88,138	55,077		(2,000)	53,077
3								0
4								0
5								0
6								0
7								0
8								0
9								0
10								0
								0
								0
					TOTAL	2,000	(2,000)	

Explanation

Temporary custodial coverage due to Part Time Custodian being out for medical leave.

Christine Johnson
Department Head

11/19/2021
Date

Kim Allen
Director of Finance

11/29/2021
Date

First Selectman

Date

Commission/Board Approval

Date

FOR 2022 13

ACCOUNTS FOR: 101 GENERAL FUND

Account	Description	ORIGINAL APPROP	TRANS/ADJSMTS	REVISED BUDGET	YTD EXPENDED	ENCUMBRANCES	AVAILABLE BUDGET	% USED
10136 WATERFORD PUBLIC LIBRARY								
10136 51110	ADMINISTRATION	92,379.00	0.00	92,379.00	36,914.41	0.00	55,464.59	40.0%
10136 51210	CLERICAL AND TECHNICAL	690,286.00	0.00	690,286.00	268,840.71	0.00	421,445.29	38.9%
10136 51220	CUSTODIAL	88,138.00	0.00	88,138.00	33,060.57	0.00	55,077.43	37.5%
10136 51810	OVERTIME	6,075.00	0.00	6,075.00	894.30	0.00	5,180.70	14.7%
10136 51910	FRINGE BENEFITS	0.00	0.00	0.00	0.00	0.00	0.00	.0%
10136 51920	F.I.C.A.	67,082.00	0.00	67,082.00	24,883.35	0.00	42,198.65	37.1%
10136 52010	ADVERTISING	0.00	0.00	0.00	0.00	0.00	0.00	.0%
10136 52020	POSTAGE	325.00	0.00	325.00	101.85	0.00	223.15	31.3%
10136 52040	SERVICE CONT. AND REPAIRS	700.00	0.00	700.00	0.00	0.00	700.00	.0%
10136 52070	REIMBURSABLE EXPENSES	690.00	0.00	690.00	173.60	0.00	516.40	25.2%
10136 52090	FUEL OIL	0.00	0.00	0.00	0.00	0.00	0.00	.0%
10136 52100	ELECTRICITY	0.00	0.00	0.00	0.00	0.00	0.00	.0%
10136 52110	WATER	0.00	0.00	0.00	0.00	0.00	0.00	.0%
10136 52120	SEWER	0.00	0.00	0.00	0.00	0.00	0.00	.0%
10136 53010	OFFICE SUPPLIES	4,000.00	0.00	4,000.00	1,877.06	1,705.34	417.60	89.6%
10136 53020	OTHER SUPPLIES	4,800.00	0.00	4,800.00	585.40	1,648.79	2,565.81	46.5%
10136 54160	BOOKS AND RELATED MATERIAL	45,000.00	0.00	45,000.00	44,693.81	199.88	106.31	99.8%
TOTAL WATERFORD PUBLIC LIBRARY		999,475.00	0.00	999,475.00	412,025.06	3,554.01	583,895.93	41.6%
TOTAL GENERAL FUND		999,475.00	0.00	999,475.00	412,025.06	3,554.01	583,895.93	41.6%
TOTAL EXPENSES		999,475.00	0.00	999,475.00	412,025.06	3,554.01	583,895.93	

Christine Johnson

From:	irene blackburnpro.com <irene@blackburnpro.com>
Sent:	Thursday, November 18, 2021 2:47 PM
To:	Christine Johnson
Cc:	jared blackburnpro.com; steve blackburnpro.com
Subject:	Temporary custodian

Hello Chris,

It was a pleasure speaking with you today. We're sorry to that your custodian is out. However, we can help you out now thru January 1, unless you extend our service. Our agreement would be to provide basic janitorial services Monday-Thursday evenings, and Sunday afternoon for a shortened service. The duties would include emptying the book drop to a cart and bringing in the building.
Here is a basic list of what we would be responsible for:

1). Thoroughly clean, sanitize and stock all restrooms and kitchenettes. (sweep and mop floors)
2). Remove all trash from building to dumpster that you provide.
3). Clean entry glass doors and sanitize doorknobs, crash bars, light plate switches, etc.
4). Vacuum carpets and mats.
5). Wipe with disinfectant counters, ledges and desks (if cleared)
Price would be $430.00 per full week. We can pro-rate if service is a partial week.

I we can help you out with this, we can start as soon as Monday evening. We would like to send a supervisor to walk through the areas and get keys and codes either tomorrow or Monday. Please advise.

Regards,

E. Irene Blackburn
Office 860-443-5007
Cell 860-625-3639

17.

Town of Waterford

Director of Fire Services

204 Boston Post Road

Waterford, CT 06385

November 4, 2021

First Selectman Rob Brule

15 Rope Ferry Road

Waterford, CT 06385

Re: Merging of two CNR lines

On behalf of the Waterford Fire Services, I would like to request the merging of two lines in our Capital Non-Recurring (CNR) Designated, under line #20523-57733 Oswegatchie Building Improvements and line # 20523-57792 Oswegatchie Building Renovations into one project. This project is currently in the evaluating stage, and needs assessment for a new station. Recommended we merge into 20523-57733.

Director of Fire Services

Michael J. Howley

C: Kim Allen, Director of Finance

INTER-OFFICE MEMORANDUM TOWN OF WATERFORD

To: Kim Allen, Finance Director

From: Gary J. Schneider, Director of Public Works

Date: October 27, 2021

Re: Supplemental Facility Projects

The Department has identified the following items pertaining to our facilities. Items 1 are critical and funding is requested outside the budget cycle. If funds are not available, items 3 and 4 will be placed in the FY23 Capital Project request.

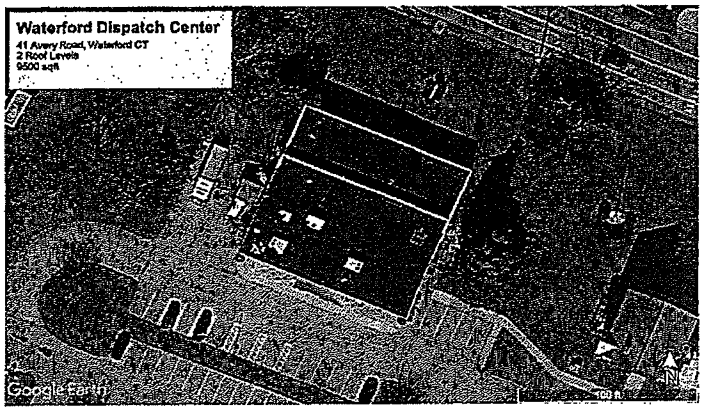
Public Safety Facility - $404,000

1. Replacement of the HVAC Unit that services Fire Services $50,000.
   The Public Safety Building has 3 zones. The roof top unit that services the front of the building is in failure mode and in need of replacement. The existing unit is barely functioning and provides cooling and outside air exchanges. Plans and specifications have been prepared. Roof top units are long lead items. Placement in the FY 23 Capital Request is not recommended. The Unit needs to be replaced before the next cooling season.
2. Replacement of the Roof $324,000
   Installed in 1995, this roof is experiencing leaks which have increased over the years. Eight areas have been identified as wet and need to be addressed. The roof is nearing its life expectancy. The estimated cost to replace the roof is estimated at $32/sf or $305,000. Engineering fees are estimated at 8% of the construction cost or another $24,000.
3. HVAC Building wide $30,000
   An evaluation of the building's HVAC system was completed for the Town in 2020. The report's findings were "due to the age and poor condition of the existing mechanical systems and equipment serving the Public Safety Complex, full system replacement is the recommended course of action". The Department is requesting funding of $30,000 to prepare the design to a 30% level at which time cost estimates would be prepared for funding of final design and construction.

Town Hall and Youth Services Bureau - $250,000

4. The Department has also identified the needs to recondition the elevators in Town Hall and Youth Services Bureau. In 2019, a review of each car was conducted. The elevators are the original cars installed in 1983. The only modification was the installation of the emergency phone in the Town Hall elevator. The project would involve installing new controllers, door operators, power units, solid state starters and minor finish work.

Location: Waterford Public Dispatch Center



GENERAL INFORMATION:

ROOF AREAS: 2 Roofing Sections
SQUARE FOOTAGE: 9400 square feet (approx.)
DATE INSTALLED: 1995 (est.)

ROOF COMPOSITION:

MEMBRANE: EPDM
INSULATION: Polyisocyanurate
DECK: Metal

Inspector Notes:

At this time the roof system overall is performing in poor to fair condition. We identified a total of (8) wet areas combined for 200square feet to be repaired. The perimeters and flashing terminations throughout the roof are becoming brittle, exposed reinforcements, and open causing leaks (some severe). There is also defective and unused equipment to be renewed or removed. Recommend immediate repairs & restoration.

RECOMMENDATIONS: Repairs & Restoration

Restoration Solutions

Alpha Guard BIO Full Reinforced Roof Restoration: Powerwash all roofing areas clean if all debris & oils. Replace all found wet insulation areas and replace in kind. Remove all perimeter edge metals and defective membrane. Reinstall new metals and fully adhere new membranes. Apply Alpha Guard BIO Base coat to all roofing areas at 3gl/sq embedding reinforcements to all roofing areas. After initial coat has cured properly, install Alpha Guard Top coat at 2gl/sq to all roof surfaces to complete. Provide a 20year QA Watertight Warranty with inspections at years 2, 5, 10, & 15. On year 20, the Tremco Field Advisor will provide warranty extension or recoat solutions to prolong the existing roof system.

ROOF CATEGORY: **Restoration and Replacement**

BUDGET ESTIMATE:

Roof Name	Roof Square Feet	Restoration	Replacement
YEAR 2022			
1	6200	$110,000	$200,000
2	3200	$54,000	$105,000

Tremco Inc. Representative: Michael Boudreau

Town of Waterford, Connecticut

Evaluation of HVAC Systems

Police Department and Public Safety Complex Buildings

41 Avery Ln,
Waterford, CT 06385

Final Report: January 10, 2020

Prepared by:

Silver/Petrucelli + Associates
Architects / Engineers / Interior Designers
3190 Whitney Avenue
Hamden, CT 06518

Waterford Public Safety Complex - Evaluation of HVAC Systems						Date:	11/26/19
204 Boston Post Road						Job No.:	18.218
Owner: Town of Waterford							

OPINION OF PROBABLE CONSTRUCTION COST
OPTION #1

9,400 APPROXIMATE TOTAL NET SQUARE FOOTAGE (Area of Work)

SECTION NUMBER	WORK CATEGORIES/DESCRIPTIONS	QTY.	UNIT	MATERIAL & LABOR COST UNIT COST	TOTAL		TOTAL $
	OTHER COSTS						
	Permit (0.5% of construction cost)	1	LS				$2,809
	Bonds (1.5% of construction cost)	1	LS				$8,383
	Insurance (0.25% of construction cost)	1	LS				$1,397
				OTHER COSTS SUBTOTAL		$12,590	
	DIVISION 2 - DEMOLITION						
	Dumpsters	3	EA	$1,000.00	$3,000.00		$3,000
				DIVISION 2 SUBTOTAL		$3,000	
	DIVISION 23 - HVAC/MECHANICAL						
	Demolition	1	LS		$35,250.00		$35,250
	Rooftop Units	1	LS		$143,400.00		$143,400
	Air Handling Unit with DX, Hot Water Duct Coil, VFD	1	LS		$36,400.00		$36,400
	Refrigerant Piping	1	LS		$4,700.00		$4,700
	Ductwork, Duct Insulation & Duct Accessories	1	LS		$15,000.00		$15,000
	VAV Terminal Units	1	LS		$38,058.75		$38,059
	Exhaust Fans	1	LS		$9,400.00		$9,400
	Underground Fuel Oil Storage Tank	1	LS		$60,760.00		$60,760
	Fuel Oil Piping - Interior & Exterior	1	LS		$9,000.00		$9,000
	Electronic Guaging & Leak Detection	1	LS		$9,500.00		$9,500
	Boilers	1	LS		$42,000.00		$42,000
	Hot Water System Pumps	1	LS		$23,625.00		$23,625
	Hot Water Piping, Pipe Insulation & Pipe Accessories	1	LS		$8,500.00		$8,500
	Hot Water Fintube Radiation	1	LS		$28,500.00		$28,500
	Controls	1	LS		$47,000.00		$47,000
	Duct Cleaning	1	LS		$1,600.00		$1,600
	Testing and Balancing	1	LS		$10,000.00		$10,000
	Crane Service	1	LS	$5,000.00	$5,000.00		$5,000
				DIVISION 23 SUBTOTAL		########	
	DIVISION 26 - ELECTRICAL						
	Demolition	1	LS		$2,000.00		$2,000
	Electrical wiring, conduits, connections, etc	1	LS		$12,000.00		$12,000
				DIVISION 26 SUBTOTAL		$14,000	
	DIVISION 31						
	Earth Moving	1	LS		$16,000.00		$16,000
				DIVISION 31 SUBTOTAL		$16,000	
	DIVISION 32						
	Turf & Grass	1	LS		$1,205.00		$1,205
				DIVISION 32 SUBTOTAL		$1,205	
				SUBTOTAL =			$574,489
				CONTINGENCY		10.00%	$57,449
				GEN. CONDITIONS		5.00%	$28,724
				OVERHEAD		10.00%	$57,449
				PROFIT		10.00%	$57,449
				CONSTRUCTION TOTAL			$775,560

SILVER/PETRUCELLI + ASSOCIATES
Architects / Engineers / Interior Designers
3190 Whitney Avenue, Hamden, CT 06518
Tel: 203 230 9007 Fax: 203 230 8247
www.silverpetrucelli.com

22 a.

FIFTEEN ROPE FERRY ROAD
WATERFORD, CT 06385-2886

PHONE: 860-442-0553
www.waterfordct.org

Date: November 9, 2021

To: The Members of the Board of Finance

From: The Town Accountant

Subject: Periodic Financial Statements

Enclosed are the latest financial statements.
If you have any questions, please call.

Thank you,

Virginia Bielucki

Enclosures:

General Fund-Statement of Revenues and Statement of Expenditures

Fleet Management-Statement of Revenues and Expenditures

Capital and Non-recurring Fund-Statement of Fund Balance Designations and Appropriations

Capital Improvement Fund-Expenditures

Capital Projects Funds-Expenditures

Contributed Gifts Fund-Balances

Insurance Administration Fund- Balance Sheet

TOWN OF WATERFORD
STATEMENT OF REVENUES COMPARED TO ANTICIPATED
FOR FISCAL YEAR 2021-2022, THROUGH OCTOBER 31, 2021
WITH COMPARATIVE ACTUAL AMOUNTS
FOR FISCAL YEAR 2020-2021, THROUGH OCTOBER 31, 2020

	FISCAL YEAR 2021-2022 BUDGET	FISCAL YEAR 2021-2022 ACTUAL	FAVORABLE (UNFAVORABLE) FISCAL YEAR 2021-2022 VARIANCE	FISCAL YEAR 2020-2021 ACTUAL
STATE OF CONNECTICUT				
EDUCATION				
EQUALIZED COST SHARING	$326,444	$81,611	(244,833)	
HEALTH & WELFARE	$6,000	$0	(6,000)	
SUB TOTAL	**332,444**	**81,611**	**(250,833)**	**0**
GENERAL GOVERNMENT				
PILOT-STATE-OWNED PROPERTY	143,075	0	(143,075)	143,075
PILOT-DISABLED	1,873	0	(1,873)	0
PILOT-PRIVATE TAX EXEMPT PROPERTY	109,838	0	(109,838)	109,838
TIERED PILOT	0	235,221	235,221	0
TAX RELIEF-VETERANS	8,148	0	(8,148)	0
COURT FINES	5,000	0	(5,000)	1,405
CIVIL PREPAREDNESS	2,500	40,686	38,186	0
TELECOMMUNICATIONS PROPERTY TAX	58,656	0	(58,656)	0
TOWN AID ROADS-IMPROVED	317,277	158,216	(159,061)	158,638
LOCAL CAPITAL IMPROVEMENT (LOCIP)	0	117,757	117,757	0
SDE STATE GRANT	14,000	3,547	(10,454)	7,095
ENHANCEMENT 911	21,996	12,386	(9,610)	11,074
MISCELLANEOUS STATE REVENUE	0	0	0	0
MUNICIPAL REVENUE SHARE GRANT	0	83,589	83,589	0
GRANTS FOR MUNICIPAL PROJECTS	34,255	0	(34,255)	0
TOTAL GENERAL GOVERNMENT	**716,618**	**651,402**	**(65,216)**	**431,125**
TOTAL STATE OF CONNECTICUT	**1,049,062**	**733,013**	**(316,049)**	**431,125**
OTHER SOURCES				
EDUCATION				
TUITION	195,680	442	(195,238)	700
RENT & MISCELLANEOUS	5,910	1,504	(4,406)	0
SUB TOTAL	**201,590**	**1,946**	**(199,644)**	**700**

TOWN OF WATERFORD
STATEMENT OF REVENUES COMPARED TO ANTICIPATED
FOR FISCAL YEAR 2021-2022, THROUGH OCTOBER 31, 2021
WITH COMPARATIVE ACTUAL AMOUNTS
FOR FISCAL YEAR 2020-2021, THROUGH OCTOBER 31, 2020

	FISCAL YEAR 2021-2022 BUDGET	FISCAL YEAR 2021-2022 ACTUAL	FAVORABLE (UNFAVORABLE) FISCAL YEAR 2021-2022 VARIANCE	FISCAL YEAR 2020-2021 ACTUAL
GENERAL GOVERNMENT				
INTEREST & LIENS	407,280	107,686	(299,594)	102,902
INTEREST ON INVESTMENTS	120,000	31,855	(88,145)	37,801
RECREATION & PARKS	220,000	139,153	(80,847)	46,246
COMMUNITY USE OF SCHOOLS	0	100	100	0
BUILDING INSPECTOR	357,237	206,099	(151,138)	202,273
LICENSE, FEE, PERMIT, FINE	56,727	11,731	(44,996)	13,127
LIBRARY	16,810	0	(16,810)	0
WATER MAIN ASSESSMENTS	1,200	278	(922)	250
SALE OF EQUIPMENT	0	0	0	849
SCRRRA REBATE	0	0	0	7,814
NL RADIO COMM. NETWORK USE FEE	81,000	0	(81,000)	
ALARM PENALTIES	0	50	50	100
BULKY WASTE FEES	72,851	45,115	(27,736)	40,846
MISCELLANEOUS	69,312	25,251	(44,061)	72,470
CONVEYANCE TAX	200,000	173,785	(26,215)	150,869
EMS-REG COMM CTR FEES	6,000	1,500	(4,500)	0
SEWER ASSESSMENTS	0	370	370	13
PLANNING& ZONING, ZBA, CONSRV COMM	40,062	19,104	(20,958)	41,058
TOWN CLERK FEES	200,000	85,128	(114,872)	84,052
LIENS -COLLECTED BY UTILITY COMMISSION	10,000	0	(10,000)	0
TIPPING FEES	319,083	56,239	(262,844)	78,254
RECYCLING	35,562	17,354	(18,208)	0
COST SHARING PRR	0	83,722	83,722	0
TRANSFERS FROM OTHER FUNDS	0.00	5,814	5,814	0
TRANSFERS IN-PY ENCUMBRANCES	1,000	650	(350)	0
EUGENE O'NEILL GATE/LEASE REVENUE	10,000	0	(10,000)	0
AMBULANCE OPERATING SUBSIDY	12,000	4,000	(8,000)	4,000
YSB BOE CLERICAL STIPEND	5,000	5,000	0	0
RENTAL OF BUILDINGS	105,950	41,344	(64,606)	87,212
SENIOR SERVICES	15,820	21,434	5,614	0
VERSA KART/BLUE BOXES	5,370	4,630	(740)	2,900
BOE HUMAN RESOURCES OFFSET	15,119	0	(15,119)	0
CIRMA MEMEBERS EQUITY DISTRIBUTION	0	96,057	96,057	37,305
SUB TOTAL	**2,383,383**	**1,183,451**	**(1,199,932)**	**1,010,341**
TOTAL OTHER SOURCES	**2,584,973**	**1,185,397**	**(1,399,576)**	**1,011,041**
PROPERTY TAXATION				
CURRENT PROPERTY TAX	92,787,059	69,551,639	(23,235,420)	67,976,209
PRIOR YEAR TAXES	584,450	(48,639)	(633,089)	122,498
TOTAL PROPERTY TAXATION	**93,371,509**	**69,503,000**	**(23,868,509)**	**68,098,707**
TOTAL REVENUES	**97,005,544**	**71,421,409**	**(25,584,135)**	**69,540,873**

TOWN OF WATERFORD
STATEMENT OF REVENUES COMPARED TO ANTICIPATED
FOR FISCAL YEAR 2021-2022, THROUGH OCTOBER 31, 2021
WITH COMPARATIVE ACTUAL AMOUNTS
FOR FISCAL YEAR 2020-2021, THROUGH OCTOBER 31, 2020

	FISCAL YEAR 2021-2022 BUDGET	FISCAL YEAR 2021-2022 ACTUAL	FISCAL YEAR 2021-2022 PERCENT RECEIVED	FAVORABLE (UNFAVORABLE) FISCAL YEAR 2021-2022 VARIANCE	FISCAL YEAR 2020-2021 ACTUAL
STATE OF CONNECTICUT					
EDUCATION					
EQUALIZED COST SHARING	$326,444	$81,611	25.00%	(244,833)	
HEALTH & WELFARE	$6,000	$0	0.00%	(6,000)	
SUB TOTAL	**332,444**	**81,611**	**24.55%**	**(250,833)**	**0**
GENERAL GOVERNMENT					
PILOT-STATE-OWNED PROPERTY	143,075	0	0.00%	(143,075)	143,075
PILOT-DISABLED	1,873	0	0.00%	(1,873)	0
PILOT-PRIVATE TAX EXEMPT PROPERTY	109,838	0	0.00%	(109,838)	109,838
TIERED PILOT	0	235,221	#DIV/0!	235,221	0
TAX RELIEF-VETERANS	8,148	0	0.00%	(8,148)	0
COURT FINES	5,000	0	0.00%	(5,000)	1,405
CIVIL PREPAREDNESS	2,500	40,686	1627.42%	38,186	0
TELECOMMUNICATIONS PROPERTY TAX	58,656	0	0.00%	(58,656)	0
TOWN AID ROADS-IMPROVED	317,277	158,216	49.87%	(159,061)	158,638
LOCAL CAPITAL IMPROVEMENT (LOCIP)	0	117,757	#DIV/0!	117,757	0
SDE STATE GRANT	14,000	3,547	25.33%	(10,454)	7,095
ENHANCEMENT 911	21,996	12,386	56.31%	(9,610)	11,074
MISCELLANEOUS STATE REVENUE	0	0	#DIV/0!	0	0
MUNICIPAL REVENUE SHARE GRANT	0	83,589	#DIV/0!	83,589	0
GRANTS FOR MUNICIPAL PROJECTS	34,255	0	0.00%	(34,255)	0
TOTAL GENERAL GOVERNMENT	**716,618**	**651,402**	**90.90%**	**(65,216)**	**431,125**
TOTAL STATE OF CONNECTICUT	**1,049,062**	**733,013**	**69.87%**	**(316,049)**	**431,125**
OTHER SOURCES					
EDUCATION					
TUITION	195,680	442	0.23%	(195,238)	700
RENT & MISCELLANEOUS	5,910	1,504	25.45%	(4,406)	0
SUB TOTAL	**201,590**	**1,946**	**0.97%**	**(199,644)**	**700**

TOWN OF WATERFORD
STATEMENT OF REVENUES COMPARED TO ANTICIPATED
FOR FISCAL YEAR 2021-2022, THROUGH OCTOBER 31, 2021
WITH COMPARATIVE ACTUAL AMOUNTS
FOR FISCAL YEAR 2020-2021, THROUGH OCTOBER 31, 2020

	FISCAL YEAR 2021-2022 BUDGET	FISCAL YEAR 2021-2022 ACTUAL	FISCAL YEAR 2021-2022 PERCENT RECEIVED	FAVORABLE (UNFAVORABLE) FISCAL YEAR 2021-2022 VARIANCE	FISCAL YEAR 2020-2021 ACTUAL
GENERAL GOVERNMENT					
INTEREST & LIENS	407,280	107,686	26.44%	(299,594)	102,902
INTEREST ON INVESTMENTS	120,000	31,855	26.55%	(88,145)	37,801
RECREATION & PARKS	220,000	139,153	63.25%	(80,847)	46,246
COMMUNITY USE OF SCHOOLS	0	100	#DIV/0!	100	0
BUILDING INSPECTOR	357,237	206,099	57.69%	(151,138)	202,273
LICENSE, FEE, PERMIT, FINE	56,727	11,731	20.68%	(44,996)	13,127
LIBRARY	16,810	0	0.00%	(16,810)	0
WATER MAIN ASSESSMENTS	1,200	278	23.18%	(922)	250
SALE OF EQUIPMENT	0	0	#DIV/0!	0	849
SCRRRA REBATE	0	0	#DIV/0!	0	7,814
NL RADIO COMM. NETWORK USE FEE	81,000	0	0.00%	(81,000)	
ALARM PENALTIES	0	50	#DIV/0!	50	100
BULKY WASTE FEES	72,851	45,115	61.93%	(27,736)	40,846
MISCELLANEOUS	69,312	25,251	36.43%	(44,061)	72,470
CONVEYANCE TAX	200,000	173,785	86.89%	(26,215)	150,869
EMS-REG COMM CTR FEES	6,000	1,500	25.00%	(4,500)	0
SEWER ASSESSMENTS	0	370	#DIV/0!	370	13
PLANNING& ZONING, ZBA, CONSRV COMM	40,062	19,104	47.69%	(20,958)	41,058
TOWN CLERK FEES	200,000	85,128	42.56%	(114,872)	84,052
LIENS -COLLECTED BY UTILITY COMMISSION	10,000	0	0.00%	(10,000)	0
TIPPING FEES	319,083	56,239	17.63%	(262,844)	78,254
RECYCLING	35,562	17,354	48.80%	(18,208)	0
COST SHARING PRR	0	83,722	#DIV/0!	83,722	0
TRANSFERS FROM OTHER FUNDS	0.00	5,814	#DIV/0!	5,814	0
TRANSFERS IN-PY ENCUMBRANCES	1,000	650	65.00%	(350)	0
EUGENE O'NEILL GATE/LEASE REVENUE	10,000	0	0.00%	(10,000)	0
AMBULANCE OPERATING SUBSIDY	12,000	4,000	33.33%	(8,000)	4,000
YSB BOE CLERICAL STIPEND	5,000	5,000	100.00%	0	0
RENTAL OF BUILDINGS	105,950	41,344	39.02%	(64,606)	87,212
SENIOR SERVICES	15,820	21,434	135.49%	5,614	0
VERSA KART/BLUE BOXES	5,370	4,630	86.22%	(740)	2,900
BOE HUMAN RESOURCES OFFSET	15,119	0	0.00%	(15,119)	0
CIRMA MEMEBERS EQUITY DISTRIBUTION	0	96,057	#DIV/0!	96,057	37,305
SUB TOTAL	**2,383,383**	**1,183,451**	**49.65%**	**(1,199,932)**	**1,010,341**
TOTAL OTHER SOURCES	**2,584,973**	**1,185,397**	**45.86%**	**(1,399,576)**	**1,011,041**
PROPERTY TAXATION					
CURRENT PROPERTY TAX	92,787,059	69,551,639	74.96%	(23,235,420)	67,976,209
PRIOR YEAR TAXES	584,450	(48,639)	-8.32%	(633,089)	122,498
TOTAL PROPERTY TAXATION	**93,371,509**	**69,503,000**	**74.44%**	**(23,868,509)**	**68,098,707**
TOTAL REVENUES	**97,005,544**	**71,421,409**	**73.63%**	**(25,584,135)**	**69,540,873**

GENERAL FUND
STATEMENT OF EXPENDITURES COMPARED TO BUDGET
FOR FISCAL YEAR 2021-2022, THROUGH OCTOBER 31, 2021
WITH COMPARATIVE ACTUAL AMOUNTS
FOR FISCAL YEAR 2020-2021, THROUGH OCTOBER 31, 2020

	FISCAL YEAR2022 APPROPRIATED	FISCAL YEAR2022 ACTUAL	FISCAL YEAR2022 VARIANCE FAVORABLE (UNFAVORABLE)	FISCAL YEAR 2020-2021 ACTUAL
GENERAL GOVERNMENT				
Board of Selectmen	$229,313	$88,185	141,128	$55,061
Registrar of Voters	$74,508	$20,955	53,553	$32,899
Board of Finance	$65,547	$59,655	5,892	$45,308
Assessor	$291,847	$91,865	199,982	$89,738
Board of Assessment Appeals	$1,620	$222	1,398	$243
Tax Collector	$211,907	$83,528	128,379	$80,297
Finance Department	$653,894	$308,745	345,149	$214,460
Legal Department	$298,000	$69,790	228,210	$56,405
Town Clerk	$269,750	$102,527	167,223	$106,503
Planning and Zoning	$634,914	$198,664	436,250	$214,003
Building Maintenance	$778,870	$274,516	504,354	$77,004
Insurance	$4,795,176	$1,385,921	3,409,255	$880,424
Economic Development Commission	$10,076	$7,268	2,808	$7,044
Conservation Commission	$18,250	$13,121	5,129	$12,621
Zoning Board of Appeals	$4,310	4,342	(32)	1,117
Retirement Commission	$5,682,906	$2,404,944	3,277,962	$3,082,235
R.T.M.	$18,903	$13,626	5,277	$7,282
Building Department	$293,008	$73,881	219,127	$67,016
Youth Service Bureau	$232,634	$98,917	133,717	$77,993
Social Service Grants/Miscellaneous	$82,366	$75,424	6,942	$70,631
Contingency Fund	$153,038	0	153,038	0
Emergency Management	$1,062,665	$291,950	770,715	$329,203
Fire Services	$3,326,034	$1,622,561	1,703,473	$1,247,124
Police Department	$6,361,688	$1,950,799	4,410,889	$2,145,852
Public Works Department	$4,709,654	$2,264,988	2,444,666	$2,141,193
Conservation of Health	$142,282	142,282	0	0
Public Health Nursing	$27,820	7,917	19,903	0
Senior Citizens Commission	$491,489	$163,674	327,815	$137,437
Waterford Public Library	$999,475	$342,744	656,731	$340,671

GENERAL FUND
STATEMENT OF EXPENDITURES COMPARED TO BUDGET
FOR FISCAL YEAR 2021-2022, THROUGH OCTOBER 31, 2021
WITH COMPARATIVE ACTUAL AMOUNTS
FOR FISCAL YEAR 2020-2021, THROUGH OCTOBER 31, 2020

	FISCAL YEAR2022 APPROPRIATED	FISCAL YEAR2022 ACTUAL	FISCAL YEAR2022 VARIANCE FAVORABLE (UNFAVORABLE)	FISCAL YEAR 2020-2021 ACTUAL
Recreation and Parks	$1,445,409	$558,538	886,871	$458,653
Flood and Erosion Control Bd.	$2,138	272	1,866	0
Ethics Commission	$850	49	801	77
Human Resources	$265,664	$106,471	159,193	$80,684
Community Use of Schools	$0	$0	0	$0
Information Technology	$857,331	$634,219	223,112	$624,007
Transfer to Waterford Week Activity Fund	$4,750	$4,750	0	$4,750
Transfer to Waterford Shellfish Fund	$2,000	$2,000	0	$1,664
Transfer to Capital Improvement Fund	$2,964,754	$2,964,754	0	$2,228,530
Transfer to Capital & Non-Recurring Fund	$900,600	$900,600	0	$1,401,280
Transfer to Dog Fund	$60,000	$60,000	0	$30,000
Debt Service	$7,934,633	$6,121,316	1,813,317	$5,766,251
Total General Government	***$46,360,073***	***$23,515,980***	***$22,844,093***	***$22,115,660***
Board of Education	***$50,645,471***	***$10,792,758***	**39,852,713**	***$10,995,765***
Total General Fund	***$97,005,544***	***$34,308,738***	***$62,696,806***	***$33,111,425***

GENERAL FUND
STATEMENT OF EXPENDITURES COMPARED TO BUDGET
FOR FISCAL YEAR 2021-2022, THROUGH OCTOBER 31, 2021
WITH COMPARATIVE ACTUAL AMOUNTS
FOR FISCAL YEAR 2020-2021, THROUGH OCTOBER 31, 2020

	FISCAL YEAR2022 APPROPRIATED	FISCAL YEAR2022 ACTUAL	FISCAL YEAR2022 PERCENT EXPENDED	FISCAL YEAR2022 VARIANCE FAVORABLE (UNFAVORABLE)	FISCAL YEAR 2020-2021 ACTUAL
GENERAL GOVERNMENT					
Board of Selectmen	$229,313	$88,185	38.46%	141,128	$55,061
Registrar of Voters	$74,508	$20,955	28.12%	53,553	$32,899
Board of Finance	$65,547	$59,655	91.01%	5,892	$45,308
Assessor	$291,847	$91,865	31.48%	199,982	$89,738
Board of Assessment Appeals	$1,620	$222	13.67%	1,398	$243
Tax Collector	$211,907	$83,528	39.42%	128,379	$80,297
Finance Department	$653,894	$308,745	47.22%	345,149	$214,460
Legal Department	$298,000	$69,790	23.42%	228,210	$56,405
Town Clerk	$269,750	$102,527	38.01%	167,223	$106,503
Planning and Zoning	$634,914	$198,664	31.29%	436,250	$214,003
Building Maintenance	$778,870	$274,516	35.25%	504,354	$77,004
Insurance	$4,795,176	$1,385,921	28.90%	3,409,255	$880,424
Economic Development Commission	$10,076	$7,268	72.13%	2,808	$7,044
Conservation Commission	$18,250	$13,121	71.90%	5,129	$12,621
Zoning Board of Appeals	$4,310	4,342	100.74%	(32)	1,117
Retirement Commission	$5,682,906	$2,404,944	42.32%	3,277,962	$3,082,235
R.T.M.	$18,903	$13,626	72.08%	5,277	$7,282
Building Department	$293,008	$73,881	25.21%	219,127	$67,016
Youth Service Bureau	$232,634	$98,917	42.52%	133,717	$77,993
Social Service Grants/Miscellaneous	$82,366	$75,424	91.57%	6,942	$70,631
Contingency Fund	$153,038	0	0.00%	153,038	0
Emergency Management	$1,062,665	$291,950	27.47%	770,715	$329,203
Fire Services	$3,326,034	$1,622,561	48.78%	1,703,473	$1,247,124
Police Department	$6,361,688	$1,950,799	30.66%	4,410,889	$2,145,852
Public Works Department	$4,709,654	$2,264,988	48.09%	2,444,666	$2,141,193
Conservation of Health	$142,282	142,282	100.00%	0	0
Public Health Nursing	$27,820	7,917	28.46%	19,903	0
Senior Citizens Commission	$491,489	$163,674	33.30%	327,815	$137,437
Waterford Public Library	$999,475	$342,744	34.29%	656,731	$340,671

GENERAL FUND
STATEMENT OF EXPENDITURES COMPARED TO BUDGET
FOR FISCAL YEAR 2021-2022, THROUGH OCTOBER 31, 2021
WITH COMPARATIVE ACTUAL AMOUNTS
FOR FISCAL YEAR 2020-2021, THROUGH OCTOBER 31, 2020

	FISCAL YEAR2022 APPROPRIATED	FISCAL YEAR2022 ACTUAL	FISCAL YEAR2022 PERCENT EXPENDED	FISCAL YEAR2022 VARIANCE FAVORABLE (UNFAVORABLE)	FISCAL YEAR 2020-2021 ACTUAL
Recreation and Parks	$1,445,409	$558,538	38.64%	886,871	$458,653
Flood and Erosion Control Bd.	$2,138	272	12.71%	1,866	0
Ethics Commission	$850	49	5.80%	801	77
Human Resources	$265,664	$106,471	40.08%	159,193	$80,684
Community Use of Schools	$0	$0	0.00%	0	$0
Information Technology	$857,331	$634,219	73.98%	223,112	$624,007
Transfer to Waterford Week Activity Fund	$4,750	$4,750	100.00%	0	$4,750
Transfer to Waterford Shellfish Fund	$2,000	$2,000	100.00%	0	$1,664
Transfer to Capital Improvement Fund	$2,964,754	$2,964,754	100.00%	0	$2,228,530
Transfer to Capital & Non-Recurring Fund	$900,600	$900,600	100.00%	0	$1,401,280
Transfer to Dog Fund	$60,000	$60,000	100.00%	0	$30,000
Debt Service	$7,934,633	$6,121,316	77.15%	1,813,317	$5,766,251
Total General Government	***$46,360,073***	***$23,515,980***	**50.72%**	***$22,844,093***	***$22,115,660***
Board of Education	***$50,645,471***	***$10,792,758***	**21.31%**	**39,852,713**	***$10,995,765***
Total General Fund	***$97,005,544***	***$34,308,738***	**35.37%**	***$62,696,806***	***$33,111,425***

TOWN OF WATERFORD
STATEMENT OF REVENUES, EXPENDITURES,
AND CHANGES IN FUND BALANCE
FLEET MANAGEMENT FUND
AS OF OCTOBER 31, 2021

Revenues:	
Investment Income	1,035
Vehicle Rentals	65,950
Sale of Equipment	5,030
Total Revenues	72,015
Expenditures:	
Equipment Replacement	273,197
Vehicle Replacement	593,698
Total Expenditures	866,895
Excess (Deficiency) of Revenues Over Expenditures	(794,880)
Other Financing Sources (Uses):	
Transfers from other funds	800,000
Total Other Financing Sources (Uses)	800,000
Net Change in Fund Balances	5,120
Fund Balances - Beginning	2,650,669
Fund Balances - Ending	2,655,789

TOWN OF WATERFORD
CAPITAL AND NON-RECURRING EXPENDITURE FUND
FUND BALANCE AND APPROPRIATION
AS OF OCTOBER 31, 2021

		APPROPRIATED	DESIGNATED	UNDESIGNATED	TOTAL
20501-57639	REVALUATION	$255,989.43	$257,700.00	$0.00	**$513,689.43**
20501-57788	COMMUNITY CENTER HVAC CONTROL SEPARATION	$0.00	$59,650.00	$0.00	**$59,650.00**
20507-57776	FIBER INSTALL MUNICPAL COMPLEX	$14,808.85	$0.00	$0.00	**$14,808.85**
20507-57790	WIFI TOWN-WIDE WIRING	$0.00	$25,000.00	$0.00	**$25,000.00**
20510-57830	THAMES RIVER MARINA DOCK SYSTEM	$227.75	$0.00	$0.00	**$227.75**
20510-57864	CRYSTAL MALL REDEVELOPMENT FEASIBLITY STUDY	$250,000.00	$0.00	$0.00	**$250,000.00**
20511-57767	NEVINS COTTAGE STRUCTURAL REPAIRS	$20,715.00	$100,000.00	$0.00	**$120,715.00**
20511-57805	YSB FLOORING	$45,611.44	$0.00	$0.00	**$45,611.44**
20511-57839	TOWN HALL EMERGENCY EGRESS	$44,000.00	$0.00	$0.00	**$44,000.00**
20511-57840	PLAN OF CONSERVATION DEVELOPMENT	$100,000.00	$0.00	$0.00	**$100,000.00**
20511-57856	JORDAN VILLAGE SIDEWALKS	$404,000.00	$0.00	$0.00	**$404,000.00**
20511-57857	CIVIC TRIANGLE UPGRADES	$17,820.00	$0.00	$0.00	**$17,820.00**
20511-57866	TOWN HALL FRONT DOOR	$34,430.00	$0.00	$0.00	**$34,430.00**
20500-48070	DONATION-EUGENE O'NEILL ROOF REPLACEMENT	$0.00	$0.00	$25,000.00	**$25,000.00**
20522-57794	MOBILE & PORTABLE RADIO REPLACEMENT PROGRAM	$0.00	$740,165.00	$0.00	**$740,165.00**
20523-57733	OSWEGATCHIE FIRE BUILDING IMPROVEMENTS	$500.00	$1,000,000.00	$0.00	**$1,000,500.00**
20523-57792	OSWEGATCHIE-BUILDING RENOVATIONS	$213,700.00	$1,990,000.00	$0.00	**$2,203,700.00**
20507-59205	FUNDING OFFSETS FY18 DEBT SERVICE-OSWEGATCHIE FIRE	$0.00	($3,000,000.00)	$0.00	**($3,000,000.00)**
20523-57751	ELECTRICAL UPGRADE-JORDAN	$40,175.25	$0.00	$0.00	**$40,175.25**
20523-57791	JORDAN-TRAFFIC LIGHT UPGRADE	$0.00	$25,000.00	$0.00	**$25,000.00**
20523-57826	FIRE SERVICES HYDRAULIC EQUIPMENT	$0.00	$200,000.00	$0.00	**$200,000.00**
20523-57836	FIRE SERVICES CARPET REPLACEMENT	$0.00	$30,000.00	$0.00	**$30,000.00**
20523-57838	FIRE SERVICES PRE EMPTION LIGHT REPAIRS	$0.00	$50,000.00	$0.00	**$50,000.00**
20530-57695	MUNICIPAL COMPLEX RENOVATION	$328,463.33	$6,100,833.00	$0.00	**$6,429,296.33**
20507-59205	FUNDING OFFSETS DEBT SERVICE-MUNICIPAL COMPLEX	($1,251,500.00)	($6,000,000.00)	$0.00	**($7,251,500.00)**
20530-57696	MUNICIPAL COMPLEX CLEAN UP	$2,592.07	$0.00	$0.00	**$2,592.07**
20530-57815	REDESIGN/RECONSTRUCT GARDINERS WOOD ROAD	$83,447.00	$0.00	$0.00	**$83,447.00**
20530-57832	LED STREETLIGHT CONVERSION	$27,131.80	$0.00	$0.00	**$27,131.80**
20530-57855	BRAMAN ROAD REDESIGN/RECONSTR	$46,451.68	$0.00	$0.00	**$46,451.68**
20531-55771	HARVEY AVENUE PUMP STATION REHAB	$19,422.12	$0.00	$0.00	**$19,422.12**
20531-57685	I/I MITIGATION & CONTROL	$310,985.86	$0.00	$0.00	**$310,985.86**
20531-57766	WASTEWATER SCADA SYSTEM UPGRADE	$0.04	$0.00	$0.00	**$0.04**
20531-57802	FORCE MAIN AIR RELEASE VALVES-EVALUATE & REPLACE	$0.00	$43,000.00	$0.00	**$43,000.00**
20531-57816	OLD NORWICH/EVERGREEN/HARVEY AVE PUMP STATION REHAB	$5,438.00	$544,000.00	$0.00	**$549,438.00**
20531-57817	WASTEWATER PS FLOOD PROTECTION	$30.10	$85,000.00	$0.00	**$85,030.10**
20536-57848	LIBRARY HVAC UPGRADE	$0.00	$545,600.00	$0.00	**$545,600.00**
20537-57735	LEARY PARK ROAD /PARKING LOT	$0.00	$20,000.00	$0.00	**$20,000.00**
20537-57781	WATERFORD BEACH PAVILION RESTROOM	$740.19	$0.00	$0.00	**$740.19**
20537-57795	WBP CAUSEWAY BRIDGE	$0.00	$25,000.00	$0.00	**$25,000.00**
20537-57824	WBP CAUSEWAY BRIDGE FABRICATION	$0.00	$61,700.00	$0.00	**$61,700.00**
20537-57854	WTFD BEACH PARK IMPROVEMENTS	$0.00	$30,000.00	$0.00	**$30,000.00**

TOWN OF WATERFORD
CAPITAL AND NON-RECURRING EXPENDITURE FUND
FUND BALANCE AND APPROPRIATION
AS OF OCTOBER 31, 2021

		APPROPRIATED	DESIGNATED	UNDESIGNATED	TOTAL
20541-57328	ALEWIFE COVE DREDGING	$0.00	$37,500.00	$0.00	$37,500.00
20547-57747	UPS SYSTEM FOR COMMUNICATIONS	$0.00	$12,500.00	$0.00	$12,500.00
20547-57846	FIBER UPGRADE	$0.00	$28,000.00	$0.00	$28,000.00
20547-57847	TOWN-WIDE CAMERA SYSTEM	$0.00	$85,000.00	$0.00	$85,000.00
20547-57860	PHONE SYSTEM UPGRADE	$0.00	$16,000.00	$0.00	$16,000.00
20547-57861	SWITCHES	$0.00	$22,500.00	$0.00	$22,500.00
20500-43600	TURF FIELD RENTAL REVENUES	$0.00	$4,815.00	$0.00	$4,815.00
20560-57822	IT LEARNING BOARDS -END OF LIFE	$4,590.29	$291,888.88	$0.00	$296,479.17
20560-57823	IT SECURITY DVR CAMERAS	$3.46	$0.00	$0.00	$3.46
20560-57828	QH 10-YR RETRO COMMISSIONING	$0.00	$30,000.00	$0.00	$30,000.00
20560-57833	TENNIS COURTS	$0.00	$52,300.00	$0.00	$52,300.00
20560-57841	BUS LOT OFFICE	$0.00	$150,000.00	$0.00	$150,000.00
20560-57842	SCHOOL SECURITY	$32,175.00	$0.00	$0.00	$32,175.00
205-31520	UNDESIGNATED FUND BALANCE	$0.00	$0.00	$2,418,864.52	$2,418,864.52
	TOTAL	$1,051,948.66	$3,663,151.88	$2,997,830.52	$7,712,931.06

TOWN OF WATERFORD
CAPITAL AND NON-RECURRING EXPENDITURE FUND
FUND BALANCE DESIGNATION AND APPROPRIATION
JULY 1,2021 TO JUNE 30,2022
AS OF OCTOBER 31,2021

		BEGINNING BALANCE			FY22 RTM XFER IN	FISCAL YEAR 2021-2022						AVAILABLE TO DATE		
		APPROPRIATIONS	DESIGNATED	UNDESIGNATED	DESIGNATED	APPROPRIATED	DESIGNATED	UNDESIGNATED	EXPENDED	INTEREST INC	OTHER REVENUES	APPROPRIATED	DESIGNATED	UNDESIGNATED
20501-57639	REVALUATION	$255,989.43	$257,700.00	$0.00								$255,989.43	$257,700.00	$0.00
20501-57788	COMMUNITY CENTER HVAC CONTROL SEPARATION	$0.00	$59,650.00	$0.00								$0.00	$59,650.00	$0.00
20507-57776	FIBER INSTALL MUNICPAL COMPLEX	$14,808.85	$0.00	$0.00								$14,808.85	$0.00	$0.00
20507-57790	WIFI TOWN-WIDE WIRING	$0.00	$25,000.00	$0.00								$0.00	$25,000.00	$0.00
20510-57830	THAMES RIVER MARINA DOCK SYSTEM	$227.75	$0.00	$0.00								$227.75	$0.00	$0.00
20502-48720	THAMES RIVER MARINA GRANT	$0.00	$0.00	$0.00							$249,966.00	$0.00	$0.00	$249,966.00
20510-57864	CRYSTAL MALL REDEVELOPMENT FEASIBLITY STUDY	$250,000.00	$0.00	$0.00								$250,000.00	$0.00	$0.00
20511-57767	NEVINS COTTAGE STRUCTURAL REPAIRS	$20,715.00	$0.00	$0.00	$100,000.00							$20,715.00	$100,000.00	$0.00
20511-57805	YSB FLOORING	$45,611.44	$0.00	$0.00								$45,611.44	$0.00	$0.00
20511-57839	TOWN HALL EMERGENCY EGRESS	$0.00	$46,000.00	$0.00		$46,000.00	($46,000.00)		$2,000.00			$44,000.00	$0.00	$0.00
20511-57840	PLAN OF CONSERVATION DEVELOPMENT	$100,000.00	$0.00	$0.00								$100,000.00	$0.00	$0.00
20511-57856	JORDAN VILLAGE SIDEWALKS	$0.00	$100,000.00	$0.00		$404,000.00	($100,000.00)					$404,000.00	$0.00	$0.00
20511-57857	CIVIC TRIANGLE UPGRADES	$50,000.00	$0.00	$0.00					$32,180.00			$17,820.00	$0.00	$0.00
20511-57859	EUGENE O'NEILL ROOF REPLACEMENT	$25,767.64	$0.00	$0.00					$25,767.64			$0.00	$0.00	$0.00
20511-57866	TOWN HALL FRONT DOOR	$0.00	$0.00	$0.00		$35,000.00			$570.00			$34,430.00	$0.00	$0.00
20500-48070	DONATION-EUGENE O'NEILL ROOF REPLACEMENT	$0.00	$0.00	$25,000.00								$0.00	$0.00	$25,000.00
20522-57794	MOBILE & PORTABLE RADIO REPLACEMENT PROGRAM	$0.00	$740,165.00	$0.00								$0.00	$740,165.00	$0.00
20522-57865	MED RADIO BASE STATIONS REPLACEMENT	$20,012.19	$0.00	$0.00					$20,012.19			$0.00	$0.00	$0.00
20523-57733	OSWEGATCHIE FIRE BUILDING IMPROVEMENTS	$500.00	$1,000,000.00	$0.00								$500.00	$1,000,000.00	$0.00
20507-59205	FUNDING OFFSETS FY18 DEBT SERVICE-OSWEGATCHIE FIRE	$0.00	($3,000,000.00)	$0.00								$0.00	($3,000,000.00)	$0.00
20523-57751	ELECTRICAL UPGRADE-JORDAN	$40,175.25	$0.00	$0.00								$40,175.25	$0.00	$0.00
20523-57791	JORDAN-TRAFFIC LIGHT UPGRADE	$0.00	$25,000.00	$0.00								$0.00	$25,000.00	$0.00
20523-57792	OSWEGATCHIE-BUILDING RENOVATIONS	$213,700.00	$1,990,000.00	$0.00								$213,700.00	$1,990,000.00	$0.00
20523-57826	FIRE SERVICES HYDRAULIC EQUIPMENT	$0.00	$50,000.00	$0.00			$150,000.00					$0.00	$200,000.00	$0.00
20523-57836	FIRE SERVICES CARPET REPLACEMENT	$0.00	$30,000.00	$0.00								$0.00	$30,000.00	$0.00
20523-57837	FIRE SERVICES HYDRAULIC EQUIPMENT UPGRADE	$0.00	$150,000.00	$0.00			($150,000.00)					$0.00	$0.00	$0.00
20523-57838	FIRE SERVICES PRE EMPTION LIGHT REPAIRS	$0.00	$50,000.00	$0.00								$0.00	$50,000.00	$0.00
20530-55850	CROSS ROAD DESIGN WORK	$5,481.49	$0.00	$0.00					$5,481.49			$0.00	$0.00	$0.00
20530-57695	MUNICIPAL COMPLEX RENOVATION	$780,386.59	$6,100,833.00	$0.00					$451,923.26			$328,463.33	$6,100,833.00	$0.00
20507-59205	FUNDING OFFSETS DEBT SERVICE-MUNICIPAL COMPLEX	($1,251,500.00)	($6,000,000.00)	$0.00								($1,251,500.00)	($6,000,000.00)	$0.00
20530-57696	MUNICIPAL COMPLEX CLEAN UP	$5,957.31	$0.00	$0.00					$3,365.24			$2,592.07	$0.00	$0.00
20530-57815	REDESIGN/RECONSTRUCT GARDINERS WOOD ROAD	$101,955.48	$0.00	$0.00					$18,508.48			$83,447.00	$0.00	$0.00
20530-57832	LED STREETLIGHT CONVERSION	$33,554.76	$0.00	$0.00					$6,422.96			$27,131.80	$0.00	$0.00
20530-57855	BRAMAN ROAD REDESIGN/RECONSTR	$280,000.00	$0.00	$0.00					$233,548.32			$46,451.68	$0.00	$0.00
20531-55771	HARVEY AVENUE PUMP STATION REHAB	$19,422.12	$0.00	$0.00								$19,422.12	$0.00	$0.00
20531-57685	I/I MITIGATION & CONTROL	$332,286.86	$0.00	$0.00					$21,301.00			$310,985.86	$0.00	$0.00
20531-57766	WASTEWATER SCADA SYSTEM UPGRADE	$0.04	$0.00	$0.00								$0.04	$0.00	$0.00
20531-57802	FORCE MAIN AIR RELEASE VALVES-EVALUATE & REPLACE	$0.00	$43,000.00	$0.00								$0.00	$43,000.00	$0.00
20531-57816	OLD NORWICH/EVERGREEN/HARVEY AVE PUMP STATION REHAB	$506,000.00	$544,000.00	$0.00					$500,562.00			$5,438.00	$544,000.00	$0.00
20531-57817	WASTEWATER PS FLOOD PROTECTION	$30.10	$85,000.00	$0.00								$30.10	$85,000.00	$0.00
20536-57848	LIBRARY HVAC UPGRADE	$0.00	$200,000.00	$0.00	$345,600.00							$0.00	$545,600.00	$0.00
20537-57735	LEARY PARK ROAD /PARKING LOT	$0.00	$20,000.00	$0.00								$0.00	$20,000.00	$0.00
20537-57781	WATERFORD BEACH PAVILION RESTROOM	$160,758.70	$0.00	$0.00					$160,018.51			$740.19	$0.00	$0.00

TOWN OF WATERFORD
CAPITAL AND NON-RECURRING EXPENDITURE FUND
FUND BALANCE DESIGNATION AND APPROPRIATION
JULY 1,2021 TO JUNE 30,2022
AS OF OCTOBER 31,2021

			BEGINNING BALANCE		FY22 RTM XFER IN	FISCAL YEAR 2021-2022				INTEREST	OTHER	AVAILABLE TO DATE		
		APPROPRIATIONS	DESIGNATED	UNDESIGNATED	DESIGNATED	APPROPRIATED	DESIGNATED	UNDESIGNATED	EXPENDED	INC	REVENUES	APPROPRIATED	DESIGNATED	UNDESIGNATED
20537-57795	WBP CAUSEWAY BRIDGE	$0.00	$25,000.00	$0.00								$0.00	$25,000.00	$0.00
20537-57824	WBP CAUSEWAY BRIDGE FABRICATION	$0.00	$61,700.00	$0.00								$0.00	$61,700.00	$0.00
20537-57854	WTFD BEACH PARK IMPROVEMENTS	$0.00	$0.00	$0.00	$30,000.00							$0.00	$30,000.00	$0.00
20541-57328	ALEWIFE COVE DREDGING	$0.00	$37,500.00	$0.00								$0.00	$37,500.00	$0.00
20547-57747	UPS SYSTEM FOR COMMUNICATIONS	$0.00	$0.00	$0.00	$12,500.00							$0.00	$12,500.00	$0.00
20547-57846	FIBER UPGRADE	$0.00	$14,000.00	$0.00	$14,000.00							$0.00	$28,000.00	$0.00
20547-57847	TOWN-WIDE CAMERA SYSTEM	$0.00	$0.00	$0.00	$85,000.00							$0.00	$85,000.00	$0.00
20547-57860	PHONE SYSTEM UPGRADE	$0.00	$0.00	$0.00	$16,000.00							$0.00	$16,000.00	$0.00
20547-57861	SWITCHES	$0.00	$0.00	$0.00	$22,500.00							$0.00	$22,500.00	$0.00
20560-57808	REPLACE DVR SECURITY CAMERA	$30,000.00	$0.00	$0.00					$30,000.00			$0.00	$0.00	$0.00
20500-43600	TURF FIELD RENTAL REVENUES	$0.00	$4,815.00	$0.00								$0.00	$4,815.00	$0.00
20560-57822	IT LEARNING BOARDS -END OF LIFE	$4,590.29	$91,888.88	$0.00	$200,000.00							$4,590.29	$291,888.88	$0.00
20560-57823	IT SECURITY DVR CAMERAS	$29,998.71	$0.00	$0.00					$29,995.25			$3.46	$0.00	$0.00
20560-57828	QH 10-YR RETRO COMMISSIONING	$0.00	$30,000.00	$0.00								$0.00	$30,000.00	$0.00
20560-57833	TENNIS COURTS	$0.00	$52,300.00	$0.00								$0.00	$52,300.00	$0.00
20560-57841	BUS LOT OFFICE	$0.00	$75,000.00	$0.00	$75,000.00							$0.00	$150,000.00	$0.00
20560-57842	SCHOOL SECURITY	$32,175.00	$0.00	$0.00								$32,175.00	$0.00	$0.00
20500-49000	TRANSFERS IN (FROM CAPITAL IMPORVEMENT FUND)	$0.00	$0.00	$0.00							$304,000.00	$0.00	$0.00	$304,000.00
205-31520	UNDESIGNATED FUND BALANCE	$0.00	$0.00	$2,755,170.41				($339,000.00)		$2,694.11		$0.00	$0.00	$2,418,864.52
		$2,108,605.00	$2,908,551.88	$2,780,170.41	$900,600.00	$485,000.00	($146,000.00)	($339,000.00)	$1,541,656.34	$2,694.11	$553,966.00	$1,051,948.66	$3,663,151.88	$2,997,830.52

TOWN OF WATERFORD
CAPITAL IMPROVEMENT FUND
OCTOBER 31, 2021

ACCOUNT	DEPT/YEAR	DESCRIPTION	APPROPRIATED	EXPENDED/ ENCUMBERED	BALANCE
30117-55797	SELECTMEN FY17	ADA COMPLIANCE	20,000.00	0.00	20,000.00
30122-55738	SELECTMEN FY22	FLEET MANAGEMENT PLAN	800,000.00	800,000.00	0.00
30716-55793	FINANCE (IT) FY16	FINANCIAL ACCOUNTING SOFTWARE	170,000.00	144,028.53	25,971.47
31117-55803	BLDG MAINT FY17	PARKING LOT -YOUTH SERVICES	300,000.00	7,200.00	292,800.00
31118-55803	BLDG MAINT FY18	PARKING LOT -YSB/POLICE	195,320.00	57,500.00	137,820.00
31120-55851	BLDG MAINT FY20	ADA IMPROVEMENTS YSB/PD	87,000.00	0.00	87,000.00
31120-55852	BLDG MAINT FY20	TOWN HALL BATHROOMS	87,500.00	3,125.00	84,375.00
31120-55853	BLDG MAINT FY20	AUDITORIUM SEATING UPGRADE	12,760.00	0.00	12,760.00
31121-55851	BLDG MAINT FY21	ADA IMPROVEMENTS YSB/PD	80,700.00	18,500.00	62,200.00
31121-55852	BLDG MAINT FY21	TOWN HALL BATHROOMS	25,000.00	0.00	25,000.00
31122-55819	BLDG MAINT FY22	UST REPLACEMENT	250,000.00	0.00	250,000.00
31122-55892	BLDG MAINT FY22	AC UNIT REPLACMENT RADIO SITES	60,500.00	0.00	60,500.00
31122-55893	BLDG MAINT FY22	EUGENE O'NEILL ROOF REPLACE	60,767.64	2,350.00	58,417.64
31122-57857	BLDG MAINT FY22	CIVIC TRIANGLE UPGRADES	150,000.00	0.00	150,000.00
32322-55847	FIRE SERVICES FY22	COHANZIE ROOF REPLACEMENT	105,000.00	0.00	105,000.00
32322-55881	FIRE SERVICES FY22	GOSHEN A/C	25,000.00	800.00	24,200.00
32920-55846	POLICE DEPT FY20	PD POLICY DIRECTIVES REVAMP	30,000.00	30,010.00	(10.00)
32920-55859	POLICE DEPT FY20	POLICE BLGD ARCHITECTURAL PLAN	15,000.00	0.00	15,000.00
32921-55837	POLICE DEPT FY21	POLICE INFRARED CAMERAS IN CARS	14,350.00	14,350.00	0.00
32921-55864	POLICE DEPT FY21	POLICE BODY CAMERAS	110,100.00	110,100.00	0.00
32922-55876	POLICE DEPT FY22	CYBER CRIME TASK FORCE EQUIPME	11,000.00	0.00	11,000.00
32922-55877	POLICE DEPT FY22	TRAFFIC MESSAGE BOARDS	28,000.00	0.00	28,000.00
32922-55878	POLICE DEPT FY22	IMPOUND YARD IMPROVEMENTS	28,500.00	0.00	28,500.00
32922-55879	POLICE DEPT FY22	MARINE OUTBOARD MOTORS	38,500.00	52,750.00	(14,250.00)
32922-55880	POLICE DEPT FY22	LICENSE PLATE READERS	27,120.00	27,120.00	0.00
33020-55850	PUBLIC WORKS FY20	CROSS ROAD	2,754,000.00	2,177,358.00	576,642.00
33020-59300	PUBLIC WORKS FY20	FUNDING OFFSET-CROSS ROAD	(2,754,000.00)	(2,611,641.60)	(142,358.40)
33021-55866	PUBLIC WORKS FY21	BLOOMINGDALE SOUTH MILL & PAVE	152,690.00	117,757.48	34,932.52
33021-55867	PUBLIC WORKS FY21	REMOVE UST COHANZIE FIRE	299,000.00	299,063.20	(63.20)
33021-55868	PUBLIC WORKS FY21	GALLOWS LANE RECLAIM/PAVE	153,790.00	153,790.00	0.00

TOWN OF WATERFORD
CAPITAL IMPROVEMENT FUND
OCTOBER 31, 2021

ACCOUNT	DEPT/YEAR	DESCRIPTION	APPROPRIATED	EXPENDED/ ENCUMBERED	BALANCE
33022-55882	PUBLIC WORKS FY22	NORMAN/CONCRETE PANELS	80,100.00	18,097.46	62,002.54
33022-55883	PUBLIC WORKS FY22	WILLIAM ST CONCRETER SIDEWALK	42,300.00	8,240.78	34,059.22
33022-55884	PUBLIC WORKS FY22	SUMMER STREET/CONCRETE SW	33,420.00	6,220.98	27,199.02
33022-55885	PUBLIC WORKS FY22	DAVID STREET/CONCRETE SW	43,680.00	8,240.78	35,439.22
33022-55886	PUBLIC WORKS FY22	CROSS ROAD/ASPHALT	69,800.00	0.00	69,800.00
33022-55887	PUBLIC WORKS FY22	ROPE FERRY ROAD	304,000.00	304,000.00	0.00
33022-55889	PUBLIC WORKS FY22	RD RESURFACING SEC A	334,473.00	35,800.00	298,673.00
33022-55890	PUBLIC WORKS FY22	ROAD RESURFACING EVERSOURCE AF	215,813.00	194,177.83	21,635.17
33022-55891	PUBLIC WORKS FY22	T. STATION SCALE & SCALE HOUSE	106,548.00	30,000.00	76,548.00
33120-55821	UTILITY COMM FY20	IN-LINE WASTEWATER SOLID GRIND	48,000.00	3,077.24	44,922.76
33120-55821	UTILITY COMM FY20	RICHARD'S GROVE P.S. EMERGENCY GENERATOI	37,000.00	37,000.00	0.00
33121-55821	UTILITY COMM FY21	IN-LINE WASTEWATER SOLID GRIND	85,000.00	0.00	85,000.00
33121-55871	UTILITY COMM FY21	EVERGREEN PUMP STATION	375,000.00	370,300.00	4,700.00
33122-55870	UTILITY COMM FY22	CCTV CAMERA & LATERAL LAUNCHIN	120,000.00	0.00	120,000.00
33122-55894	UTILITY COMM FY22	CONTROL PANEL RETRO-FIT (GORMA	30,000.00	0.00	30,000.00
33122-55895	UTILITY COMM FY22	ROOF & SIDING REPLACEMENT	50,000.00	16,500.00	33,500.00
33719-55822	REC & PARKS FY19	LEARY PARK IRRIGATION	47,300.00	0.00	47,300.00
33719-55835	REC & PARKS FY19	VETERAN'S FIELD IRRIGATION SYS	13,700.00	0.00	13,700.00
33720-55854	REC & PARKS FY20	LEARY BASKETBALL COURT REBUILD	55,000.00	0.00	55,000.00
33720-55855	REC & PARKS FY20	TOWN HALL BASKETBALL COURT REP	16,000.00	0.00	16,000.00
33722-55896	REC & PARKS FY22	EQUIPMENT STORAGE PLAN	21,000.00	0.00	21,000.00
34720-55844	I.T. COMMITTEE FY20	BACK UP SYSTEM	10,427.00	0.00	10,427.00
34720-55845	I.T. COMMITTEE FY20	FD NETWORK COMPUTER PHONE WIFI	120,000.00	127,049.25	(7,049.25)
34721-55862	I.T. COMMITTEE FY21	PURE ARRAY	74,000.00	73,940.00	60.00
		TOTALS	5,670,158.64	2,636,804.93	3,033,353.71
		PRIOR YEAR EXPENDITURES		820,383.60	
		CURRENT YEAR EXPENDITURES		1,816,421.33	

TOWN OF WATERFORD
CAPITAL IMPROVEMENT FUND
OCTOBER 31, 2021

ACCOUNT	DEPT/YEAR	DESCRIPTION	APPROPRIATED	EXPENDED/ ENCUMBERED	BALANCE	EXPENDED	TRANSFERS OUT	
30117-55797	SELECTMEN FY17	ADA COMPLIANCE	20,000.00	0.00	20,000.00	0.0%		
30122-55738	SELECTMEN FY22	FLEET MANAGEMENT PLAN	800,000.00	800,000.00	0.00	100.0%	800,000.00	TO FLT MGMT
30716-55793	FINANCE (IT) FY16	FINANCIAL ACCOUNTING SOFTWARE	170,000.00	144,028.53	25,971.47	84.7%		
31117-55803	BLDG MAINT FY17	PARKING LOT -YOUTH SERVICES	300,000.00	7,200.00	292,800.00	2.4%		
31118-55803	BLDG MAINT FY18	PARKING LOT -YSB/POLICE	195,320.00	57,500.00	137,820.00	29.4%		
31120-55851	BLDG MAINT FY20	ADA IMPROVEMENTS YSB/PD	87,000.00	0.00	87,000.00	0.0%		
31120-55852	BLDG MAINT FY20	TOWN HALL BATHROOMS	87,500.00	3,125.00	84,375.00	3.6%		
31120-55853	BLDG MAINT FY20	AUDITORIUM SEATING UPGRADE	12,760.00	0.00	12,760.00	0.0%		
31121-55851	BLDG MAINT FY21	ADA IMPROVEMENTS YSB/PD	80,700.00	18,500.00	62,200.00	22.9%		
31121-55852	BLDG MAINT FY21	TOWN HALL BATHROOMS	25,000.00	0.00	25,000.00	0.0%		
31122-55819	BLDG MAINT FY22	UST REPLACEMENT	250,000.00	0.00	250,000.00	0.0%		
31122-55892	BLDG MAINT FY22	AC UNIT REPLACMENT RADIO SITES	60,500.00	0.00	60,500.00	0.0%		
31122-55893	BLDG MAINT FY22	EUGENE O'NEILL ROOF REPLACE	60,767.64	2,350.00	58,417.64	3.9%		
31122-57857	BLDG MAINT FY22	CIVIC TRIANGLE UPGRADES	150,000.00	0.00	150,000.00	0.0%		
32322-55847	FIRE SERVICES FY22	COHANZIE ROOF REPLACEMENT	105,000.00	0.00	105,000.00	0.0%		
32322-55881	FIRE SERVICES FY22	GOSHEN A/C	25,000.00	800.00	24,200.00	3.2%		
32920-55846	POLICE DEPT FY20	PD POLICY DIRECTIVES REVAMP	30,000.00	30,010.00	(10.00)	100.0%		
32920-55859	POLICE DEPT FY20	POLICE BLGD ARCHITECTURAL PLAN	15,000.00	0.00	15,000.00	0.0%		
32921-55837	POLICE DEPT FY21	POLICE INFRARED CAMERAS IN CARS	14,350.00	14,350.00	0.00	100.0%		
32921-55864	POLICE DEPT FY21	POLICE BODY CAMERAS	110,100.00	110,100.00	0.00	100.0%		
32922-55876	POLICE DEPT FY22	CYBER CRIME TASK FORCE EQUIPME	11,000.00	0.00	11,000.00	0.0%		
32922-55877	POLICE DEPT FY22	TRAFFIC MESSAGE BOARDS	28,000.00	0.00	28,000.00	0.0%		
32922-55878	POLICE DEPT FY22	IMPOUND YARD IMPROVEMENTS	28,500.00	0.00	28,500.00	0.0%		
32922-55879	POLICE DEPT FY22	MARINE OUTBOARD MOTORS	38,500.00	52,750.00	(14,250.00)	137.0%		
32922-55880	POLICE DEPT FY22	LICENSE PLATE READERS	27,120.00	27,120.00	0.00	100.0%		
33020-55850	PUBLIC WORKS FY20	CROSS ROAD	2,754,000.00	2,177,358.00	576,642.00	79.1%		
33020-59300	PUBLIC WORKS FY20	FUNDING OFFSET-CROSS ROAD	(2,754,000.00)	(2,611,641.60)	(142,358.40)	94.8%		
33021-55866	PUBLIC WORKS FY21	BLOOMINGDALE SOUTH MILL & PAVE	152,690.00	117,757.48	34,932.52	77.1%		
33021-55867	PUBLIC WORKS FY21	REMOVE UST COHANZIE FIRE	299,000.00	299,063.20	(63.20)	100.0%		
33021-55868	PUBLIC WORKS FY21	GALLOWS LANE RECLAIM/PAVE	153,790.00	153,790.00	0.00	100.0%		

TOWN OF WATERFORD
CAPITAL IMPROVEMENT FUND
OCTOBER 31, 2021

ACCOUNT	DEPT/YEAR	DESCRIPTION	APPROPRIATED	EXPENDED/ ENCUMBERED	BALANCE	EXPENDED	TRANSFERS OUT	
33022-55882	PUBLIC WORKS FY22	NORMAN/CONCRETE PANELS	80,100.00	18,097.46	62,002.54	22.6%		
33022-55883	PUBLIC WORKS FY22	WILLIAM ST CONCRETER SIDEWALK	42,300.00	8,240.78	34,059.22	19.5%		
33022-55884	PUBLIC WORKS FY22	SUMMER STREET/CONCRETE SW	33,420.00	6,220.98	27,199.02	18.6%		
33022-55885	PUBLIC WORKS FY22	DAVID STREET/CONCRETE SW	43,680.00	8,240.78	35,439.22	18.9%		
33022-55886	PUBLIC WORKS FY22	CROSS ROAD/ASPHALT	69,800.00	0.00	69,800.00	0.0%		
33022-55887	PUBLIC WORKS FY22	ROPE FERRY ROAD	304,000.00	304,000.00	0.00	100.0%	304,000.00	TO CNR 20511-57856
33022-55889	PUBLIC WORKS FY22	RD RESURFACING SEC A	334,473.00	35,800.00	298,673.00	10.7%		
33022-55890	PUBLIC WORKS FY22	ROAD RESURFACING EVERSOURCE AF	215,813.00	194,177.83	21,635.17	90.0%		
33022-55891	PUBLIC WORKS FY22	T. STATION SCALE & SCALE HOUSE	106,548.00	30,000.00	76,548.00	28.2%		
33120-55821	UTILITY COMM FY20	IN-LINE WASTEWATER SOLID GRIND	48,000.00	3,077.24	44,922.76	6.4%		
33120-55821	UTILITY COMM FY20	RICHARD'S GROVE P.S. EMERGENCY GENERATOI	37,000.00	37,000.00	0.00	100.0%	5,323.46	
33121-55821	UTILITY COMM FY21	IN-LINE WASTEWATER SOLID GRIND	85,000.00	0.00	85,000.00	0.0%		
33121-55871	UTILITY COMM FY21	EVERGREEN PUMP STATION	375,000.00	370,300.00	4,700.00	98.7%		
33122-55870	UTILITY COMM FY22	CCTV CAMERA & LATERAL LAUNCHIN	120,000.00	0.00	120,000.00	0.0%		
33122-55894	UTILITY COMM FY22	CONTROL PANEL RETRO-FIT (GORMA	30,000.00	0.00	30,000.00	0.0%		
33122-55895	UTILITY COMM FY22	ROOF & SIDING REPLACEMENT	50,000.00	16,500.00	33,500.00	33.0%		
33719-55822	REC & PARKS FY19	LEARY PARK IRRIGATION	47,300.00	0.00	47,300.00	0.0%		
33719-55835	REC & PARKS FY19	VETERAN'S FIELD IRRIGATION SYS	13,700.00	0.00	13,700.00	0.0%		
33720-55854	REC & PARKS FY20	LEARY BASKETBALL COURT REBUILD	55,000.00	0.00	55,000.00	0.0%		
33720-55855	REC & PARKS FY20	TOWN HALL BASKETBALL COURT REP	16,000.00	0.00	16,000.00	0.0%		
33722-55896	REC & PARKS FY22	EQUIPMENT STORAGE PLAN	21,000.00	0.00	21,000.00	0.0%		
34720-55844	I.T. COMMITTEE FY20	BACK UP SYSTEM	10,427.00	0.00	10,427.00	0.0%		
34720-55845	I.T. COMMITTEE FY20	FD NETWORK COMPUTER PHONE WIFI	120,000.00	127,049.25	(7,049.25)	105.9%		
34721-55862	I.T. COMMITTEE FY21	PURE ARRAY	74,000.00	73,940.00	60.00	99.9%		
		TOTALS	5,670,158.64	2,636,804.93	3,033,353.71	46.5%	1,109,323.46	
		PRIOR YEAR EXPENDITURES		820,383.60				
		CURRENT YEAR EXPENDITURES		1,816,421.33				

TOWN OF WATERFORD
CAPITAL PROJECTS FUNDS
OCTOBER 31, 2021

FUND I	DESCRIPTION	APPROPRIATION	EXPENDED	REMAINING BALANCE
424	DISTRICT MAGNET SCHOOL/EARLY CHLDHD LRN CTR	21,248,892.00	21,248,807.19	84.81
432	WATERFORD HIGH SCHOOL BUILDING PROJECT	68,362,787.00	67,786,416.66	576,370.34
	TOTALS	**89,611,679.00**	**89,035,223.85**	**576,455.15**
	PRIOR YEAR EXPENDITURES		**89,035,223.85**	
	CURRENT YEAR EXPENDITURES		**0.00**	

TOWN OF WATERFORD
CAPITAL PROJECTS FUNDS
OCTOBER 31, 2021

FUND I	DESCRIPTION	APPROPRIATION	EXPENDED	REMAINING BALANCE	PCT EXP	BALANCE RETURNED
424	DISTRICT MAGNET SCHOOL/EARLY CHLDHD LRN CTR	21,248,892.00	21,248,807.19	84.81	100.00%	
432	WATERFORD HIGH SCHOOL BUILDING PROJECT	68,362,787.00	67,786,416.66	576,370.34	99.16%	
	TOTALS	**89,611,679.00**	**89,035,223.85**	**576,455.15**	**99.36%**	**0.00**
	PRIOR YEAR EXPENDITURES		**89,035,223.85**			
	CURRENT YEAR EXPENDITURES		**0.00**			

Contributed Gifts Fund
Fund # 212
OCTOBER 31, 2021

FISCAL YEAR 2022	R&P HELMET RODEO DONATIONS	R&P GENERAL DONATIONS	R&P MEMORIAL TREES & BENCHES DONATIONS	R&P FRANCES X. SWEENEY MEMORIAL DONATIONS	R&P DOG PARK DONATIONS	R&P PLAYGROUND DONATIONS	R&P CIVIC TRIANGLE MEMORIAL DONATIONS	R&P DEIDRICK FIELD PRESS BOX DGNATIONS	FINANCE DEPT. AUDITORIUM A/V UPGRADE
REVENUES									
REC & PARKS MEMORIAL TREES & BENCHES DONATIONS			$2,840.00						
K9 DONATIONS									
POLICE DEPT. GENERAL DONATIONS									
POLICE DEPT. PINK PATCHES									
TOTAL REVENUES	$0.00	$0.00	$2,840.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
EXPENDITURES									
09/29/21 J.P. MORGAN CHASE	$2,167.10								
10/1/2021 WHALING CITY GRAPHIC									
10/1/2021 THE EMBLEM AUTHORITY									
10/15/2021 MYSTIC SCALLYWAGS									
10/29/2021 MYSTIC SCALLYWAGS									
TOTAL EXPENDITURES	$2,167.10	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
NET CURRENT YEAR ACTIVITY	($2,167.10)	$0.00	$2,840.00	$0.00	$0.00	$0.00	$0.00	$0.00	$0.00
PRIOR YEAR BALANCE	$6,425.57	$29.60	($1,588.61)	$65.00	$725.97	$36,175.81	$1,140.00	$12,600.00	$151.00
CURRENT YEAR BALANCE	$4,258.47	$29.60	$1,251.39	$65.00	$725.97	$36,175.81	$1,140.00	$12,600.00	$151.00

Contributed Gifts Fund
Fund # 212
OCTOBER 31, 2021

FISCAL YEAR 2022	POLICE AUSTISM TRAINING	POLICE DEPT. VEHICLE CHALLENGE	POLICE DEPT. K-9 PROGRAM	POLICE DEPT. GENERAL DONATIONS	POLICE DEPT. PINK PATCHES	POLICE PUBLIC SAFETY DOCK	TOTAL
REVENUES							
REC & PARKS MEMORIAL TREES & BENCHES DONATIONS							
K9 DONATIONS			$5,697.10				
POLICE DEPT. GENERAL DONATIONS				$1,100.00			
POLICE DEPT. PINK PATCHES					$1,510.00		
TOTAL REVENUES	$0.00	$0.00	$5,697.10	$1,100.00	$1,510.00	$0.00	$11,147.10
EXPENDITURES							
09/29/21 J.P. MORGAN CHASE							
10/1/2021 WHALING CITY GRAPHIC				$170.00			
10/1/2021 THE EMBLEM AUTHORITY				$518.00			
10/15/2021 MYSTIC SCALLYWAGS				$400.00			
10/29/2021 MYSTIC SCALLYWAGS				$460.00			
TOTAL EXPENDITURES	$0.00	$0.00	$0.00	$1,548.00	$0.00	$0.00	$3,715.10
NET CURRENT YEAR ACTIVITY	$0.00	$0.00	$5,697.10	($448.00)	$1,510.00	$0.00	$7,432.00
PRIOR YEAR BALANCE	$1,700.00	$780.11	$4,961.89	$7,403.65	$0.00	$7.04	$70,577.03
CURRENT YEAR BALANCE	$1,700.00	$780.11	$10,658.99	$6,955.65	$1,510.00	$7.04	$78,009.03

Insurance
Administration Fund
Balance Sheet
October 31, 2021

Assets	
Cash and Cash Equivalents	3,375,291
Accounts Receivable	1
Due From Other Funds	468,141
Total Assets	3,843,432
Liabilities	
Accrued Liabilities (IBNR)	605,346
Advance Payments	18,700
Total Liabilities	624,046
Net Assets	
Unrestricted	$3,219,387
Total Net Assets	$ $3,219,387

Note: Healthcare entry for FY22 is not posted yet

22 b.

FIFTEEN ROPE FERRY ROAD
WATERFORD, CT 06385-2886

PHONE: 860-442-0553
www.waterfordct.org

To: Board of Finance Members

From: Town Accountant

Date: November 10, 2021

Subject: Status of General Fund Unassigned Balance

Unassigned Fund Balance:

1) Unassigned balance 06/30/21	22,981,080
Projected revenues in excess of (less than) budgeted through 10/31/21	1,106,407
Estimated Ending Unassigned Balance	24,087,487

1) Per unaudited Financial Statements

22 c.

FIFTEEN ROPE FERRY ROAD
WATERFORD, CT 06385-2886

PHONE: 860-442-0553
www.waterfordct.org

Date: November 18, 2021

To: Members of the Board of Finance

From: The Town Accountant

Subject: Status of Contingency Fiscal Year 2022

Contingency Fund, Line item 10121-59010:

07/01/21 Appropriation	265,000
Transferred through 11/10/21	(178,687)
Balance	86,313

Virginia Bielucki

FISCAL YEAR 2021-2022
CONTINGENCY TRANSFERS & BALANCE

ORG	OBJECT	DESCRIPTION	BOARD OF FINANCE	RTM	TRANSFER AMOUNT	BALANCE
		BALANCE 07/01/21				$265,000.00
10101	52030	FIRST SELECTMEN PROFESSIONAL FEES	7/20/2021	N/A	$24,000.00	$241,000.00
10112	52201	INSURANCE LAP	8/11/2021	N/A	$77,273.00	$163,727.00
10147	54130	I.T. EQUIPMENT	8/11/2021	N/A	$5,463.00	$158,264.00
10147	52043	I.T. SERVICE CONTRACTS	8/11/2021	N/A	$5,226.00	$153,038.00
10119	51210	YOUTH & FAMILY SERVICES CLERICAL & TECI	11/10/2021	N/A	$48,803.00	$104,235.00
10119	51210	YOUTH & FAMILY SERVICES CLERICAL & TECI	11/10/2021	N/A	$14,189.00	$90,046.00
10119	51920	YOUTH & FAMILY SERVICES FICA	11/10/2021	N/A	$3,733.00	$86,313.00
					($178,687.00)	$86,313.00